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0100 LEGISLATURE

SUMMARY OF PROGRAM REQUIREMENTS

	2000-01*	2001-02*	2002-03*
10 Senate	\$76,317	\$83,720	\$85,394
20 Assembly	103,562	113,608	115,880
TOTALS, PROGRAMS (General Fund)	\$179,879	\$197,328	\$201,274

SUMMARY BY OBJECT

Senate

	2000-01*	2001-02*	2002-03*
General Fund Expenses:			
Salaries of Senators	\$4,503	\$4,800	\$5,232
Mileage of Lt. Governor, Senators, Officers	—	10	10
Session Per Diem of Senators	933	1,316	1,382
Totals, General Fund Expenditures	\$5,436	\$6,126	\$6,624
Operating Fund Expenses:			
Salaries and employee benefits	59,306	65,226	65,676
Travel and per diem	825	988	852
Automotive expenses	801	520	824
Automotive repairs	127	97	100
Telephone	897	1,111	1,247
Telegraph	—	1	1
Postage	1,294	1,150	1,305
Freight	112	135	146
Office supplies	141	185	193
Printing	639	850	921
Publications	127	140	145
Building utilities, maintenance and rent	1,437	1,640	1,720
Office alterations	302	350	375
Furniture and equipment expense	646	840	920
Study contracts	1,044	900	800
Meals	81	95	97
Ceremonies and events	43	38	40
All other expenses	580	608	634
Totals, Operating Fund Expenses	\$68,402	\$74,874	\$75,996
Operating Fund Transfers For:			
Office of the Legislative Analyst (0130)	2,479	2,720	2,774
Totals, Operating Fund Transfers	\$2,479	\$2,720	\$2,774
Totals, Senate Expenditures	\$76,317	\$83,720	\$85,394

Assembly

General Fund Expenses:			
Salaries of Assemblymembers	\$8,989	\$9,244	\$9,684
Mileage of Assemblymembers	—	8	8
Session Per Diem of Assemblymembers	1,751	2,130	2,592
Totals, General Fund Expenditures	\$10,740	\$11,382	\$12,284
Operating Fund Expenses:			
Salaries and employee benefits	70,032	77,829	78,978
Travel and per diem	1,316	1,382	1,396
Automotive expenses	455	487	492
Automotive repairs	128	134	136
Equipment and furniture	4,357	4,727	4,746
Building utilities, maintenance, and rent	3,695	3,917	3,944
Office alterations	606	664	667
Telephone	1,525	1,662	1,679
Telegraph	—	1	1
Postage	507	568	579
Freight	161	172	176
Communications	1,857	2,236	2,245
Office supplies	660	713	715
Printing	1,761	1,972	1,990
Publications	328	347	349
Study contracts	218	229	230

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0100 LEGISLATURE—Continued

	2000-01*	2001-02*	2002-03*
Meals.....	\$94	\$100	\$101
Ceremonies and events.....	32	35	35
All other expenses.....	2,561	2,331	2,363
Totals, Operating Fund Expenses.....	\$90,293	\$99,506	\$100,822
Operating Fund Transfers For:			
Office of the Legislative Analyst (0130).....	2,529	2,720	2,774
Totals, Operating Fund Transfers.....	\$2,529	\$2,720	\$2,774
Totals, Assembly Expenditures.....	\$103,562	\$113,608	\$115,880
TOTALS, EXPENDITURES	\$179,879	\$197,328	\$201,274

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

Senate

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation.....	\$76,317	\$83,720	\$85,394
Salaries of Senators.....	(4,503)	(4,800)	(5,232)
Mileage of Lt. Governor, Senators and Officers.....	(-)	(10)	(10)
Expenses of Senators.....	(933)	(1,316)	(1,382)
Operating expenses.....	(69,953)	(76,977)	(77,846)
Automotive expenses.....	(928)	(617)	(924)
TOTALS, EXPENDITURES (0110).....	\$76,317	\$83,720	\$85,394

0348 Senate Operating Fund *

APPROPRIATIONS			
Government Code Section 9129.....	\$76,317	\$83,720	\$85,394
Less funding provided by the General Fund	-76,317	-83,720	-85,394
TOTALS, EXPENDITURES (0110).....	-	-	-
TOTALS, EXPENDITURES, SENATE (ALL FUNDS).....	\$76,317	\$83,720	\$85,394

Assembly

0001 General Fund

APPROPRIATIONS			
011 Budget Act appropriation.....	\$103,590	\$113,608	\$115,800
Salaries of Assemblymembers.....	(9,651)	(9,324)	(9,684)
Mileage of Assemblymembers and Officers	(8)	(8)	(8)
Expenses of Assemblymembers.....	(2,355)	(2,392)	(2,592)
Operating expenses.....	(91,044)	(101,353)	(102,968)
Automotive expenses.....	(532)	(531)	(628)
Totals Available	\$103,590	\$113,608	\$115,880
Unexpended balance, estimated savings	-28	-	-
TOTALS, EXPENDITURES (0120).....	\$103,562	\$113,608	\$115,880

0125 Assembly Operating Fund *

APPROPRIATIONS			
Government Code Section 9129.....	\$103,562	\$113,608	\$115,880
Less funding provided by the General Fund	-103,562	-113,608	-115,880
TOTALS, EXPENDITURES (0120).....	-	-	-
TOTALS, EXPENDITURES, ASSEMBLY (ALL FUNDS).....	\$103,562	\$113,608	\$115,880

Assembly and Senate

0160 Operating Funds of Assembly and Senate *

APPROPRIATIONS			
Prior year balances available:			
Item 10.1, Budget Act of 1967.....	\$21	\$21	\$21
Balance available in subsequent years	-21	-21	-21
TOTALS, EXPENDITURES	-	-	-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$179,879	\$197,328	\$201,274

* Dollars in thousands, except in Salary Range.

0100 LEGISLATURE—Continued

FUND CONDITION STATEMENT

0125 Assembly Operating Fund ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	—	—	—
EXPENDITURES			
Disbursements:			
0120 Legislature (State Operations)	\$103,562	\$113,608	\$115,880
Expenditure Reductions:			
0120 Legislature:			
Less funding provided by the General Fund (State Operations)	—103,562	—113,608	—115,880
Totals, Expenditures.....	—	—	—
FUND BALANCE.....	—	—	—

0160 Operating Funds of the Assembly and Senate ^s

BEGINNING BALANCE.....	\$21	\$21	\$21
FUND BALANCE.....	\$21	\$21	\$21

0348 Senate Operating Fund ^s

BEGINNING BALANCE.....	\$308	\$308	\$308
EXPENDITURES			
Disbursements:			
0110 Legislature (State Operations)	76,317	83,720	85,394
Expenditure Reductions:			
0110 Legislature:			
Less funding provided by the General Fund (State Operations)	—76,317	—83,720	—85,394
Totals, Expenditures.....	—	—	—
FUND BALANCE.....	\$308	\$308	\$308
Reserve for economic uncertainties	308	308	308

0150 CONTRIBUTIONS TO THE LEGISLATORS' RETIREMENT SYSTEM

Established in 1947, the Legislators' Retirement System (LRS) provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers. Chapter 937, Statutes of 1977, established the State's contribution rate at 18.81 percent of payroll. The LRS also is financed through member contributions of 4 percent for members enrolled prior to March 4, 1972, and 8 percent for members enrolled thereafter, and through investment earnings. Administration of the LRS is provided by the Public Employees' Retirement System (CalPERS). Retirement benefits paid are based upon age, years of service, and compensation. For members of the Legislature and constitutional officers, benefits are modified according to the actual calendar years that the service was performed. Survivors, death, and disability benefits also are provided under specific conditions.

Pursuant to Proposition 140, approved by the electorate on November 6, 1990, legislators taking office for the first time after November 6, 1990 are not eligible for participation in the LRS.

Chapter 897, Statutes of 1999, eliminated the State's statutorily specified contribution rate to the LRS and instead requires the State's contribution to be actuarially determined. This Chapter, upon approval of the CalPERS Board of Administration, also allowed the reduction of member contributions when the State contribution is zero percent (0%). Since the LRS is currently super-funded, this Chapter effectively reduced General Fund contributions and member contributions to zero beginning in 2000-01. The LRS will pay approximately \$7.5 million in benefits to annuitants in 2002-03. The CalPERS is requesting \$244,000 for administrative costs.

RECONCILIATION WITH APPROPRIATIONS

4 UNCLASSIFIED

0820 Legislators' Retirement Fund ⁿ

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
Government Code Section 9359.1.....	\$6,782	\$6,926	\$7,445
TOTALS, EXPENDITURES	\$6,782	\$6,926	\$7,445
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	\$6,782	\$6,926	\$7,445

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0150 CONTRIBUTIONS TO THE LEGISLATORS' RETIREMENT SYSTEM—Continued

FUND CONDITION STATEMENT			
0820 Legislators' Retirement Fund ^a			
	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$145,608	\$138,736	\$131,350
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments:			
Net income	17	5	5
Net profit	264	150	100
221000 Contributions from fiduciary funds:			
Contributions from members	34	—	—
Refund of contributions	-170	-375	-150
Totals, Operating Revenues	\$145	-\$220	-\$45
Totals, Resources	\$145,753	\$138,516	\$131,305
EXPENDITURES			
Disbursements:			
0150 Contributions to the Legislators' Retirement System (Benefits Paid)			
(Unclassified).....	6,782	6,926	7,445
1900 Public Employees' Retirement System (State Operations).....	235	240	244
Totals, Disbursements	\$7,017	\$7,166	\$7,689
FUND BALANCE.....	\$138,736	\$131,350	\$123,616

0160 LEGISLATIVE COUNSEL BUREAU

The Legislative Counsel Bureau provides legal assistance to the two houses of the Legislature and their members and committees in resolving a large volume of complex legal problems arising in connection with the legislative process, all of which must be resolved within a critical time span. The legal services furnished include rendering opinions, drafting bills, counseling, attendance as counsel at meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained, and all work is confidential.

In addition, the bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures, and compiles and indexes statutes and codes.

The bureau operates a data center which is used for the legislative information system and the processing of legislative measures.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
TOTALS, PROGRAMS.....	552.5	624.7	613.7	\$72,596	\$78,339	\$76,050
0001 General Fund.....				71,418	78,208	75,919
0995 Reimbursements				1,178	131	131

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	552.5	637.5	637.5	\$32,595	\$36,747	\$37,394
Total Adjustments	—	—	-11.0	—	—	-626
Estimated Salary Savings	—	-12.8	-12.8	—	-599	-562
Net Totals, Salaries and Wages	552.5	624.7	613.7	\$32,595	\$36,148	\$36,206
Staff Benefits	—	—	—	5,562	7,137	7,038
Totals, Personal Services	552.5	624.7	613.7	\$38,157	\$43,285	\$43,244
OPERATING EXPENSES AND EQUIPMENT				\$34,439	\$35,054	\$32,806
TOTALS, EXPENDITURES				\$72,596	\$78,339	\$76,050

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$71,146	\$77,539	\$75,919
Allocation for employee compensation	629	—	—
Adjustment per Section 3.60	-356	1,053	—

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0160 LEGISLATIVE COUNSEL BUREAU—Continued

	2000-01*	2001-02*	2002-03*
Adjustment per Section 4.00	—	-\$384	—
Transfer to Legislative Claims (9670).....	-\$1	—	—
TOTALS, EXPENDITURES	\$71,418	\$78,208	\$75,919

0995 Reimbursements

APPROPRIATIONS			
Reimbursements.....	\$1,178	\$131	\$131
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$72,596	\$78,339	\$76,050

**CHANGES IN
AUTHORIZED POSITIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	552.5	637.5	637.5	\$32,595	\$36,747	\$37,394
Workload and Administrative Adjustments:						
Reductions in Authorized Positions:				Salary Range		
Dep Legislative Counsel IV	—	—	-2.0	7,268-8,972	—	-175
Acctg Administrator I-Spec	—	—	-1.0	4,301-5,228	—	-52
Info Tech Spec I.....	—	—	-6.0	4,110-4,997	—	-305
Assoc Bus Mgmt Analyst	—	—	-1.0	3,915-4,759	—	-47
Sr Law Indexer	—	—	-1.0	3,917-4,727	—	-47
Totals, Workload and Administrative						
Adjustments.....	—	—	-11.0	—	—	-\$626
Total Adjustments	—	—	-11.0	—	—	-\$626
TOTALS, SALARIES AND WAGES	552.5	637.5	626.5	\$32,595	\$36,747	\$36,768

0250 JUDICIAL

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

SUMMARY OF PROGRAM

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Supreme Court.....	131.6	143.1	143.1	\$30,149	\$35,706	\$37,504
20 Courts of Appeal	735.9	860.9	839.8	151,042	162,280	168,128
30 Judicial Council	333.4	467.5	473.7	121,223	138,782	138,038
50 Habeas Corpus Resource Center.....	52.5	65.5	65.5	8,000	10,229	10,084
TOTALS, PROGRAMS.....	1,253.4	1,537.0	1,522.1	\$310,414	\$346,997	\$353,754
Unallocated Reduction.....	—	—	—	—	—	-3,914
NET TOTALS, PROGRAMS	1,253.4	1,537.0	1,522.1	\$310,414	\$346,997	\$349,840
0001 General Fund.....				263,832	291,564	294,564
0044 Motor Vehicle Account, State Transportation Fund				128	135	132
0327 Court Interpreters' Fund				149	93	84
0587 Family Law Trust Fund				229	2,072	2,056
0890 Federal Trust Fund				1,732	4,708	4,686
0995 Reimbursements				44,344	48,425	48,318

10 SUPREME COURT**Program Objectives Statement**

The Supreme Court is the highest court in the California judicial system. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the state constitution, all death penalty judgments are appealed directly to the Supreme Court.

Major Budget Adjustments Proposed for 2001-02:

- One-time savings of \$1,500,000 related to Supreme Court, Court Appointed Counsel workload.

Major Budget Adjustments Proposed for 2002-03:

- One-time reduction of \$1,000,000 related to Supreme Court, Court Appointed Counsel workload.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued**20 COURTS OF APPEAL****Program Objectives Statement**

The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the State of California. Cases before the Courts of Appeal involve every area of civil and criminal law.

Major Budget Adjustments Proposed for 2001–02:

- One-time savings of \$1,600,000 related to Courts of Appeal, Court Appointed Counsel workload.

30 JUDICIAL COUNCIL**Program Objectives Statement**

The Judicial Council of California is the constitutional policy making body for the state judiciary. The Council consists of 21 voting members and six advisory members; the Chief Justice of California serves as chair. The Administrative Office of the Courts is the administrative arm of the Council. This office provides policy support to the Council, administrative accountability in the operation of the courts as specified by law, and administrative support for courts in areas such as budget, coordination of the assignment of retired judges, technology, education and research.

Major Budget Adjustments Proposed for 2001–02:

- One-time savings of \$4,600,000 associated with current vacancies.

Major Budget Adjustments Proposed for 2002–03:

- \$211,000 and 2.0 Senior Human Resource Analysts to provide labor relations expertise to the courts.
- \$122,000 to increase contracted security at the Courts of Appeal.
- \$286,000 and 2.0 attorney positions to provide legal assistance to the trial courts from the Administrative Office of the Courts' Regional Offices.
- One-time reduction of \$2,900,000 related to anticipated vacancies.

50 HABEAS CORPUS RESOURCE CENTER**Program Objectives Statement**

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 SUPREME COURT**

State Operations:	<i>2000–01*</i>	<i>2001–02*</i>	<i>2002–03*</i>
0001 General Fund	\$30,149	\$35,706	\$37,504

PROGRAM REQUIREMENTS**20 COURTS OF APPEAL**

State Operations:			
0001 General Fund	\$151,042	\$162,280	\$168,128

PROGRAM REQUIREMENTS**30 JUDICIAL COUNCIL**

State Operations:			
0001 General Fund	\$62,367	\$70,642	\$70,105
0044 Motor Vehicle Account, State Transportation Fund	128	135	132
0327 Court Interpreters' Fund	149	93	84
0587 Family Law Trust Fund	229	2,072	2,056
0890 Federal Trust Fund	933	1,433	1,411
0995 Reimbursements	2,381	3,743	3,636
Totals, State Operations	\$66,187	\$78,118	\$77,424
Local Assistance:			
0001 General Fund	12,274	13,707	13,657
0890 Federal Trust Fund	799	2,275	2,275
0995 Reimbursements	41,963	44,682	44,682
Totals, Local Assistance	\$55,036	\$60,664	\$60,614
Totals, Program 30	\$121,223	\$138,782	\$138,038

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

PROGRAM REQUIREMENTS

50 HABEAS CORPUS RESOURCE CENTER

	2000-01*	2001-02*	2002-03*
State Operations:			
0001 General Fund	\$8,000	\$9,229	\$9,084
0890 Federal Trust Fund	—	1,000	1,000
Totals, State Operations	\$8,000	\$10,229	\$10,084
TOTALS, EXPENDITURES (State Operations)	\$255,378	\$286,333	\$293,140
TOTALS, EXPENDITURES (Local Assistance)	\$55,036	\$60,664	\$60,614
TOTALS, EXPENDITURES	\$310,414	\$346,997	\$353,754
Unallocated Reduction	—	—	-3,914
NET TOTALS, EXPENDITURES	\$310,414	\$346,997	\$349,840

SUMMARY BY OBJECT

10 Supreme Court

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	131.6	146.0	146.0	\$12,492	\$13,846	\$14,200
Estimated Salary Savings	—	-2.9	-2.9	—	-277	-284
Net Totals, Salaries and Wages	131.6	143.1	143.1	\$12,492	\$13,569	\$13,916
Staff Benefits	—	—	—	1,599	2,018	2,036
Totals, Personal Services	131.6	143.1	143.1	\$14,091	\$15,587	\$15,952
OPERATING EXPENSES AND EQUIPMENT				\$6,821	\$5,257	\$6,690
SPECIAL ITEMS OF EXPENSE				9,237	14,862	14,862
TOTALS, EXPENDITURES, SUPREME COURT (General Fund)				\$30,149	\$35,706	\$37,504

SUMMARY BY OBJECT

20 Courts of Appeal

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	735.9	887.5	865.8	\$65,256	\$78,499	\$78,797
Estimated Salary Savings	—	-26.6	-26.0	—	-2,355	-2,364
Net Totals, Salaries and Wages	735.9	860.9	839.8	\$65,256	\$76,144	\$76,433
Staff Benefits	—	—	—	8,056	11,177	11,135
Totals, Personal Services	735.9	860.9	839.8	\$73,312	\$87,321	\$87,568
OPERATING EXPENSES AND EQUIPMENT				\$25,864	\$20,731	\$26,332
SPECIAL ITEMS OF EXPENSE				51,866	54,228	54,228
TOTALS, EXPENDITURES, COURTS OF APPEAL (General Fund)				\$151,042	\$162,280	\$168,128

SUMMARY BY OBJECT

30 Judicial Council

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	333.4	492.1	494.6	\$25,275	\$36,940	\$38,507
Total Adjustments	—	—	4.0	—	—	333
Estimated Salary Savings	—	-24.6	-24.9	—	-1,838	-1,933
Net Totals, Salaries and Wages	333.4	467.5	473.7	\$25,275	\$35,102	\$36,907
Staff Benefits	—	—	—	4,303	6,236	6,527
Totals, Personal Services	333.4	467.5	473.7	\$29,578	\$41,338	\$43,434

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

	2000-01*	2001-02*	2002-03*
OPERATING EXPENSES AND EQUIPMENT	\$35,660	\$36,380	\$33,590
SPECIAL ITEMS OF EXPENSE	949	400	400
TOTALS, EXPENDITURES, JUDICIAL COUNCIL	\$66,187	\$78,118	\$77,424

SUMMARY BY OBJECT

50 Habeas Corpus Resource Center

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	52.5	69.0	69.0	\$3,509	\$4,780	\$4,989
Estimated Salary Savings	—	-3.5	-3.5	—	-239	-249
Net Totals, Salaries and Wages	52.5	65.5	65.5	\$3,509	\$4,541	\$4,740
Staff Benefits	—	—	—	509	795	812
Totals, Personal Services	52.5	65.5	65.5	\$4,018	\$5,336	\$5,552
OPERATING EXPENSES AND EQUIPMENT				\$3,982	\$4,893	\$4,532
TOTALS, EXPENDITURES, HABEAS CORPUS RESOURCE CENTER				\$8,000	\$10,229	\$10,084
NET TOTALS, EXPENDITURES, ALL PROGRAMS				\$255,378	\$286,333	\$293,140
Unallocated Reduction				—	—	-3,914
GRAND TOTALS, EXPENDITURES, ALL PROGRAMS				\$255,378	\$286,333	\$289,226

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$263,054	\$282,394	\$280,746
Allocation for employee compensation	1,102	—	—
Allocation for contingencies or emergencies	550	—	—
Adjustment per Section 3.60	-1,063	4,272	—
Adjustment per Section 4.60 (Rental Rate)	215	-323	—
Adjustment per Section 4.00	—	-1,006	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	139	—
003 Budget Act appropriation	1,024	44	161
Totals Available	\$264,882	\$285,520	\$280,907
Unexpended balance, estimated savings	-13,324	-7,663	—
TOTALS, EXPENDITURES	\$251,558	\$277,857	\$280,907

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$137	\$134	\$132
Allocation for employee compensation	1	—	—
Adjustment per Section 3.60	-2	2	—
Adjustment per Section 4.00	—	-1	—
Totals Available	\$136	\$135	\$132
Unexpended balance, estimated savings	-8	—	—
TOTALS, EXPENDITURES	\$128	\$135	\$132

0327 Court Interpreters' Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$100	\$93	\$84
Allocation for contingencies or emergencies	75	—	—
Totals Available	\$175	\$93	\$84
Unexpended balance, estimated savings	-26	—	—
TOTALS, EXPENDITURES	\$149	\$93	\$84

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

0587 Family Law Trust Fund ^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
Family Code Section 1852	\$229	\$2,072	\$2,056
TOTALS, EXPENDITURES	\$229	\$2,072	\$2,056

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$3,848	\$2,424	\$2,411
Adjustment per Section 3.60	—	9	—
Budget Adjustment	-2,915	—	—
TOTALS, EXPENDITURES	\$933	\$2,433	\$2,411

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$2,381	\$3,743	\$3,636
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$255,378	\$286,333	\$289,226

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
101 Budget Act appropriation	\$12,275	\$13,707	\$13,657
Totals Available	\$12,275	\$13,707	\$13,657
Unexpended balance, estimated savings	-1	—	—
TOTALS, EXPENDITURES	\$12,274	\$13,707	\$13,657

0890 Federal Trust Fund

APPROPRIATIONS			
101 Budget Act appropriation	\$1,525	\$2,275	\$2,275
Budget Adjustment	-726	—	—
TOTALS, EXPENDITURES	\$799	\$2,275	\$2,275

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$41,963	\$44,682	\$44,682
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$55,036	\$60,664	\$60,614
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$310,414	\$346,997	\$349,840

FUND CONDITION STATEMENT

0327 Court Interpreters' Fund ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE	\$65	\$20	\$55
Prior year adjustments	-19	—	—
Balance, Adjusted	\$46	\$20	\$55
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits	123	128	128
Totals, Resources	\$169	\$148	\$183

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

EXPENDITURES

Disbursements:

0250 Judicial:

State Operations

2000-01*

2001-02*

2002-03*

\$149

\$93

\$84

Totals, Disbursements

\$149

\$93

\$84

FUND BALANCE

Reserve for economic uncertainties

\$20

\$55

\$99

20

55

99

0587 Family Law Trust Fund ^s

BEGINNING RESERVES

Prior year adjustments

\$1,278

\$5,634

\$5,867

2,382

—

—

Balance, Adjusted

\$3,660

\$5,634

\$5,867

REVENUES AND TRANSFERS

Revenues:

150300 Income from surplus money investments

270

256

256

161400 Miscellaneous revenue: (Dissolution Copy Fee (Government Code Section 26832))

1,933

2,049

2,049

Totals, Revenues

\$2,203

\$2,305

\$2,305

Totals, Resources

\$5,863

\$7,939

\$8,172

EXPENDITURES

Disbursements:

0250 Judicial:

State Operations

229

2,072

2,056

Totals, Disbursements

\$229

\$2,072

\$2,056

FUND BALANCE

Reserve for economic uncertainties

\$5,634

\$5,867

\$6,116

5,634

5,867

6,116

CHANGES IN

AUTHORIZED POSITIONS

00-01

01-02

02-03

2000-01*

2001-02*

2002-03*

Totals, Authorized Positions

1,253.4

1,594.6

1,575.4

\$106,532

\$134,065

\$136,493

Proposed New Positions:

Administrative Office of the Courts:

Human Resources:

Sr Human Resource Analyst

—

—

2.0

Salary Range
5,571-6,770

—

148

Totals, Human Resources

—

—

2.0

—

—

\$148

Office of General Counsel:

Attorney

—

—

2.0

6,887-8,539

—

185

Totals, Office of General Counsel

—

—

2.0

—

—

\$185

Totals, Proposed New Positions

—

—

4.0

—

—

\$333

Total Adjustments

—

—

4.0

—

—

\$333

TOTALS, SALARIES AND WAGES

1,253.4

1,594.6

1,579.4

\$106,532

\$134,065

\$136,826

STATE BUILDING PROGRAM
EXPENDITURESActual
2000-01*Estimated
2001-02*Proposed
2002-03*

80 CAPITAL OUTLAY

PROGRAM ELEMENTS

Major Budget Adjustments Proposed for 2002-03:

- \$14.4 million Public Buildings Construction Funds for the construction of the new Fourth Appellate District Courthouse in Santa Ana.
- \$17.6 million Public Buildings Construction Funds for the construction of the new Fifth Appellate District Courthouse in Fresno.

Major Projects

90.20.201 Second Appellate District Renovation-Los Angeles

\$873

PWCg

—

—

90.20.301 Third Appellate District Renovation-Sacramento

451

PWCg

—

—

90.20.401 Fourth Appellate District New Courthouse-Santa Ana

3,215

APg

—

\$14,350 ^{WCn}

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
90.20.501	Fifth Appellate District New Courthouse-Fresno	\$1,506 ^{APg}	—	\$17,559 ^{WCn}
90.20.601	Second Appellate District, Los Angeles-Renovation for new Judgeships	—	\$567 ^{PWCg}	—
Totals, Major Projects		\$6,045	\$567	\$31,909
Minor Projects				
90.20.900	Minor Projects	—	\$205	—
Totals, Minor Projects		—	\$205	—
TOTALS, EXPENDITURES, CAPITAL OUTLAY		\$6,045	\$772	\$31,909
0001	General Fund	6,045	772	—
0660	Public Buildings Construction Fund	—	—	31,909

RECONCILIATION WITH APPROPRIATIONS**3 CAPITAL OUTLAY****0001 General Fund****APPROPRIATIONS**

301	Budget Act appropriation	\$6,045	\$772	—
TOTALS, EXPENDITURES		\$6,045	\$772	—

0660 Public Buildings Construction Fund ⁿ**APPROPRIATIONS**

301	Budget Act appropriation	—	—	\$31,909
TOTALS, EXPENDITURES		—	—	\$31,909
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)		\$6,045	\$772	\$31,909

0280 COMMISSION ON JUDICIAL PERFORMANCE

Article VI of the State Constitution creates the Commission on Judicial Performance to consider complaints against judges.

Pursuant to Proposition 190 which amended the California Constitution, Article VI, Section 18(l), the budget of the Commission on Judicial Performance shall be separate from the budget of any other state agency or court.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Commission on Judicial Performance	24.2	27.0	27.0	\$3,492	\$4,055	\$4,055
TOTALS, PROGRAMS (General Fund)	24.2	27.0	27.0	\$3,492	\$4,055	\$4,055

SUMMARY BY OBJECT**1 STATE OPERATIONS**

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A)	24.2	27.0	27.0	\$1,934	\$2,247	\$2,313
Net Totals, Salaries and Wages	24.2	27.0	27.0	\$1,934	\$2,247	\$2,313
Staff Benefits	—	—	—	293	439	450
Totals, Personal Services	24.2	27.0	27.0	\$2,227	\$2,686	\$2,763
OPERATING EXPENSES AND EQUIPMENT				\$1,265	\$1,369	\$1,292
TOTALS, EXPENDITURES				\$3,492	\$4,055	\$4,055

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0280 COMMISSION ON JUDICIAL PERFORMANCE—Continued

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$3,704	\$3,976	\$4,055
Allocation for employee compensation	2	—	—
Adjustment per Section 3.60	-24	79	—
Totals Available	\$3,682	\$4,055	\$4,055
Unexpended balance, estimated savings	-190	—	—
TOTALS, EXPENDITURES	\$3,492	\$4,055	\$4,055
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$3,492	\$4,055	\$4,055

0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM

The Judges' Retirement System (JRS) provides retirement benefit funding for California's Supreme and Appellate Court Judges and Superior and Municipal Court Judges. The JRS retirement benefits are based on age, years of service, compensation of active judges, and eligibility as determined by specific sections of the Judges' Retirement Law. Survivors, death, and disability benefits also are provided under specific conditions. The JRS receives contributions equal to eight percent of salary from both active judges and the State. Additional contributions come from filing fees from specific civil cases, investment income, and State General Fund. These contributions, however, are insufficient to fully fund benefit payments. Consequently, current law requires the State to fund the deficiency between existing contribution resources and the required benefit payments to annuitants (Government Code Section 75107).

Chapter 879, Statutes of 1994, established the Judges' Retirement System II (JRS II). All new judges elected or appointed on or after November 9, 1994, become members of JRS II. It is anticipated that JRS II will be fully funded from employer and member contributions on a prospective basis, thereby eliminating the "pay-as-you-go" feature characteristic of the original JRS program (JRS I). JRS II members also have the option of choosing a monetary credit plan (includes a lump-sum return of contributions and interest earned) or the defined benefit plan.

For 2002-03, the budget proposes a total of \$116.7 million General Fund; \$29.8 million for the State's contribution for sitting judges, and \$86.9 million to pay benefits to retired judges.

The budget provides contributions for the following number of judges by type of court:

Type of Court	Number of Judges		
	2000-01	2001-02	2002-03
Supreme	7	7	7
Appellate	105	105	105
Local Assistance:			
Superior	1,469	1,469	1,498
Municipal	31	31	—
Totals	1,612	1,612	1,610

Authority

Government Code Sections 75000, et seq.

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$1,150	\$1,150	\$1,150
Government Code Section 75101 (JRS I)	1,138	1,140	1,140
Government Code Section 75600.5 (JRS II)	54	78	132
TOTALS, EXPENDITURES	\$2,342	\$2,368	\$2,422
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$2,342	\$2,368	\$2,422

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM—Continued**RECONCILIATION WITH APPROPRIATIONS****2 LOCAL ASSISTANCE****0001 General Fund**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
101 Budget Act appropriation	\$77,750	\$51,859	\$85,779
Government Code Section 75101 (JRS I)	10,856	13,878	13,911
Government Code Section 75600.5 (JRS II)	9,700	13,331	14,582
TOTALS, EXPENDITURES	<u>\$98,306</u>	<u>\$79,068</u>	<u>\$114,272</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$98,306	\$79,068	\$114,272

RECONCILIATION WITH APPROPRIATIONS**4 UNCLASSIFIED****0815 Judges' Retirement Fund ⁿ**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
Government Code Section 75025	\$99,427	\$109,069	\$114,523
Number of Annuitants (JRS I)	(1,465)	(1,495)	(1,525)
TOTALS, EXPENDITURES	<u>\$99,427</u>	<u>\$109,069</u>	<u>\$114,523</u>

0884 Judges' Retirement System II Fund ⁿ

APPROPRIATIONS			
Government Code Section 75522	\$142	\$215	\$215
Number of Annuitants (JRS II)	(1)	(2)	(2)
TOTALS, EXPENDITURES	<u>\$142</u>	<u>\$215</u>	<u>\$215</u>
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	<u>\$99,569</u>	<u>\$109,284</u>	<u>\$114,738</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance, and Unclassified)	\$200,217	\$190,720	\$231,432

FUND CONDITION STATEMENT**0815 Judges' Retirement Fund ⁿ**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
BEGINNING BALANCE	\$21,515	\$32,365	\$11,224
Prior year adjustments	-3	-	-
Balance, Adjusted	<u>\$21,512</u>	<u>\$32,365</u>	<u>\$11,224</u>
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments	3,755	3,500	3,500
216000 Fees and licenses (filing fees)	3,359	3,000	3,000
221000 Contributions from judges	11,377	13,878	13,911
221000 Refunds of contributions	-	-586	-50
221000 Contributions from State	11,994	15,018	15,051
221000 Contributions for assignments	1,275	500	500
299000 Budget Act appropriation (administration) (transfer from General Fund)	380	391	391
299000 Budget Act appropriations (transfer from General Fund)	78,520	52,618	86,538
Totals, Operating Revenues	<u>\$110,660</u>	<u>\$88,319</u>	<u>\$122,841</u>
Totals, Resources	<u>\$132,172</u>	<u>\$120,684</u>	<u>\$134,065</u>
EXPENDITURES			
Disbursements:			
0390 Contributions to the Judges' Retirement System (Unclassified):			
Benefits paid from Judges' Retirement Fund	99,427	109,069	114,523
1900 Public Employees' Retirement System—Administrative costs (State Operations)	380	391	391
Totals, Disbursements	<u>\$99,807</u>	<u>\$109,460</u>	<u>\$114,914</u>
FUND BALANCE	<u>\$32,365</u>	<u>\$11,224</u>	<u>\$19,151</u>

* Dollars in thousands, except in Salary Range.

0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM—Continued

0884 Judges' Retirement System II Fund ⁿ	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$41,376	\$51,982	\$70,674
Prior year adjustments	-22	-	-
Balance, Adjusted.....	\$41,354	\$51,982	\$70,674
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments.....	-2,863	75	75
221000 Contributions from judges.....	4,197	5,762	6,303
221000 Contributions from State.....	9,754	13,409	14,714
221000 Refunds of contributions.....	-34	-50	-50
Totals, Operating Revenue	\$11,054	\$19,196	\$21,042
Totals, Resources	\$52,408	\$71,178	\$91,716
EXPENDITURES			
Disbursements:			
0390 Contributions to the Judges' Retirement System (Unclassified):			
Benefits paid to Judges' Retirement II.....	142	215	215
1900 Public Employees' Retirement System (State Operations).....	284	289	289
Totals, Disbursements	\$426	\$504	\$504
FUND BALANCE.....	\$51,982	\$70,674	\$91,212

0450 STATE TRIAL COURT FUNDING

Chapter 850, Statutes of 1997, enacted the Lockyer-Isenberg Trial Court Funding Act of 1997 to provide a stable and consistent funding source for the trial courts. Beginning with the 1997-98 fiscal year, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained, and each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the State General Fund in 1994-95.

TRIAL COURT FUNDING

SUMMARY OF PROGRAM REQUIREMENTS	2000-01*	2001-02*	2002-03*
10 Support for the Operation of Trial Courts.....	\$1,694,938	\$1,948,113	\$1,954,822
25 Salaries of Superior Court Judges.....	188,235	206,000	214,576
35 Assigned Judges	18,063	19,767	19,767
45 Court Interpreters	54,472	57,350	59,674
TOTALS, PROGRAMS	\$1,955,708	\$2,231,230	\$2,248,839
Unallocated Reduction.....	-	-	-37,835
NET TOTALS, PROGRAMS.....	\$1,955,708	\$2,231,230	\$2,211,004
0001 General Fund.....	1,140,552	1,162,756	1,206,505
0159 Trial Court Improvement Fund	48,242	134,418	76,401
0556 Judicial Administration Efficiency and Modernization Fund.....	34,337	44,096	34,122
Less funding provided by the General Fund.....	-37,322	-34,122	-34,122
Less funding provided by the Judicial Administration Efficiency and Modernization Fund	-3,200	-	-
0932 Trial Court Trust Fund	1,876,329	2,057,916	2,100,481
Less funding provided by the General Fund.....	-1,103,230	-1,133,834	-1,172,383

10 SUPPORT FOR THE OPERATION OF THE TRIAL COURTS**Program Objectives Statement**

This program's objective is to provide the resources necessary for the adjudication of civil and criminal cases in the state's countywide trial court systems. This program includes all allowable trial court administrative costs under Chapter 850, Statutes of 1997, except salaries and benefits of superior court judges, compensation for assigned judges, and support for language interpreters.

Major Budget Adjustments Proposed for 2001-02:

- \$23,215,000 to meet various needs of the trial courts, including the negotiation of local memoranda of understanding with recognized bargaining agents.
- One-time savings of \$28,300,000 including \$7,300,000 associated with delaying new jury reform. \$8,500,000 related to judicial vacancies, and \$12,500,000 as a result of funding technology asset management and security costs from the Trial Court Improvement Fund rather than the General Fund.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued**Major Budget Adjustments Proposed for 2002–03:**

- \$51,676,000 to meet various needs of the trial courts, including the negotiation of local memoranda of understanding with recognized bargaining agents.
- \$13,362,000 to fund cost increases for existing security services at trial courts.
- \$802,000 for Family and Children services including case processing and mediators, evaluators, and investigators in a variety of courts.
- \$14,418,000 to address increased costs for county-provided services and court employee benefits.
- One-time reduction of \$37,800,000 including \$7,200,000 associated with delaying new jury reform, \$7,400,000 as a result of funding technology asset management from the Trial Court Improvement Fund rather than the General Fund, and \$23,200,000 from reducing the operating budget of each trial court by varying amounts.
- A transfer of \$28,110,000 from the Trial Court Improvement Fund to the General Fund on a one-time basis.

25 SALARIES FOR SUPERIOR COURT JUDGES**Program Objectives Statement**

This program provides funding for the salaries and state benefits for Superior Court judges.

35 ASSIGNED JUDGES**Program Objectives Statement**

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

45 COURT INTERPRETERS**Program Objectives Statement**

This program supports the provision of qualified language interpreters in criminal or juvenile proceedings as required by statute.

Major Budget Adjustment Proposed for 2002–03:

- \$2,324,000 for interpreter services to fund workload growth in the trial courts.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 SUPPORT FOR OPERATION OF TRIAL COURTS**

Local Assistance:	2000–01*	2001–02*	2002–03*
0001 General Fund	\$1,140,552	\$1,162,756	\$1,206,505
0159 Trial Court Improvement Fund	48,242	134,418	76,401
0556 Judicial Administration Efficiency and Modernization Fund	–2,985	9,974	–
0932 Trial Court Trust Fund	509,129	640,965	671,916
Totals, Local Assistance	\$1,694,938	\$1,948,113	\$1,954,822

PROGRAM REQUIREMENTS**25 SALARIES OF SUPERIOR COURT JUDGES**

Local Assistance:			
0932 Trial Court Trust Fund	\$188,235	\$206,000	\$214,576
Totals, Local Assistance	\$188,235	\$206,000	\$214,576

PROGRAM REQUIREMENTS**35 ASSIGNED JUDGES**

Local Assistance:			
0932 Trial Court Trust Fund	\$18,063	\$19,767	\$19,767
Totals, Local Assistance	\$18,063	\$19,767	\$19,767

PROGRAM REQUIREMENTS**45 COURT INTERPRETERS**

Local Assistance:			
0932 Trial Court Trust Fund	\$54,472	\$57,350	\$59,674
Totals, Local Assistance	\$54,472	\$57,350	\$59,674

TOTALS, EXPENDITURES (Local Assistance)	\$1,955,708	\$2,231,230	\$2,248,839
Unallocated Reduction	–	–	–37,835
NET TOTALS, EXPENDITURES (Local Assistance)	\$1,955,708	\$2,231,230	\$2,211,004

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
111 Budget Act appropriation (Transfer to Trial Court Trust Fund)	\$1,101,460	\$1,135,401	\$1,172,383
Allocation for employee compensation	1,770	—	—
Adjustment per Section 4.00	—	-1,782	—
Revised expenditure authority per Item 0450-101-0932, Provision 11	—	23,215	—
112 Budget Act appropriation (Transfer to Judicial Administration Efficiency and Modernization Fund)	37,322	34,122	34,122
Totals Available	\$1,140,552	\$1,190,956	\$1,206,505
Unexpended balance, estimated savings	—	-28,200	—
TOTALS, EXPENDITURES	\$1,140,552	\$1,162,756	\$1,206,505

0159 Trial Court Improvement Fund ^s

APPROPRIATIONS			
Government Code Section 77209(g)	\$48,242	\$134,418	\$76,401
TOTALS, EXPENDITURES	\$48,242	\$134,418	\$76,401

0556 Judicial Administration Efficiency and Modernization Fund ^s

APPROPRIATIONS			
112 Budget Act appropriation	\$37,322	\$34,122	\$34,122
Prior year balances available:			
Item 0450-112-0556/1999, Reapp by Item 0450-490/2000	8,000	—	—
Item 0450-112-0556/2000	—	9,974	—
Totals Available	\$45,322	\$44,096	\$34,122
Unexpended balance, estimated savings	-1,011	—	—
Balance available in subsequent years	-9,974	—	—
TOTALS, EXPENDITURES	\$34,337	\$44,096	\$34,122
Less funding provided by the General Fund	-37,322	-34,122	-34,122
NET TOTALS, EXPENDITURES	-\$2,985	\$9,974	—

0932 Trial Court Trust Fund ^a

APPROPRIATIONS			
101 Budget Act appropriation	\$1,988,475	\$2,081,310	\$2,118,292
Allocation for employee compensation	1,770	—	—
Adjustment per Section 4.00	—	-1,782	—
Revised expend auth per GC Sec 77209 (Transfer to Trial Court Improvement Fund)	-18,100	-17,728	-17,811
Non-receipt of revenue	-43,071	-44,000	—
Transfer from Judicial Administration Efficiency and Modernization Fund per Government Code Section 77213	3,200	—	—
Revised expenditure authority per Provision 11	—	23,215	—
Prior year balances available:			
Item 0450-101-0932/2000	—	39,901	—
Totals Available	\$1,932,274	\$2,080,916	\$2,100,481
Unexpended balance, estimated savings	-16,044	-28,200	—
Balance available in subsequent years	-39,901	—	—
TOTALS, EXPENDITURES	\$1,876,329	\$2,052,716	\$2,100,481
Less funding provided by the General Fund	-1,103,230	-1,128,634	-1,172,383
Less funding provided by the Judicial Administration Efficiency & Modernization Fund	-3,200	—	—
NET TOTALS, EXPENDITURES	\$769,899	\$924,082	\$928,098
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,955,708	\$2,231,230	\$2,211,004

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued**FUND CONDITION STATEMENT****0159 Trial Court Improvement Fund ^s**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
BEGINNING BALANCE.....	\$15,910	\$103,816	\$45,716
Prior year adjustments	62,484	—	—
Balance, Adjusted.....	\$78,394	\$103,816	\$45,716
REVENUES AND TRANSFERS			
Revenues:			
131700 Miscellaneous revenues from local agencies, fines and forfeitures (Government Code Section 68090.8 and 77205)	51,447	54,680	54,680
150300 Income from Surplus Money Investments Fund (SMIF)	4,116	3,910	3,910
161000 Escheat—Checks, Warrants	1	—	—
Totals, Revenues	\$55,564	\$58,590	\$58,590
Transfers from Other Funds:			
F00932 Trial Court Trust Fund—1% reserve (Government Code Section 77209).....	18,100	17,728	17,811
Transfers to Other Funds:			
T00001 General Fund (Item 0450-111-0159, Budget Act of 2002)	—	—	—28,110
Totals, Transfers to Other Funds	—	—	—28,110
Totals, Transfers	\$18,100	\$17,728	—\$10,299
Totals, Revenues and Transfers	\$73,664	\$76,318	\$48,291
Totals, Resources	\$152,058	\$180,134	\$94,007

EXPENDITURES

Disbursements:			
0450 State Trial Court Funding (Local Assistance)	48,242	134,418	76,401
9900 Statewide General Administrative (Pro Rata) (Local Assistance)	—	—	415
Totals, Disbursements	\$48,242	\$134,418	\$76,816
FUND BALANCE.....	\$103,816	\$45,716	\$17,191
Reserve for economic uncertainties	103,816	45,716	17,191

**0556 Judicial Administration Efficiency and
Modernization Fund ^s**

BEGINNING BALANCE.....	\$8,000	\$16,503	\$7,636
Prior year adjustments	4,436	—	—
Balance, Adjusted.....	\$12,436	\$16,503	\$7,636
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from Surplus Money Investments	1,082	1,107	977
Totals, Revenues and Transfers.....	\$1,082	\$1,107	\$977
Totals, Resources	\$13,518	\$17,610	\$8,613

EXPENDITURES

Disbursements:			
0450 State Trial Court Funding (Local Assistance)	34,337	44,096	34,122
Expenditure Reductions:			
0450 Trial Court Funding (Local Assistance):			
Less funding provided by the General Fund	—37,322	—34,122	—34,122
Totals, Expenditures.....	—\$2,985	\$9,974	—
FUND BALANCE.....	\$16,503	\$7,636	\$8,613
Reserve for economic uncertainties	16,503	7,636	8,613

0932 Trial Court Trust Fund ⁿ

BEGINNING BALANCE.....	\$5,841	\$62,565	—
Prior year adjustments	—254	—	—
Balance, Adjusted.....	\$5,587	\$62,565	—

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued**REVENUES AND TRANSFERS**

Operating Revenues:	2000-01*	2001-02*	2002-03*
216500 Court filing fees (Government Code Section 68085)	\$155,078	\$151,998	\$152,000
250300 Income from surplus money investments	7,699	7,604	7,704
299000 Other (miscellaneous revenues from local agencies):			
County contribution (Government Code Section 77201.1(b)(1))	459,502	475,072	475,072
Fines and forfeitures (Government Code Section 77201)	222,270	222,996	222,996
Other miscellaneous revenues	428	21,575	88,137
Totals, Operating Revenues	\$844,977	\$879,245	\$945,909
Transfers to Other Funds:			
T00159 Trial Court Improvement Fund per Government Code Section 77209	-18,100	-17,728	-17,811
Totals, Transfers to Other Funds	-\$18,100	-\$17,728	-\$17,811
Totals, Revenues and Transfers	\$826,877	\$861,517	\$928,098
Totals, Resources	\$832,464	\$924,082	\$928,098

EXPENDITURES

Disbursements:			
0450 State Trial Court Funding (Local Assistance)	1,876,329	2,057,916	2,100,481
Expenditure Reductions:			
0450 State Trial Court Funding (Local Assistance):			
Less funding provided by the General Fund	-1,103,230	-1,133,834	-1,172,383
Less funding provided by the Judicial Administration Efficiency and Modernization Fund	-3,200	-	-
Totals, Expenditures	\$769,899	\$924,082	\$928,098
FUND BALANCE	\$62,565	-	-
Reserve for economic uncertainties	62,565	-	-

0500 GOVERNOR'S OFFICE

Article V of the Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained at Sacramento.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10.10 Governor's Office (General Fund) ..	86.0	86.0	86.0	\$5,595	\$5,576	\$5,301

SUMMARY BY OBJECT**1 STATE OPERATIONS**

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions	86.0	86.0	86.0	\$4,433	\$4,373	\$4,373
Totals, Personal Services	86.0	86.0	86.0	\$4,433	\$4,373	\$4,373
OPERATING EXPENSES AND EQUIPMENT				\$1,162	\$1,203	\$928
TOTALS, EXPENDITURES				\$5,595	\$5,576	\$5,301

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$5,511	\$5,705	\$5,301
Allocation for employee compensation	84	-	-
Adjustment per Section 3.60	-	76	-

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0500 GOVERNOR'S OFFICE—Continued

	2000-01*	2001-02*	2002-03*
Adjustment per Section 3.90	—	-\$150	—
Adjustment per Section 4.00	—	-55	—
TOTALS, EXPENDITURES	\$5,595	\$5,576	\$5,301
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$5,595	\$5,576	\$5,301

Governor's Office
0505 DEPARTMENT OF INFORMATION TECHNOLOGY

The Department of Information Technology, created by Executive Order and placed in statute by SB 1 (Chapter 508, Statutes of 1995) assumed responsibility for the strategic direction and oversight of the State's significant investment in information technology, effective January 1, 1996. Under SB 1, authorization for the Department would have sunset on July 1, 2000. However, AB 1686 (Chapter 873, 1999) extends the sunset date to July 1, 2002. The Department is charged with providing leadership, guidance, statewide coordination and oversight of information technology in state government. The Department is responsible for: developing strategic plans and policies to support and promote effective application of information technology within state government; overseeing the consolidation of information technology resources; evaluating and monitoring the implementation of agency and department information technology initiatives; improving information technology support processes including procurement, project management and risk management; and for the creation of user and other advisory committees to support these objectives.

Major Budget Adjustment Proposed for 2001-02

- Reduction Issues in the November Revision
 - \$684,000 General Fund to reflect a delay in the office relocation, which was proposed for October 2001.

Major Budget Adjustment Proposed for 2002-03

- Reductions
 - \$1.5 million General Fund and 13.0 positions to reflect reductions in consulting services and personal services.

Authority

Government Code Section 11700 et seq.

SUMMARY OF PROGRAM REQUIREMENTS						
	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Administration of Information Technology	55.8	76.0	63.7	\$11,411	\$11,061	\$9,561
0001 General Fund				10,662	10,311	8,811
0995 Reimbursements				749	750	750

SUMMARY BY OBJECT
1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	55.8	79.0	79.0	\$3,681	\$5,298	\$5,422
Total Adjustments	—	1.0	-12.0	—	—	-654
Estimated Salary Savings	—	-4.0	-3.3	—	-265	-239
Net Totals, Salaries and Wages	55.8	76.0	63.7	\$3,681	\$5,033	\$4,529
Staff Benefits	—	—	—	538	919	844
Totals, Personal Services	55.8	76.0	63.7	\$4,219	\$5,952	\$5,373
OPERATING EXPENSES AND EQUIPMENT				\$7,042	\$5,109	\$4,188
TOTALS, EXPENDITURES				\$11,261	\$11,061	\$9,561

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$10,520	\$10,617	\$8,811
Allocation for employee compensation	66	—	—
Adjustment per Section 3.60	-62	195	—

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0505 DEPARTMENT OF INFORMATION TECHNOLOGY—Continued

	2000-01*	2001-02*	2002-03*
Adjustment per Section 3.90	—	-\$292	—
Adjustment per Section 4.00	—	-25	—
Revised expenditure authority per Provisions 1-5	—	500	—
Totals Available	\$10,524	\$10,995	\$8,811
Unexpended balance, estimated savings	-12	-684	—
TOTALS, EXPENDITURES	\$10,512	\$10,311	\$8,811
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$749	\$750	\$750
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$11,261	\$11,061	\$9,561

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
101 Budget Act appropriation	\$150	—	—
TOTALS, EXPENDITURES	\$150	—	—
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$150	—	—
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$11,411	\$11,061	\$9,561

CHANGES IN
AUTHORIZED POSITIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	55.8	79.0	79.0	\$3,681	\$5,298	\$5,422
Workload and Administrative Adjustments:						
Reductions in Authorized Positions:				Salary Range		
DP Mgr III	—	—	-1.0	6,032-6,651	—	-76
Sr Info Sys Analyst-Spec	—	—	-1.0	4,958-6,026	—	-66
Sys Software Spec II-Tech	—	—	-1.0	4,949-6,015	—	-65
Staff Svcs Mgr II-Supvry	—	—	-1.0	4,963-5,987	—	-66
Staff Info Sys Analyst-Spec	—	—	-1.0	4,507-5,480	—	-60
Staff Svcs Mgr I	—	—	-1.0	4,520-5,453	—	-60
Assoc Info Sys Analyst-Spec	—	—	-1.0	4,110-4,997	—	-54
Assoc Pers Analyst	—	—	-1.0	3,915-4,759	—	-52
Exec Asst	—	—	-1.0	2,926-3,556	—	-39
Mgt Svcs Techn	—	—	-1.0	2,220-3,049	—	-30
Secty	—	—	-1.0	2,390-2,906	—	-32
Ofc Techn-Typing	—	—	-2.0	2,348-2,855	—	-62
Temporary Help	—	—	—	—	—	-100
Totals, Workload and Administrative Adjustments	—	—	-13.0	—	—	-\$762
Proposed New Positions:						
Chief Counsel II	—	1.0	1.0	8,681-9,388	—	108
Totals, Proposed New Positions	—	1.0	1.0	—	—	\$108
Total Adjustments	—	1.0	-12.0	—	—	-\$654
TOTALS, SALARIES AND WAGES	55.8	80.0	67.0	\$3,681	\$5,298	\$4,768

* Dollars in thousands, except in Salary Range.

Governor's Office
0510 SECRETARY FOR STATE AND CONSUMER SERVICES

The State and Consumer Services Agency brings together a diverse array of State departments which include: the California Science Center, the California African American Museum, the Department of Consumer Affairs, the Department of Fair Employment and Housing, the Fair Employment and Housing Commission, the Franchise Tax Board, the Department of General Services, the State Personnel Board, the Public Employees' Retirement System, the State Teachers' Retirement System, and the Building Standards Commission. In addition, within the Agency is the Office of the Insurance Advisor which provides expertise to the Governor on insurance-related issues including legislative bill analysis, constituent services and the development of policy initiatives.

Major Budget Adjustment Proposed for 2002-03:

- Reductions
 - \$132,000 General Fund and 0.2 position to reflect reductions in operating expenses and personal services.

Authority

Government Code Sections 12800, 12801 and 12804.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Administration of State and Consumer Services Agency.....	9.0	13.0	12.8	\$5,295	\$8,510	\$1,267
0001 General Fund.....				3,361	1,798	742
0995 Reimbursements				1,934	6,712	525

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A)	9.0	13.3	13.3	\$743	\$1,079	\$1,087
Total Adjustments	-	-	-0.2	-	-	-18
Estimated Salary Savings	-	-0.3	-0.3	-	-19	-19
Net Totals, Salaries and Wages	9.0	13.0	12.8	\$743	\$1,060	\$1,050
Staff Benefits	-	-	-	98	181	184
Totals, Personal Services	9.0	13.0	12.8	\$841	\$1,241	\$1,234
OPERATING EXPENSES AND EQUIPMENT				\$4,454	\$7,269	\$33
TOTALS, EXPENDITURES				\$5,295	\$8,510	\$1,267

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$873	\$1,182	\$742
Allocation for employee compensation	16	-	-
Adjustment per Section 3.60	-3	25	-
Adjustment per Section 3.90	-	-26	-
Adjustment per Section 4.60 (Rental Rate).....	7	9	-
Adjustment per Section 4.00	-	-7	-
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	-	15	-
Revised expenditure authority per Government Code Section 8625	2,500	600	-
Totals Available	\$3,393	\$1,798	\$742
Unexpended balance, estimated savings	-32	-	-
TOTALS, EXPENDITURES	\$3,361	\$1,798	\$742

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$1,934	\$6,712	\$525
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$5,295	\$8,510	\$1,267

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0510 SECRETARY FOR STATE AND CONSUMER SERVICES—Continued

CHANGES IN AUTHORIZED POSITIONS						
	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
Totals, Authorized Positions	9.0	13.3	13.3	\$743	\$1,079	\$1,087
Workload and Administrative Adjustments:						
Reduction in Authorized Positions:				Salary Range		
Temporary Help	—	—	—0.2	—	—	—18
Total Adjustments.....	—	—	—0.2	—	—	—\$18
TOTALS, SALARIES AND WAGES	9.0	13.3	13.1	\$743	\$1,079	\$1,069

Governor's Office
0520 SECRETARY FOR BUSINESS, TRANSPORTATION AND HOUSING

The strength of California's economy depends on efficient markets and sound infrastructure that encourages business and housing development. The Secretary of the Business, Transportation and Housing Agency is a member of the Governor's Cabinet and oversees fourteen departments with responsibility for maintaining the strength of California's infrastructure and the efficiencies of its financial markets.

Departments that provide financial regulation important to an efficient marketplace include: the Department of Alcoholic Beverage Control, Department of Financial Institutions, Department of Corporations, Department of Real Estate, and the Office of Real Estate Appraisers. The Department of Housing and Community Development and the California Housing Finance Agency provide resources to foster neighborhood development.

The Department of Managed Health Care oversees managed health care in California. The Office of Patient Advocate, responsible for protecting patient rights, is an independent component of the Department.

Departments that support transportation for the safe, efficient flow of people and commerce include: the Department of the California Highway Patrol, Department of Motor Vehicles, Department of Transportation, and the Office of Traffic Safety. In addition, the Stephen P. Teale Data Center provides state-of-the-art computer technology services to government organizations throughout the state.

SUMMARY OF PROGRAM REQUIREMENTS						
	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
10 Administration of BT&H Agency	18.6	17.2	17.2	\$2,352	\$2,254	\$2,254
30 Agency Audits Office	3.7	3.8	3.8	392	401	401
TOTALS, PROGRAMS.....	22.3	21.0	21.0	\$2,744	\$2,655	\$2,655
0001 General Fund				194	—	—
0044 Motor Vehicle Account, State Transportation Fund				897	972	972
0995 Reimbursements				1,653	1,683	1,683

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

**10 ADMINISTRATION OF BUSINESS, TRANSPORTATION
AND HOUSING AGENCY**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
State Operations:			
0001 General Fund	\$194	—	—
0044 Motor Vehicle Account, State Transportation Fund	897	\$972	\$972
0995 Reimbursements.....	1,261	1,282	1,282
Totals, State Operations	\$2,352	\$2,254	\$2,254

PROGRAM REQUIREMENTS

30 AGENCY AUDITS OFFICE

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
State Operations:			
0995 Reimbursements.....	\$392	\$401	\$401
Totals, State Operations	\$392	\$401	\$401
Totals, Expenditures (State Operations).....	\$2,744	\$2,655	\$2,655
TOTALS, EXPENDITURES	\$2,744	\$2,655	\$2,655

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0520 SECRETARY FOR BUSINESS, TRANSPORTATION AND HOUSING—Continued

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	22.3	22.0	22.0	\$1,515	\$1,577	\$1,582
Estimated Salary Savings	—	-1.0	-1.0	—	-68	-68
Net Totals, Salaries and Wages	22.3	21.0	21.0	\$1,515	\$1,509	\$1,514
Staff Benefits	—	—	—	232	208	203
Totals, Personal Services	22.3	21.0	21.0	\$1,747	\$1,717	\$1,717
OPERATING EXPENSES AND EQUIPMENT				\$997	\$938	\$938
TOTALS, EXPENDITURES				\$2,744	\$2,655	\$2,655

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$206	—	—
Allocation for employee compensation	2	—	—
Adjustment per Section 3.60	-1	—	—
Totals Available	\$207	—	—
Unexpended balance, estimated savings	-13	—	—
TOTALS, EXPENDITURES	\$194	—	—

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$961	\$952	\$972
Allocation for employee compensation	16	—	—
Adjustment per Section 3.60	-6	26	—
Adjustment per Section 4.00	—	-7	—
Allocation for Department of Justice Attorney Services	—	1	—
Totals Available	\$971	\$972	\$972
Unexpended balance, estimated savings	-74	—	—
TOTALS, EXPENDITURES	\$897	\$972	\$972

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$1,653	\$1,683	\$1,683
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,744	\$2,655	\$2,655

Governor's Office
0530 SECRETARY FOR CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY

The California Health and Human Services Agency administers the State's health, social services, rehabilitation and employment programs. The following departments fall under the purview of the California Health and Human Services Agency: the Departments of Aging, Alcohol and Drug Programs, Developmental Services, Health Services, Child Support Services, Mental Health, Rehabilitation, Social Services, the Emergency Medical Services Authority, the Employment Development Department, the California Workforce Investment Board, the Health and Human Services Agency Data Center, the Office of Statewide Health Planning and Development, the Managed Risk Medical Insurance Board, the Department of Community Services and Development, and the newly formed Office of Health Insurance Portability and Accountability Act (HIPAA) Implementation. Together, these departments are comprised of approximately 42,300 personnel years and manage total combined budgets of \$66.7 billion in State and federal funds.

Authority

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office

0530 SECRETARY FOR CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY—Continued

SUMMARY OF PROGRAM

REQUIREMENTS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Secretary for Health and Human Services	19.9	23.7	21.7	\$2,932	\$2,696	\$2,531
21 Office of HIPAA Implementation.....	—	11.4	11.4	—	2,023	2,624
TOTALS, PROGRAMS.....	19.9	35.1	33.1	\$2,932	\$4,719	\$5,155
0001 General Fund.....				1,793	3,248	3,503
0995 Reimbursements				1,139	1,471	1,652

10 SECRETARY FOR HEALTH AND HUMAN SERVICES

Program Objectives Statement

The Secretary for California Health and Human Services Agency, a member of the Governor's Cabinet, advises the Governor on major policy and program matters and oversees the operation of the Agency's departments.

Major Budget Adjustments Proposed for 2002-03:

- Other Reductions
 - \$180,000 (General Fund) and 2 personnel years in the Office of the Secretary.
 - \$50,000 in reimbursements to fund conferences, public forums, and other public awareness projects, which promote health and human services-related issues.

21 OFFICE OF HIPAA IMPLEMENTATION (OHI)

Program Objectives Statement

The Office of HIPAA Implementation (OHI) has statewide responsibility for the implementation of the federal Health Insurance Portability and Accountability Act (HIPAA). The portion of HIPAA dealing with administrative simplification requires all billing and other electronic data transmissions to be standardized, as well as establishing new standards for the confidentiality and security of this information. The OHI was established to direct and monitor this process, which will involve many departments throughout State government. The OHI provides a central focus for HIPAA implementation, as well as ensuring consistency among departments, and that systems that exchange data can continue to do so. OHI works at a national level to represent the State of California as well as counties, providers, payers, and other health interests.

Major Budget Adjustments Proposed for 2001-02:

- Reduction issues in the November Revision
 - \$601,000 (\$469,000 General Fund) for unspent funds formerly budgeted for the Office of HIPAA Implementation.
 - \$2.6 million (\$2.0 million General Fund) to establish the Office of HIPAA Implementation.

Major Budget Adjustment Proposed for 2002-03:

- \$2.6 million (\$2.0 million General Fund) to continue the Office of HIPAA Implementation.

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	19.9	37.0	37.0	\$1,516	\$2,692	\$2,732
Total Adjustments	—	—	-2.0	—	—	-160
Estimated Salary Savings	—	-1.9	-1.9	—	-135	-129
Net Totals, Salaries and Wages	19.9	35.1	33.1	\$1,516	\$2,557	\$2,443
Staff Benefits	—	—	—	211	531	510
Totals, Personal Services	19.9	35.1	33.1	\$1,727	\$3,088	\$2,953
OPERATING EXPENSES AND EQUIPMENT				\$1,205	\$1,631	\$2,202
TOTALS, EXPENDITURES				\$2,932	\$4,719	\$5,155

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$1,874	\$1,584	\$1,458
Allocation for employee compensation	28	—	—
Adjustment per Section 3.60	-15	68	—

* Dollars in thousands, except in Salary Range.

Governor's Office

0530 SECRETARY FOR CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY—Continued

	2000-01*	2001-02*	2002-03*
Adjustment per Section 4.60 (Rental Rate).....	\$10	\$20	—
Adjustment per Section 4.00	—	—14	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	14	—
Allocation from Item 9909-001-0001 (HIPAA)	—	1,576	—
017 Budget Act appropriation	—	—	\$2,045
Totals Available	\$1,897	\$3,248	\$3,503
Unexpended balance, estimated savings	—104	—	—
TOTALS, EXPENDITURES	\$1,793	\$3,248	\$3,503
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements.....	\$1,139	\$1,471	\$1,652
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$2,932	\$4,719	\$5,155

CHANGES IN AUTHORIZED POSITIONS				2000-01*	2001-02*	2002-03*
	00-01	01-02	02-03			
Totals, Authorized Positions	19.9	37.0	37.0	\$1,516	\$2,692	\$2,732
Workload and Administrative Adjustments:						
Reductions in Authorized Positions:						
Office of Refugee Affairs:				Salary Range		
C.E.A. III.....	—	—	—1.0	7,648-8,432	—	—101
Office of the Secretary:						
Staff Svcs Mgr I.....	—	—	—1.0	4,520-5,453	—	—59
Totals, Workload and Administrative						
Adjustments	—	—	—2.0	—	—	—\$160
Total Adjustments	—	—	—2.0	—	—	—\$160
TOTALS, SALARIES AND WAGES	19.9	37.0	35.0	\$1,516	\$2,692	\$2,572

Governor's Office

0540 SECRETARY FOR RESOURCES

The Resources Agency, through its various departments, boards, commissions, and conservancies, administers programs that conserve, preserve, restore and enhance the rich and diverse natural resources of California. The Secretary for Resources, a member of the Governor's Cabinet, is responsible for administering programs and policies governing the acquisition, development and use of the State's resources to attain these objectives.

The Resources Agency consists of the Departments of Forestry and Fire Protection, Conservation, Fish and Game, Boating and Waterways, Parks and Recreation, and Water Resources; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the State Reclamation Board; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Conservation Corps; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; Baldwin Hills Conservancy; and the Special Resources Program.

The Secretary for Resources is a voting member of the State Coastal Conservancy, the California Tahoe Conservancy, the Santa Monica Mountains Conservancy, the Coachella Valley Mountains Conservancy, the San Joaquin River Conservancy, San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy, Baldwin Hills Conservancy, and the San Francisco Bay Conservation and Development Commission. The secretary is also an ex-officio, non-voting, member of the Energy Resources Conservation and Development Commission and the California Coastal Commission. Along with the United States Secretary of Interior, the secretary co-leads the CALFED Bay Delta Program. In addition, the secretary administers the Sea Grant Program, the Open Space Subvention Program, the California Environmental Quality Act, the San Joaquin River Management Program, the Environmental Enhancement and Mitigation Demonstration Program, and the Coastal Resources and Energy Assistance Programs.

Major Budget Adjustments Proposed for 2001-02

- Reduction Issues in the November Revision
 - \$11,000,000 General Fund for the River Parkways Program.
- Other Reductions
 - \$355,000 General Fund for the California Ocean Trust and various administrative expenses pursuant to Control Section 3.90, Budget Act of 2001.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0540 SECRETARY FOR RESOURCES—Continued

Major Budget Adjustments Proposed for 2002–03

- Reductions
 - \$3,147,000 General Fund for the Coastal County and City Offshore Energy Assistance Program.
 - \$111,000 General Fund for a research contract with the University of California.
 - \$15,203,000 Bay-Delta Ecosystem Restoration Account to ensure sufficient funds are available in future years for the CALFED Ecosystem Restoration Program.
- \$10,000,000 augmentation from the California Clean Water, Clean Air, Safe Neighborhood Parks and Coastal Protection Bond Act of 2002 (Proposition 40) for river parkway projects in the CALFED solution area.

Authority

Government Code Sections 12800, 12801 and 12805.

SUMMARY OF PROGRAM

REQUIREMENTS	00–01	01–02	02–03	2000–01*	2001–02*	2002–03*
10 Administration of Resources Agency...	25.1	34.0	34.0	\$38,045	\$227,202	\$168,624
TOTALS, PROGRAMS	25.1	34.0	34.0	\$38,045	\$227,202	\$168,624
0001 General Fund.....				7,849	15,354	3,606
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund.....				3,447	38,188	184
0140 California Environmental License Plate Fund.....				795	862	810
0183 Environmental Enhancement and Mitigation Demonstration Program Fund.....				120	124	124
0546 Bay-Delta Ecosystem Restoration Account.....				–	168,436	153,233
0890 Federal Trust Fund.....				300	324	153
0995 Reimbursements.....				534	514	514
6015 River Protection Subaccount.....				25,000	3,400	–
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund.....				–	–	10,000

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00–01	01–02	02–03	2000–01*	2001–02*	2002–03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	25.1	34.8	34.8	\$1,730	\$2,309	\$2,331
Estimated Salary Savings.....	–	–0.8	–0.8	–	–202	–224
Net Totals, Salaries and Wages.....	25.1	34.0	34.0	\$1,730	\$2,107	\$2,107
Staff Benefits.....	–	–	–	266	486	486
Totals, Personal Services.....	25.1	34.0	34.0	\$1,996	\$2,593	\$2,593
OPERATING EXPENSES AND EQUIPMENT				\$4,333	\$6,352	\$2,798
SPECIAL ITEMS OF EXPENSE						
Bay-Delta Ecosystem Restoration Projects.....				–	168,436	153,233
TOTALS, EXPENDITURES				\$6,329	\$177,381	\$158,624

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000–01*	2001–02*	2002–03*
APPROPRIATIONS			
001 Budget Act appropriation.....	\$7,781	\$4,033	\$3,606
Allocation for employee compensation.....	30	–	–
Adjustment per Section 3.60.....	–22	53	–
Adjustment per Section 3.90.....	–	–355	–
Adjustment per Section 4.60 (Rental Rate).....	10	13	–
Adjustment per Section 4.00.....	–	–14	–
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	–	17	–
Prior year balances available:			
Item 0540-001-0001/2000.....	–	3,190	–
Totals Available.....	\$7,799	\$6,937	\$3,606

* Dollars in thousands, except in Salary Range.

Governor's Office
0540 SECRETARY FOR RESOURCES—Continued

	2000-01*	2001-02*	2002-03*
Unexpended balance, estimated savings	-\$110	-	-
Balance available in subsequent years	-3,190	-	-
TOTALS, EXPENDITURES	\$4,499	\$6,937	\$3,606
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund ^b			
APPROPRIATIONS			
001 Budget Act appropriation	\$180	\$180	\$184
Allocation for employee compensation	1	-	-
Adjustment per Section 3.60	-2	5	-
Adjustment per Section 4.00	-	-1	-
Totals Available	\$179	\$184	\$184
Unexpended balance, estimated savings	-98	-	-
TOTALS, EXPENDITURES	\$81	\$184	\$184
0140 California Environmental License Plate Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$782	\$853	\$810
Allocation for employee compensation	23	-	-
Adjustment per Section 3.60	-3	10	-
Adjustment per Section 4.00	-	-1	-
Totals Available	\$802	\$862	\$810
Unexpended balance, estimated savings	-7	-	-
TOTALS, EXPENDITURES	\$795	\$862	\$810
0183 Environmental Enhancement and Mitigation Demonstration Program Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$120	\$121	\$124
Allocation for employee compensation	1	-	-
Adjustment per Section 3.60	-1	4	-
Adjustment per Section 4.00	-	-1	-
TOTALS, EXPENDITURES	\$120	\$124	\$124
0546 Bay-Delta Ecosystem Restoration Account ^b			
APPROPRIATIONS			
001 Budget Act appropriation	\$51,500	\$168,436	\$153,233
Totals Available	\$51,500	\$168,436	\$153,233
Unexpended balance, estimated savings	-51,500	-	-
TOTALS, EXPENDITURES	-	\$168,436	\$153,233
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$338	\$153	\$153
Budget Adjustment	-38	171	-
TOTALS, EXPENDITURES	\$300	\$324	\$153
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$534	\$514	\$514
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$6,329	\$177,381	\$158,624

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	2000-01*	2001-02*	2002-03*
Grants and Subventions	\$31,716	\$49,821	\$10,000

* Dollars in thousands, except in Salary Range.

Governor's Office
0540 SECRETARY FOR RESOURCES—Continued

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
101 Budget Act appropriation	\$3,397	\$18,147	—
Prior year balances available:			
Item 0540-103-0001/1999, Reapp by Chapters 672/2000 and 932/2001	1,270	1,270	—
Totals Available	\$4,667	\$19,417	—
Unexpended balance, estimated savings	-47	-11,000	—
Balance available in subsequent years	-1,270	—	—
TOTALS, EXPENDITURES	\$3,350	\$8,417	—
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund ^b			
APPROPRIATIONS			
101 Budget Act appropriation	\$41,370	—	—
Prior year balances available:			
Item 0540-101-0005/2000	—	\$38,004	—
Totals Available	\$41,370	\$38,004	—
Balance available in subsequent years	-38,004	—	—
TOTALS, EXPENDITURES	\$3,366	\$38,004	—
6015 River Protection Subaccount ^b			
APPROPRIATIONS			
101 Budget Act appropriation	\$25,000	\$3,400	—
TOTALS, EXPENDITURES	\$25,000	\$3,400	—
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund ^b			
APPROPRIATIONS			
101 Budget Act appropriation	—	—	\$10,000
TOTALS, EXPENDITURES	—	—	\$10,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$31,716	\$49,821	\$10,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$38,045	\$227,202	\$168,624

Governor's Office
0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY

The Youth and Adult Correctional Agency includes the Department of Corrections, Department of the Youth Authority, Board of Prison Terms, Youthful Offender Parole Board, Board of Corrections, the Narcotic Addict Evaluation Authority and the Commission on Correctional Peace Officers' Standards and Training. The Agency provides communication, coordination, and budget and policy direction for the departments and boards.

Major Budget Adjustment Proposed for 2002-03

- Reduction
 - A reduction of \$51,000 General Fund to reflect a state operations reduction.

Authority

Government Code Section 12811.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office

0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY—Continued

SUMMARY OF PROGRAM
REQUIREMENTS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Secretary for the Youth and Adult Correctional Agency	10.5	11.2	11.2	\$3,222	\$1,278	\$1,227
TOTALS, PROGRAMS	10.5	11.2	11.2	\$3,222	\$1,278	\$1,227
0001 General Fund				3,022	1,020	969
0995 Reimbursements				200	258	258

SUMMARY BY OBJECT
1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	10.5	11.3	11.3	\$749	\$908	\$912
Total Adjustments	—	—	—	—	—	—9
Estimated Salary Savings	—	-0.1	-0.1	—	-9	-9
Net Totals, Salaries and Wages	10.5	11.2	11.2	\$749	\$899	\$894
Staff Benefits	—	—	—	102	105	107
Totals, Personal Services	10.5	11.2	11.2	\$851	\$1,004	\$1,001
OPERATING EXPENSES AND EQUIPMENT				\$2,371	\$274	\$226
TOTALS, EXPENDITURES				\$3,222	\$1,278	\$1,227

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$3,017	\$1,027	\$969
Allocation for employee compensation	19	—	—
Adjustment per Section 3.60	—	3	—
Adjustment per Section 3.90	—	-1	—
Adjustment per Section 4.00	—	-9	—
Totals Available	\$3,036	\$1,020	\$969
Unexpended balance, estimated savings	-14	—	—
TOTALS, EXPENDITURES	\$3,022	\$1,020	\$969

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$200	\$258	\$258
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$3,222	\$1,278	\$1,227

CHANGES IN
AUTHORIZED POSITIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	10.5	11.3	11.3	\$749	\$908	\$912
Workload and Administrative Adjustments:						
Reductions in Authorized Positions:				Salary Range		
Overtime	—	—	—	—	—	-9
Totals, Workload and Administrative Adjustments	—	—	—	—	—	-\$9
Total Adjustments	—	—	—	—	—	-\$9
TOTALS, SALARIES AND WAGES	10.5	11.3	11.3	\$749	\$908	\$903

* Dollars in thousands, except in Salary Range.

0552 OFFICE OF THE INSPECTOR GENERAL

The Office of the Inspector General has the responsibility for oversight of the State's correctional system through audits and investigations of the boards and departments within the Youth and Adult Correctional Agency. Chapter 766, Statutes of 1994 created the Office of the Inspector General within the Youth and Adult Correctional Agency. However, Chapter 969, Statutes of 1998 changed and expanded the role of the Inspector General and re-established the office as an independent entity reporting directly to the Governor. The role of the Office of the Inspector General has been further expanded by the following legislation: Chapter 338, Statutes of 1998 required reviews of specified Internal Affairs investigations of the boards and departments within the Youth and Adult Correctional Agency; Chapter 806, Statutes of 1999 required investigations of complaints of retaliation against those reporting misconduct on the part of correctional agencies; and Chapter 918, Statutes of 1999, expanded the authority to conduct audits and investigations and required baseline audits following the confirmation of a new warden or the appointment of a superintendent.

Major Budget Adjustments Proposed for 2001-02

- Reduction Issues in the November Revision
 - \$55,000 General Fund and 1.0 position (0.9 personnel year) reduction in the Administrative Unit.
 - \$292,000 General Fund reduction to Operating Expenses and Equipment per Section 3.90 of the Budget Act of 2001.

Major Budget Adjustments Proposed for 2002-03

- Reduction Issues in the November Revision
 - \$55,000 General Fund and 1.0 position (0.9 personnel year) reduction in the Administrative Unit.
- Other Reduction
 - \$311,000 General Fund and 1.5 positions (1.4 personnel years) reduction for State Operations.

Authority

Penal Code, Part III, Title 7, Chapter 8.2, Sections 6125 to 6129.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Office of the Inspector General	85.5	108.2	97.3	\$10,391	\$11,007	\$9,985
TOTALS, PROGRAMS	85.5	108.2	97.3	\$10,391	\$11,007	\$9,985
0001 General Fund				10,391	11,007	9,985

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A)	85.5	114.9	104.9	\$5,518	\$7,213	\$6,773
Total Adjustments	-	-1.0	-2.5	-	-43	-115
Estimated Salary Savings	-	-5.7	-5.1	-	-359	-333
Net Totals, Salaries and Wages	85.5	108.2	97.3	\$5,518	\$6,811	\$6,325
Staff Benefits	-	-	-	757	1,265	1,158
Totals, Personal Services	85.5	108.2	97.3	\$6,275	\$8,076	\$7,483
OPERATING EXPENSES AND EQUIPMENT				\$4,116	\$2,931	\$2,502
TOTALS, EXPENDITURES				\$10,391	\$11,007	\$9,985

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$10,248	\$11,140	\$9,985
Allocation for employee compensation	123	-	-
Adjustment per Section 3.60	102	288	-
Adjustment per Section 3.90	-	-292	-
Adjustment per Section 4.00	-	-74	-
Totals Available	\$10,473	\$11,062	\$9,985
Unexpended balance, estimated savings	-82	-55	-
TOTALS, EXPENDITURES	\$10,391	\$11,007	\$9,985
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$10,391	\$11,007	\$9,985

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0552 OFFICE OF THE INSPECTOR GENERAL—Continued

CHANGES IN AUTHORIZED POSITIONS							
	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*	
Totals, Authorized Positions	85.5	114.9	104.9	\$5,518	\$7,213	\$6,773	
Workload and Administrative Adjustments:							
Reductions in Authorized Positions:				Salary Range			
Assoc Govtl Prog Analyst	—	—	-1.0	3,915-4,759	—	-52	
Recds Mgmt Analyst I	—	-1.0	-1.0	3,255-3,957	-43	-45	
Staff Svcs Analyst	—	—	-0.5	2,507-3,957	—	-18	
Totals, Workload and Administrative Adjustments	—	-1.0	-2.5	—	-\$43	-\$115	
Total Adjustments	—	-1.0	-2.5	—	-\$43	-\$115	
TOTALS, SALARIES AND WAGES	85.5	113.9	102.4	\$5,518	\$7,170	\$6,658	

0553 OFFICE OF THE INSPECTOR GENERAL FOR VETERANS AFFAIRS

Chapter 894, Statutes of 1999 created the Office of the Inspector General for Veterans Affairs. The Inspector General for Veterans Affairs has responsibility for reviewing the operations and financial conditions of State Veterans Homes, the State Farm and Home Purchase Program, State Veterans Services, and all other veterans programs supported by the State, including County Veterans Service Offices and Veterans Memorial Districts. The Inspector General also is required to operate a toll-free complaint hotline for veterans, conduct audits and investigations of State veterans programs, and to make recommendations for improving the operations of veterans programs.

Major Budget Adjustment Proposed for 2002-03

- Reduction
 - \$29,000 General Fund for operating expenses.

Authority

Military-Veterans Code, Division 1, Chapter 2, Sections 73.5, 73.6, and 73.7.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Office of the Inspector General for Veterans Affairs	4.0	3.8	3.8	\$548	\$555	\$531
0001 General Fund				469	470	441
0592 Veterans' Farm and Home Building Fund of 1943				79	85	90

SUMMARY BY OBJECT**1 STATE OPERATIONS**

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A)	4.0	4.0	4.0	\$271	\$258	\$262
Estimated Salary Savings	—	-0.2	-0.2	—	-13	-13
Net Totals, Salaries and Wages	4.0	3.8	3.8	\$271	\$245	\$249
Staff Benefits	—	—	—	35	43	43
Totals, Personal Services	4.0	3.8	3.8	\$306	\$288	\$292
OPERATING EXPENSES AND EQUIPMENT				\$242	\$267	\$239
TOTALS, EXPENDITURES				\$548	\$555	\$531

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$515	\$475	\$441
Allocation for employee compensation	2	—	—
Adjustment per Section 3.60	-3	9	—

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0553 OFFICE OF THE INSPECTOR GENERAL FOR VETERANS AFFAIRS—Continued

	2000-01*	2001-02*	2002-03*
Adjustment per Section 3.90	—	-\$12	—
Adjustment per Section 4.00	—	-2	—
Totals Available	\$514	\$470	\$441
Unexpended balance, estimated savings	-45	—	—
TOTALS, EXPENDITURES	\$469	\$470	\$441
0592 Veterans Farm and Home Building Fund of 1943 "			
APPROPRIATIONS			
001 Budget Act appropriation	\$90	\$83	\$90
Adjustment per Section 3.60	-1	2	—
Totals Available	\$89	\$85	\$90
Unexpended balance, estimated savings	-10	—	—
TOTALS, EXPENDITURES	\$79	\$85	\$90
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$548	\$555	\$531

Governor's Office**0555 SECRETARY FOR ENVIRONMENTAL PROTECTION**

The Secretary for Environmental Protection (CalEPA), a member of the Governor's Cabinet, manages the State's environmental protection programs. The Secretary oversees the operations of the following organizations: Air Resources Board, California Integrated Waste Management Board, Department of Pesticide Regulation, State Water Resources Control Board, Department of Toxic Substances Control, and Office of Environmental Health Hazard Assessment. In addition, the Secretary administers the following special environmental programs: Permit Assistance Centers, Scientific Peer Review, Circuit Prosecutor Project, and Environmental Enforcement.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Environmental Protection Programs	26.0	34.8	34.8	\$3,748	\$5,454	\$5,184
20 Special Environmental Programs	30.6	37.0	10.3	4,886	4,004	2,337
TOTALS, PROGRAMS.....	56.6	71.8	45.1	\$8,634	\$9,458	\$7,521
0001 General Fund				4,209	3,684	2,895
0014 Hazardous Waste Control Account				316	334	355
0028 Unified Program Account				—	823	823
0044 Motor Vehicle Account, State Transportation Fund				499	544	605
0100 California Used Oil Recycling Fund				25	29	31
0106 Department of Pesticide Regulation Fund				195	206	219
0193 Waste Discharge Permit Fund				12	—	—
0226 California Tire Recycling Management Fund				1	—	—
0281 Recycling Market Development Revolving Loan Account				143	151	161
0387 Integrated Waste Management Account				383	428	457
0439 Underground Storage Tank Cleanup Fund				51	54	57
0679 State Water Quality Control Fund				134	134	134
0995 Reimbursements				2,666	3,071	1,784
1006 Rural CUPA Reimbursement Account				—	900	900
Less funding provided by General Fund				—	-900	-900

10 ENVIRONMENTAL PROTECTION PROGRAMS**Program Objectives Statement**

The primary objective of Environmental Protection Programs is to improve environmental quality and protect public health and California's natural resources through the coordination of State environmental programs, thereby reducing administrative duplication and addressing the greatest environmental and health risks.

Authority

Governor's Reorganization Plan No. 1 of 1991 and Health and Safety Code, Division 37.

20 SPECIAL ENVIRONMENTAL PROGRAMS**Program Objectives Statement**

Special Environmental Programs includes Permit Assistance Centers, Scientific Peer Review, the Circuit Prosecutor Project, and Environmental Enforcement.

Permit Assistance Centers assist individuals and businesses—both in person and by telephone— with their permitting needs. Two Centers, one in Northern California and one in Southern California, provide a single point of contact to: (1) guide individuals and businesses through complex regulatory systems, (2) eliminate regulatory overlap, and (3) promote government coordination. Employees of cities, counties, regional governments and the State work together in shared facilities to better coordinate services to the public. In addition to the two Centers, an Internet site (CalGold) provides greater convenience to those seeking permit assistance.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

Chapter 295, Statutes of 1997, requires CalEPA to conduct an external peer review of the scientific basis for any rule or regulation proposed by a board, department or office within CalEPA. The external scientific body may be the National Academy of Sciences, the University of California, the California State University, or similar scientific institutions of higher learning, or a combination of those entities, or a scientist or group of scientists of comparable stature that is recommended by the President of the University of California. Program funds are used to contract for external scientific review services for various CalEPA boards, departments and offices, and to pay for related personnel, travel, and overhead costs.

The Circuit Prosecutor Project is a joint effort with the California District Attorneys' Association to assure appropriate and uniform environmental enforcement by handling criminal and civil environmental prosecutions of cases under State toxic, air, and water pollution laws in various rural counties.

The Environmental Enforcement Project implements Chapter 65, Statutes of 1999, requiring the Secretary to develop a program to ensure that enforcement actions taken within CalEPA's jurisdiction are consistent, effective, and coordinated, including the establishment of a cross-media enforcement unit and the cross-training of inspection and enforcement personnel.

Major Budget Adjustments Proposed for 2002–03

- A reduction of \$352,000 General Fund for the Scientific Peer Review Program.
- A reduction of 27.4 positions related to elimination of 12 Permit Assistance Centers.

Authority

Governor's Reorganization Plan No. 1 of 1991, Health and Safety Code, Division 37 and Section 57004.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 ENVIRONMENTAL PROTECTION PROGRAMS

State Operations:	2000–01*	2001–02*	2002–03*
0001 General Fund	\$498	\$2,014	\$1,664
0028 Unified Program Account	—	823	823
0044 Motor Vehicle Account, State Transportation Fund	486	544	605
0100 California Used Oil Recycling Fund	24	29	31
0387 Integrated Waste Management Account	226	260	277
0995 Reimbursements	2,514	1,784	1,784
1006 Rural CUPA Reimbursement Account	—	900	900
Less funding provided by General Fund	—	–900	–900
Totals, State Operations	\$3,748	\$5,454	\$5,184

PROGRAM REQUIREMENTS

20 SPECIAL ENVIRONMENTAL PROGRAMS

State Operations:	2000–01*	2001–02*	2002–03*
0001 General Fund	\$3,711	\$1,670	\$1,231
0014 Hazardous Waste Control Account	316	334	355
0044 Motor Vehicle Account, State Transportation Fund	13	—	—
0100 California Used Oil Recycling Fund	1	—	—
0106 Department of Pesticide Regulation Fund	195	206	219
0193 Waste Discharge Permit Fund	12	—	—
0226 California Tire Recycling Management Fund	1	—	—
0281 Recycling Market Development Revolving Loan Account	143	151	161
0387 Integrated Waste Management Account	157	168	180
0439 Underground Storage Tank Cleanup Fund	51	54	57
0679 State Water Quality Control Fund	134	134	134
0995 Reimbursements	152	1,287	—
Totals, State Operations	\$4,886	\$4,004	\$2,337

ELEMENT REQUIREMENTS

20.10 Permit Assistance Centers	2,445	1,767	479
20.15 Scientific Peer Review	1,144	1,186	874
20.20 Circuit Prosecutor Project	420	436	455
20.25 Information Technology	46	—	—
20.30 Environmental Enforcement	521	529	529
20.45 Environmental Management Systems	310	86	—

TOTALS, EXPENDITURES (State Operations)	\$4,886	\$4,004	\$2,337
TOTALS, EXPENDITURES	\$8,634	\$9,458	\$7,521

* Dollars in thousands, except in Salary Range.

Governor's Office
0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	56.6	75.4	74.9	\$3,645	\$4,567	\$4,595
Total Adjustments	—	—	-27.4	—	—	1,390
Estimated Salary Savings	—	-3.6	-2.4	—	-142	-97
Net Totals, Salaries and Wages	56.6	71.8	45.1	\$3,645	\$4,425	\$3,108
Staff Benefits	—	—	—	531	771	576
Totals, Personal Services	56.6	71.8	45.1	\$4,176	\$5,196	\$3,684
OPERATING EXPENSES AND EQUIPMENT				\$4,458	\$4,262	\$3,837
TOTALS, EXPENDITURES				\$8,634	\$9,458	\$7,521

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$4,177	\$2,737	\$1,995
Allocation for employee compensation	41	—	—
Adjustment per Section 3.60	-9	61	—
Adjustment per Section 4.00	—	-14	—
011 Budget Act appropriation (Transfer to Rural CUPA Reimbursement Account)	—	900	900
TOTALS, EXPENDITURES	\$4,209	\$3,684	\$2,895

0014 Hazardous Waste Control Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$316	\$334	\$355
TOTALS, EXPENDITURES	\$316	\$334	\$355

0028 Unified Program Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	—	\$799	\$823
Adjustment per Section 3.60	—	24	—
TOTALS, EXPENDITURES	—	\$823	\$823

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$494	\$534	\$605
Allocation for employee compensation	7	—	—
Adjustment per Section 3.60	-2	13	—
Adjustment per Section 4.00	—	-3	—
TOTALS, EXPENDITURES	\$499	\$544	\$605

0100 California Used Oil Recycling Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$25	\$28	\$31
Adjustment per Section 3.60	—	1	—
TOTALS, EXPENDITURES	\$25	\$29	\$31

0106 Department of Pesticide Regulation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$195	\$206	\$219
TOTALS, EXPENDITURES	\$195	\$206	\$219

* Dollars in thousands, except in Salary Range.

Governor's Office

0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

0193 Waste Discharge Permit Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$12	—	—
TOTALS, EXPENDITURES	\$12	—	—

0226 California Tire Recycling Management Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$1	—	—
TOTALS, EXPENDITURES	\$1	—	—

0281 Recycling Market Development Revolving Loan Subaccount ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$143	\$151	\$161
TOTALS, EXPENDITURES	\$143	\$151	\$161

0387 Integrated Waste Management Account, Integrated
Waste Management Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$381	\$422	\$457
Allocation for employee compensation	3	—	—
Adjustment per Section 3.60	-1	6	—
Adjustment per Section 4.00	—	-1	—
Allocation for Department of Justice Attorney Services	—	1	—
TOTALS, EXPENDITURES	\$383	\$428	\$457

0439 Underground Storage Tank Cleanup Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$51	\$54	\$57
TOTALS, EXPENDITURES	\$51	\$54	\$57

0679 State Water Quality Control Fund ⁿ

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$134	\$134	\$134
TOTALS, EXPENDITURES	\$134	\$134	\$134

0995 Reimbursements

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
Reimbursements	\$2,666	\$3,071	\$1,784

1006 Rural CUPA Reimbursement Account ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	—	\$900	\$900
TOTALS, EXPENDITURES	—	\$900	\$900
Less funding provided by General Fund	—	-900	-900
NET TOTALS, EXPENDITURES	—	—	—
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$8,634	\$9,458	\$7,521

FUND CONDITION STATEMENT

0028 Unified Program Account ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE	\$1,309	\$2,244	\$949
Prior year adjustments	836	—	—
Balance, Adjusted	\$2,145	\$2,244	\$949

* Dollars in thousands, except in Salary Range.

Governor's Office
0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

REVENUES AND TRANSFERS

Revenues:	2000-01*	2001-02*	2002-03*
125600 Other regulatory fees.....	\$1,353	\$1,800	\$2,400
150300 Income from surplus money investments.....	128	80	80
Totals, Revenues and Transfers.....	\$1,481	\$1,880	\$2,480
Totals, Resources	\$3,626	\$4,124	\$3,429

EXPENDITURES

Disbursements:	2000-01*	2001-02*	2002-03*
0555 California Environmental Protection Agency (State Operations).....	—	823	823
0690 Office of Emergency Services (State Operations)	578	593	613
3540 Department of Forestry and Fire Protection (State Operations)	212	261	291
3940 State Water Resources Control Board (State Operations)	592	503	498
3960 Department of Toxic Substances Control (State Operations)	—	995	995
Totals, Disbursements	\$1,382	\$3,175	\$3,220
FUND BALANCE.....	\$2,244	\$949	\$209
Reserve for economic uncertainties	2,244	949	209

1006 Rural CUPA Reimbursement Account ^s

BEGINNING BALANCE.....	—	—	—
EXPENDITURES			
Disbursements:			
0555 Secretary for Environmental Protection (State Operations).....	—	\$900	\$900
Expenditure Reductions:			
0555 Secretary for Environmental Protection:			
Less funding provided by the General Fund (State Operations)	—	—900	—900
Totals, Expenditures.....	—	—	—
FUND BALANCE.....	—	—	—

CHANGES IN**AUTHORIZED POSITIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	56.6	75.4	74.9	\$3,645	\$4,567	\$4,595
Permit Assistance Centers:						
Reduction in Authorized Positions:				Salary Range		
Director.....	—	—	—10.0	5,493-6,058	—	—724
Staff Svcs Mgr I.....	—	—	—1.0	4,520-5,453	—	—65
Integrated Waste Mgt Spec.....	—	—	—1.0	2,738-5,082	—	—43
Assoc Govtl Prog Analyst.....	—	—	—1.0	3,915-4,759	—	—54
Staff Svcs Analyst-Gen.....	—	—	—3.0	2,507-3,957	—	—135
Envirntrl Spec I.....	—	—	—4.0	2,738-3,290	—	—146
Ofc Techn-Typing	—	—	—7.0	2,348-2,855	—	—208
Temporary Help	—	—	—0.4	—	—	—15
Totals, Proposed Position Reductions...	—	—	—27.4	—	—	—\$1,390
Total Adjustments	—	—	—27.4	—	—	—\$1,390
TOTALS, SALARIES AND WAGES	56.6	75.4	47.5	\$3,645	\$4,567	\$3,205

Governor's Office
0558 OFFICE OF THE SECRETARY FOR EDUCATION

The Secretary for Education, a member of the Governor's Cabinet, is responsible for advising the Governor and making recommendations on state education policy and legislation. The Office of the Secretary for Education (OSE) administers several education programs, including the Academic Volunteer and Mentor Service Program (Chapter 901, Statutes of 1992), the Governor's Reading Award Program (Chapter 2, Statutes of 1999), and the School-to-Career Program (Chapter 793, Statutes of 2000).

For the current fiscal year, the costs of the OSE are funded through the Governor's Office of Planning and Research. It is assumed that legislation will be proposed in 2002 to establish the Secretary statutorily, effective on or before January 1, 2003.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0558 OFFICE OF THE SECRETARY FOR EDUCATION—Continued

Major Budget Adjustments Proposed for 2002–03

- Reductions
 - \$3,830,000 General Fund for the READ California media campaign.
 - \$178,000 General Fund and 2.0 positions to meet 2002–03 savings goals.

SUMMARY OF PROGRAM

REQUIREMENTS	00–01	01–02	02–03	2000–01*	2001–02*	2002–03*
10 Office of the Secretary for Education ..	23.4	25.0	23.1	\$6,900	\$9,360	\$2,414
20 Academic Volunteer and Mentor Service Program.....	2.4	3.9	3.9	9,616	10,000	10,000
30 Education Technology Grant Program ..	—	—	—	—	550	—
50 School-to-Career Partnership Grants ...	—	—	—	1,500	2,440	2,000
TOTALS, PROGRAMS.....	25.8	28.9	27.0	\$18,016	\$22,350	\$14,414
0001 General Fund.....				6,900	20,340	2,404
0001 General Fund (Proposition 98).....				11,116	2,000	12,000
0995 Reimbursements				—	10	10

SUMMARY BY OBJECT**1 STATE OPERATIONS**

PERSONAL SERVICES	00–01	01–02	02–03	2000–01*	2001–02*	2002–03*
Authorized Positions (Equals Sch. 7A)	25.8	30.0	30.0	\$1,596	\$1,773	\$1,773
Total Adjustments	—	—	–2.0	—	—	–105
Estimated Salary Savings	—	–1.1	–1.0	—	–68	–63
Net Totals, Salaries and Wages	25.8	28.9	27.0	\$1,596	\$1,705	\$1,605
Staff Benefits	—	—	—	228	260	239
Totals, Personal Services	25.8	28.9	27.0	\$1,824	\$1,965	\$1,844
OPERATING EXPENSES AND EQUIPMENT				\$5,076	\$7,395	\$570
TOTALS, EXPENDITURES				\$6,900	\$9,360	\$2,414

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

APPROPRIATIONS	2000–01*	2001–02*	2002–03*
001 Budget Act appropriation.....	\$1,248	\$1,248	\$1,248
Allocation from Office of Planning and Research	6,273	8,297	1,156
Allocation for employee compensation	27	—	—
Adjustment per Section 3.60	–12	60	—
Adjustment per Section 3.90	—	–250	—
Adjustment per Section 4.00	—	–23	—
Transfer from Local Assistance per Chapter 793, Statutes of 2000.....	60	—	—
Prior year balance available:			
Chapter 793, Statutes of 2000.....	—	18	—
Totals Available	\$7,596	\$9,350	\$2,404
Balance available in subsequent years	–18	—	—
Unexpended balance, estimated savings	–678	—	—
TOTALS, EXPENDITURES	\$6,900	\$9,350	\$2,404
0995 Reimbursements			
Reimbursements (Allocation from Office of Planning and Research)	—	\$10	\$10
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$6,900	\$9,360	\$2,414

* Dollars in thousands, except in Salary Range.

Governor's Office
0558 OFFICE OF THE SECRETARY FOR EDUCATION—Continued

SUMMARY BY OBJECT

2 LOCAL ASSISTANCE

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
Grants and subventions (expenditures).....	\$11,116	\$12,990	\$12,000

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund, Proposition 98

APPROPRIATIONS	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
Allocation from Office of Planning and Research (Academic Volunteer Mentor).....	\$10,000	—	\$10,000
Allocation from Office of Planning and Research (School-to-Career).....	—	\$2,000	2,000
Chapter 793, Statutes of 2000 (School-to-Career)	2,000	—	—
Transfer to State Operations.....	-60	—	—
Totals Available	\$11,940	\$2,000	\$12,000
Balance available in subsequent years	-440	—	—
Unexpended balance, estimated savings	-384	—	—
TOTALS, EXPENDITURES	\$11,116	\$2,000	\$12,000

0001 General Fund

APPROPRIATIONS			
Allocation from Office of Planning and Research (Projects of Regional Concern)	—	\$550	—
Prior year balances available:			
Allocation from Office of Planning and Research (Academic Volunteer Mentor).....	—	10,000	—
Chapter 793, Statutes of 2000 (School-to-Career).....	—	440	—
TOTALS, EXPENDITURES	—	\$10,990	—
TOTALS, ALL FUNDS (Local Assistance)	\$11,116	\$12,990	\$12,000
TOTALS, EXPENDITURES (State Operations and Local Assistance)	\$18,016	\$22,350	\$14,414

CHANGES IN

AUTHORIZED POSITIONS

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
Totals, Authorized Positions	25.8	30.0	30.0	\$1,596	\$1,773	\$1,773
Reductions in Authorized Positions:				Salary Range		
Assoc Intergovtl Prog Analyst	—	—	-2.0	—	—	-105
Total Adjustments	—	—	-2.0	—	—	-\$105
TOTALS, SALARIES AND WAGES	25.8	30.0	28.0	\$1,596	\$1,773	\$1,668

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, and liaison with local government. OPR also oversees programs for small business advocacy, rural policy, environmental justice, and helps implement decisions made within the Administration. In addition, the office has responsibilities pertaining to state planning, California Environmental Quality Act (CEQA) assistance, environmental and federal project review procedures, and oversees the Governor's Office on Service and Volunteerism, which administers the California AmeriCorps program.

Authority

Government Code Sections 4530-4535.3, 12035-12038, 12078, 13367.5(h), 13367.65, 15202, 56430, 56815.2, 65025-65049, 65050, 65302.6, 65420-65428, 65962.5, 66452.7, 66455.5, and 67470; Public Resources Code Sections 5096.89, 21080.3-21080.4, 21083-21087, 21159.9, 21165, 25616, and 30415; Fish and Game Code Section 711.4; Health and Safety Code Sections 25199-25199.9; Streets and Highways Code Section 228; Unemployment Insurance Code Section 10535; Welfare and Institutions Code Section 10807; California Administrative Code Sections 15051 and 15065.5.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH—Continued

SUMMARY OF PROGRAM REQUIREMENTS							
	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>	
11 State Planning and Policy Development.....	58.1	65.7	59.2	\$4,590	\$5,791	\$5,221	
21 Governor's Office on Service and Volunteerism	15.4	21.5	21.5	40,798	53,683	52,835	
TOTALS, PROGRAMS.....	73.5	87.2	80.7	\$45,388	\$59,474	\$58,056	
0001 General Fund.....				8,918	9,335	7,863	
0002 Property Acquisition Law Money Account.....				506	478	490	
0890 Federal Trust Fund				35,243	48,290	48,332	
0995 Reimbursements				721	1,371	1,371	

11 STATE PLANNING AND POLICY DEVELOPMENT

Program Objectives Statement

The major activities of the office include: (1) recommending and implementing state policies with regard to land-use and growth planning; (2) carrying out a program of policy research for the Governor and Cabinet; (3) providing technical advice to local governments with regard to planning; (4) advising permit applicants and government agencies on provisions of the CEQA and operating the State Clearinghouse for environmental and federal grant documents; (5) managing the Governor's Innovation in Government program; (6) preparing guidelines and providing assistance to local agency formation commissions; and (7) conducting such other activities as the Governor may direct.

Major Budget Adjustment Proposed for 2002-03

- Reduction
 - \$582,000 General Fund and 6.5 positions for support of the State Planning and Policy Development Program.

21 GOVERNOR'S OFFICE ON SERVICE AND VOLUNTEERISM (GOSERV)

Program Objectives Statement

GOSERV, which oversees California's AmeriCorps program, is dedicated to uniting individuals in service to their communities, promoting responsible citizenship, and achieving demonstrable results in addressing California's persistent unmet human, educational, public safety, and environmental needs. GOSERV members, acting as "Ambassadors of Service" in their local communities, promote, support and build service opportunities and networks which encourage Californians of all backgrounds, abilities and ages to build a California where all residents understand the importance of community service and social responsibility.

Major Budget Adjustments Proposed for 2002-03

- Reductions
 - \$730,000 General Fund for grants related to the Cesar Chavez Day of Service and Learning.
 - \$78,000 General Fund for administration of the AmeriCorps program.

SUMMARY BY OBJECT
1 STATE OPERATIONS

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>	
PERSONAL SERVICES							
Authorized Positions (Equals Sch. 7A)	73.5	89.4	89.4	\$3,771	\$4,846	\$4,846	
Total Adjustments	—	—	-6.5	—	—	-357	
Estimated Salary Savings	—	-2.2	-2.2	—	-232	-232	
Net Totals, Salaries and Wages	73.5	87.2	80.7	\$3,771	\$4,614	\$4,257	
Staff Benefits	—	—	—	644	876	774	
Totals, Personal Services	73.5	87.2	80.7	\$4,415	\$5,490	\$5,031	
OPERATING EXPENSES AND EQUIPMENT				\$7,602	\$8,184	\$7,225	
TOTALS, EXPENDITURES				\$12,017	\$13,674	\$12,256	

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>	
APPROPRIATIONS				
001 Budget Act appropriation.....	\$4,384	\$4,510	\$3,724	
Allocation for employee compensation	87	—	—	
Adjustment per Section 3.60	-23	127	—	
Adjustment per Section 3.90	—	-118	—	

* Dollars in thousands, except in Salary Range.

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH—Continued

	2000-01*	2001-02*	2002-03*
Adjustment per Section 4.00	—	-\$53	—
011 Budget Act appropriation	\$6,273	8,297	\$1,156
Allocation for employee compensation	27	—	—
Adjustment per Section 3.60	-12	60	—
Adjustment per Section 3.90	—	-250	—
Adjustment per Section 4.00	—	-23	—
Transfer from Item 0558-001-0001 per Provision 1	1,248	1,248	—
Chapter 213, Statutes of 2000	5,000	5,000	5,000
Revised expenditure authority per pending legislation	—	—	-861
Adjustment per Section 3.90	—	-131	—
Chapter 597, Statutes of 2000	100	—	—
Chapter 793, Statutes of 2000	60	—	—
Less amount shown in Office of Secretary for Education	-7,596	-9,350	-1,156
Prior year balance available: Chapter 793, Statutes of 2000	—	18	—
Totals Available	\$9,548	\$9,335	\$7,863
Unexpended balance, estimated savings	-630	—	—
TOTALS, EXPENDITURES	\$8,918	\$9,335	\$7,863
0002 Property Acquisition Law Money Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$504	\$478	\$490
Allocation for employee compensation	4	—	—
Adjustment per Section 3.60	-2	—	—
Totals Available	\$506	\$478	\$490
Unexpended balance, estimated savings	—	—	—
TOTALS, EXPENDITURES	\$506	\$478	\$490
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,489	\$2,475	\$2,532
Allocation for employee compensation	10	—	—
Adjustment per Section 3.60	-5	29	—
Adjustment per Section 4.00	—	-14	—
Budget adjustment	378	—	—
TOTALS, EXPENDITURES	\$1,872	\$2,490	\$2,532
0995 Reimbursements			
Reimbursements	\$721	\$1,371	\$1,371
Reimbursements (Allocation to Office of the Secretary for Education)	—	10	10
Less amount shown in Office of the Secretary for Education	—	-10	-10
TOTALS, EXPENDITURES	\$721	\$1,371	\$1,371
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$12,017	\$13,674	\$12,256

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund, Proposition 98

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
111 Budget Act appropriation	\$10,000	—	\$10,000
114 Budget Act appropriation	—	\$2,000	2,000
Chapter 793, Statutes of 2000	2,000	—	—
Transfer to State Operations	-60	—	—
Less amount shown in Office of the Secretary for Education	-11,940	-2,000	-12,000
TOTALS, EXPENDITURES	—	—	—

* Dollars in thousands, except in Salary Range.

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH—Continued

0001 General Fund, Non-Proposition 98

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
102 Budget Act appropriation	—	\$550	—
Reappropriation from the Prop 98 Reversion Account per Item 0650-485, Budget Act of 2001	—	10,000	—
Prior year balances available: Chapter 793, Statutes of 2000	—	440	—
Less amount shown in Office of Secretary for Education	—	-10,990	—
TOTALS, EXPENDITURES	—	—	—

0890 Federal Trust Fund

APPROPRIATIONS			
101 Budget Act appropriation	\$38,300	\$45,800	\$45,800
Budget adjustment	-4,929	—	—
TOTALS, EXPENDITURES	\$33,371	\$45,800	\$45,800
TOTALS, EXPENDITURES (Local Assistance)	\$33,371	\$45,800	\$45,800
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$45,388	\$59,474	\$58,056

**CHANGES IN
AUTHORIZED POSITIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	73.5	89.4	89.4	\$3,771	\$4,846	\$4,846
Workload and Administrative Adjustments:						
Reduction in Authorized Positions:						
State Planning and Policy Development:				Salary Range		
Temporary Help	—	—	-6.5	4,577	—	-357
Totals, Workload and Administrative Adjustments	—	—	-6.5	—	—	-\$357
Total Adjustments	—	—	-6.5	—	—	-\$357
TOTALS, SALARIES AND WAGES	73.5	89.4	82.9	\$3,771	\$4,846	\$4,489

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES

The principal objective of the Office of Emergency Services (OES) is the coordination of emergency activities to save lives and reduce property losses during disasters and to expedite recovery from the effects of disasters.

On a day-to-day basis, OES provides leadership, assistance and support to state and local agencies in planning and preparing for the most effective use of federal, state, local and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid in which a jurisdiction relies first on its own resources, then calls for assistance from its neighbors. OES' plans and programs are coordinated with those of the federal government, other states, and state and local agencies within California.

During an emergency, OES functions as the Governor's immediate staff to coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes, and it acts as the conduit for federal assistance through natural disaster grants and federal agency support.

SUMMARY OF PROGRAM

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
REQUIREMENTS						
15 Mutual Aid Response	87.6	93.1	96.0	\$21,294	\$17,721	\$15,910
35 Plans and Preparedness	140.5	142.3	142.3	23,164	36,486	35,581
45 Disaster Assistance	185.8	207.3	207.3	565,520	814,252	591,849
55 Administration and Executive	72.6	65.3	65.3	5,110	5,830	5,880
Distributed Administration and Executive	—	—	—	-5,110	-4,935	-4,985
98 State-Mandated Local Programs	—	—	—	—	—	—
TOTALS, PROGRAMS	486.5	508.0	510.9	\$609,978	\$869,354	\$644,235
0001 General Fund				85,476	107,530	63,012
0028 Unified Program Account				578	593	613
0029 Nuclear Planning Assessment Special Account				2,218	3,449	2,958
0372 Disaster Relief Fund				—	101	—
0437 State Assistance for Fire Equipment Account				4	100	100
0890 Federal Trust Fund				519,761	755,468	575,439
0995 Reimbursements				1,941	2,113	2,113

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

15 MUTUAL AID RESPONSE

Program Objectives Statement

This program provides emergency mutual aid services, including the effective use of federal, state, and local resources, by and for the benefit of jurisdictions whose resources and services are overextended in a disaster situation. Central to this effort is maintaining operational readiness at all levels of government. Information Technology tools are used extensively to increase the effectiveness and efficiency of these efforts.

Major Budget Adjustment Proposed for 2001–02:

- Reduction Issues in the November Revision
 - \$1.0 million General Fund for the statewide expansion of Tri-Net, the earthquake monitoring system currently operating in Southern California.

Major Budget Adjustments Proposed for 2002–03:

- Reductions
 - \$1.0 million General Fund for the statewide expansion of Tri-Net, the earthquake monitoring system currently operating in Southern California.
 - \$200,000 General Fund in Administrative costs.
- An increase of \$562,000 General Fund to provide dedicated support and coordination to the State Strategic Committee on Terrorism (SSCOT).

35 PLANS AND PREPAREDNESS

Program Objectives Statement

This program's objective is to develop and implement emergency plans to ensure consistency in planning at all levels of government. Training is also included in this program and covers emergency management courses in preparedness, mitigation and technical training for radiological response and recovery.

Major Budget Adjustment Proposed for 2002–03:

- An increase of \$3,079,000 Federal Trust Fund authority to accept grant funding from the U.S. Office of Justice Planning (OJP) for terrorism response programs.

45 DISASTER ASSISTANCE

Program Objectives Statement

The objective of this program is to provide aid to local agencies for repair and restoration of public real property in stricken areas in time of disaster and to provide assurance that all public facilities will be restored in order to provide necessary services to the citizens of the affected areas.

The Natural Disaster Assistance Act was established by Chapter 290, Statutes of 1974. This law charges the director of the OES with the administration of the program. A local agency is eligible to participate in the program if it declares a local emergency which is found acceptable to the director of OES.

Through the Federal Disaster Relief Act (PL 93-288), local government and other public entities are provided financial and other assistance to recover from the effects of floods, earthquakes, and other natural disasters. In a presidential declaration of a "major disaster" or "major emergency," assistance may be provided to the public sector for repair and restoration of public facilities, roads, buildings, utilities, flood control systems, etc. and/or the private sector by coordinating state agency response in providing assistance to individuals impacted by the disaster.

55 ADMINISTRATION AND EXECUTIVE

Program Objectives Statement

This program provides the overall policy direction of the department from the director's office as well as supporting services such as accounting, personnel and business services.

98 STATE-MANDATED LOCAL PROGRAMS

Program Objectives Statement

The objective of this program is to provide funding, pursuant to Section 6 of Article XIIIB of the California Constitution, to reimburse local entities for costs they incur in complying with state mandates. This budget proposes to continue the suspension of the Deaf Teletype Equipment Mandate (Chapter 1032, Statutes of 1980).

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

15 MUTUAL AID RESPONSE

State Operations:	<i>2000–01*</i>	<i>2001–02*</i>	<i>2002–03*</i>
0001 General Fund	\$13,468	\$17,271	\$15,002
0437 State Assistance for Fire Equipment Account.....	4	100	100
0890 Federal Trust Fund.....	822	350	356
Totals, State Operations	\$14,294	\$17,721	\$15,458

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

Local Assistance:	2000-01*	2001-02*	2002-03*
0001 General Fund	\$7,000	—	—
Totals, Local Assistance	\$7,000	—	—

ELEMENT REQUIREMENTS

15.10 Fire and Rescue			
State Operations:			
0001 General Fund	5,131	\$8,314	\$5,756
0437 State Assistance for Fire Equipment Account.....	4	100	100
0890 Federal Trust Fund.....	209	350	356
15.20 Law Enforcement			
State Operations:			
0001 General Fund	1,131	1,933	2,071
0890 Federal Trust Fund.....	613	—	—
15.30 Information Technology/Operations Support			
State Operations:			
0001 General Fund	7,206	7,024	7,175
Local Assistance:			
0001 General Fund	7,000	—	—

PROGRAM REQUIREMENTS**35 PLANS AND PREPAREDNESS**

State Operations:			
0001 General Fund	\$8,617	\$9,147	\$5,956
0028 Unified Program Account.....	578	593	613
0029 Nuclear Planning Assessment Special Account.....	693	945	871
0890 Federal Trust Fund.....	6,408	7,014	7,144
0995 Reimbursements.....	1,941	2,113	2,113
Totals, State Operations	\$18,237	\$19,812	\$16,697
Local Assistance:			
0029 Nuclear Planning Assessment Special Account.....	1,525	2,504	2,087
0890 Federal Trust Fund.....	3,402	14,170	17,249
Totals, Local Assistance	\$4,927	\$16,674	\$19,336

ELEMENT REQUIREMENTS

35.10 Plans and Preparedness			
State Operations:			
0001 General Fund	5,138	7,458	4,433
0028 Unified Program Account.....	578	593	613
0029 Nuclear Planning Assessment Special Account.....	693	945	871
0890 Federal Trust Fund.....	5,751	5,225	5,322
0995 Reimbursements.....	62	95	95
Local Assistance:			
0029 Nuclear Planning Assessment Special Account.....	1,525	2,504	2,087
0890 Federal Trust Fund.....	3,402	14,170	17,249
35.30 Training			
State Operations:			
0001 General Fund	3,479	1,689	1,523
0890 Federal Trust Fund.....	657	1,789	1,822
0995 Reimbursements.....	1,879	2,018	2,018

PROGRAM REQUIREMENTS**45 DISASTER ASSISTANCE**

State Operations:			
0001 General Fund	\$7,962	\$8,073	\$10,686
0890 Federal Trust Fund.....	10,275	12,349	12,574
Totals, State Operations	\$18,237	\$20,422	\$23,260
Local Assistance:			
0001 General Fund	48,429	72,144	30,473
0372 Natural Disaster Relief Fund.....	—	101	—
0890 Federal Trust Fund.....	498,854	721,585	538,116
Totals, Local Assistance	\$547,283	\$793,830	\$568,589

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

PROGRAM REQUIREMENTS**55 ADMINISTRATION/EXECUTIVE**

Undistributed Administration:

State Operations:

	2000-01*	2001-02*	2002-03*
0001 General Fund	—	\$895	\$895
Totals, State Operations for Undistributed Administration.....	—	\$895	\$895

PROGRAM REQUIREMENTS**98 STATE-MANDATED LOCAL PROGRAMS**

Local Assistance:

Ch. 1032/80—Deaf Teletype Equipment

Totals, Local Assistance	—	—	—
--------------------------------	---	---	---

TOTAL EXPENDITURES

State Operations	\$50,768	\$58,850	\$56,310
Local Assistance	559,210	810,504	587,925
TOTALS, EXPENDITURES	\$609,978	\$869,354	\$644,235

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	486.5	532.8	532.8	\$25,700	\$28,048	\$28,564
Total Adjustments	—	2.0	5.0	—	—	133
Estimated Salary Savings	—	-26.8	-26.9	—	-1,402	-1,434
Net Totals, Salaries and Wages	486.5	508.0	510.9	\$25,700	\$26,646	\$27,263
Staff Benefits	—	—	—	4,824	5,380	5,496
Totals, Personal Services	486.5	508.0	510.9	\$30,524	\$32,026	\$32,759
OPERATING EXPENSES AND EQUIPMENT				\$20,244	\$26,824	\$23,551
TOTALS, EXPENDITURES				\$50,768	\$58,850	\$56,310

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$30,495	\$35,977	\$32,539
Allocation for employee compensation	219	—	—
Adjustment per Section 3.60	-135	725	—
Adjustment per Section 3.90	—	-492	—
Adjustment per Section 4.60 (Rental Rate).....	9	12	—
Adjustment per Section 4.00	—	-51	—
Transfer to Legislative Claims (9670).....	-1	—	—
Prior year balances available:			
Chapter 294, Statutes of 1999.....	75	75	—
Chapter 490, Statutes of 1998.....	140	140	—
Totals Available	\$30,802	\$36,386	\$32,539
Unexpended balance, estimated savings	-540	-1,000	—
Balance available in subsequent years	-215	—	—
TOTALS, EXPENDITURES	\$30,047	\$35,386	\$32,539

0028 Unified Program Account *

APPROPRIATIONS			
001 Budget Act appropriation	\$581	\$580	\$613
Adjustment per Section 3.60	-3	13	—
TOTALS, EXPENDITURES	\$578	\$593	\$613

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

0029 Nuclear Planning Assessment Special Account ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$869	\$857	\$871
Prior year balances available:			
Item 0690-001-0029/2000	—	88	—
Totals Available	\$869	\$945	\$871
Unexpended balance, estimated savings	-88	—	—
Balance available in subsequent years	-88	—	—
TOTALS, EXPENDITURES	\$693	\$945	\$871

0437 State Assistance For Fire Equipment Account ^s

APPROPRIATIONS			
Government Code Section 8589.16	\$4	\$100	\$100
TOTALS, EXPENDITURES	\$4	\$100	\$100

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$19,417	\$19,550	\$20,074
Allocation for employee compensation	10	—	—
Adjustment per Section 3.60	-60	173	—
Adjustment per Section 4.00	—	-10	—
Budget Adjustment	-1,862	—	—
TOTALS, EXPENDITURES	\$17,505	\$19,713	\$20,074

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$1,941	\$2,113	\$2,113
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$50,768	\$58,850	\$56,310

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
101 Budget Act appropriation	—	\$225	—
103 Budget Act appropriation	\$6,935	—	—
112 Budget Act appropriation	51,212	51,212	\$30,473
Augmentation per Government Code Section 8690.6 (a)	11,623	12,707	—
295 Budget Act appropriation (State Mandates)	0 ¹	0 ¹	0 ¹
Chapter 1087, Statutes of 2000	2,000	—	—
Chapter 511, Statutes of 2001	—	8,000	—
Totals Available	\$71,770	\$72,144	\$30,473
Unexpended balance, estimated savings	-16,341	—	—
TOTALS, EXPENDITURES	\$55,429	\$72,144	\$30,473

¹ Mandates suspended pursuant to Government Code Section 17581.

0029 Nuclear Planning Assessment Special Account ^s

APPROPRIATIONS			
101 Budget Act appropriation	\$2,050	\$2,054	\$2,087
Prior year balances available:			
Item 0690-101-0029/2000	—	450	—
Totals Available	\$2,050	\$2,504	\$2,087
Unexpended balance, estimated savings	-75	—	—
Balance available in subsequent years	-450	—	—
TOTALS, EXPENDITURES	\$1,525	\$2,504	\$2,087

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

0372 Disaster Relief Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
102 Budget Act appropriation	—	\$378	—
Totals Available	—	\$378	—
Unexpended balance, estimated savings	—	-277	—
TOTALS, EXPENDITURES	—	\$101	—

0890 Federal Trust Fund

APPROPRIATIONS			
101 Budget Act appropriation	\$582,660	\$591,880	\$555,365
Budget Adjustment	-80,404	143,875	—
TOTALS, EXPENDITURES	\$502,256	\$735,755	\$555,365
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$559,210	\$810,504	\$587,925
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$609,978	\$869,354	\$644,235

FUND CONDITION STATEMENT

0029 Nuclear Planning Assessment Special Account ^{s 2}

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$129	\$1,244	\$122
Prior year adjustments	1,297	—	—
Balance, Adjusted.....	\$1,426	\$1,244	\$122
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees (Fixed Nuclear Powerplant Operators).....	2,522	2,900	3,500
Totals, Resources.....	\$3,948	\$4,144	\$3,622
EXPENDITURES			
Disbursements:			
0690 Office of Emergency Services:			
State Operations	693	945	871
Local Assistance	1,525	2,504	2,087
Totals, Disbursements for the Office of Emergency Services	\$2,218	\$3,449	\$2,958
4260 Department of Health Services (State Operations)	486	573	582
Totals, Disbursements	\$2,704	\$4,022	\$3,540
FUND BALANCE.....	\$1,244	\$122	\$82
Reserve for economic uncertainties	1,244	122	82

² The fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

0437 State Assistance for Fire Equipment Account ^s

BEGINNING BALANCE.....	\$292	\$311	\$216
REVENUES AND TRANSFERS			
Revenues:			
131700 Miscellaneous revenue from local agencies	27	5	5
Totals, Resources.....	\$319	\$316	\$221
EXPENDITURES			
Disbursements:			
0690 Office of Emergency Services (State Operations)	4	100	100
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	4	—	—
Totals, Disbursements	\$8	\$100	\$100
FUND BALANCE.....	\$311	\$216	\$121
Reserve for economic uncertainties	311	216	121

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

CHANGES IN AUTHORIZED POSITIONS						
	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
Totals, Authorized Positions	486.5	532.8	532.8	\$25,700	\$28,048	\$28,564
Proposed New Positions:				Salary Range		
C.E.A. II	—	1.0	1.0	6,954–7,668	—	—
C.E.A. I	—	1.0	1.0	5,493–6,975	—	—
Sr Emergency Svc Coord	—	—	1.0	4,415–5,328	—	53
Coord (Law)	—	—	1.0	4,311–5,203	—	52
Ofc Techn-Typing	—	—	1.0	2,348–2,855	—	28
Totals, Proposed New Positions	—	2.0	5.0	—	—	\$133
Total Adjustments	—	2.0	5.0	—	—	\$133
TOTALS, SALARIES AND WAGES	486.5	534.8	537.8	\$25,700	\$28,048	\$28,697

**STATE BUILDING PROGRAM
EXPENDITURES**

Actual Estimated Proposed
2000-01* 2001-02* 2002-03*

80 CAPITAL OUTLAY**Major Budget Adjustment Proposed for 2002-03:**

- \$1.6 million General Fund for design and construction of a perimeter fence at the Headquarters and State Operations Center.

PROGRAM ELEMENTS**Major Projects**

80.10.001 Sacramento-OES Headquarters and State Operations Center	\$33,183	^{WCEg}	\$5,000	^{WCEg}	—
80.10.008 Headquarters Perimeter Fence	—	—	—	—	\$1,631 ^{PWCg}
TOTALS, EXPENDITURES, CAPITAL OUTLAY	\$33,183	\$5,000	\$1,631		
<i>0001 General Fund</i>	<i>33,183</i>	<i>5,000</i>	<i>1,631</i>		

RECONCILIATION WITH APPROPRIATIONS**3 CAPITAL OUTLAY****0001 General Fund****APPROPRIATIONS**

301 Budget Act appropriation	\$31,438	—	\$1,631
Augmentation per Government Code Section 16352, 16409, and 16354	1,745	—	—
Prior year balances available:			
Item 0690-301-0001/2000	—	—	—
Augmentation per Government Code Section 16352, 16409, and 16354	—	\$5,000	—
TOTALS, EXPENDITURES	\$33,183	\$5,000	\$1,631
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$33,183	\$5,000	\$1,631

0695 NATURAL DISASTER ASSISTANCE

The Loma Prieta earthquake struck the Greater San Francisco-Oakland Bay Area on October 17, 1989, causing several billion dollars worth of damage to private and public property and disrupting the lives of hundreds of thousands of Californians. As part of the response to the earthquake, the Governor called a Special Session of the Legislature on November 2, 1989 to provide funding and aid for victims of the earthquake and to restore public property damaged or destroyed by the earthquake.

As a result of the high cost of this disaster, a special one-quarter cent state sales tax was enacted and collected from December 1, 1989 through December 31, 1990. These receipts are maintained in the Disaster Relief Fund and are used for the various recovery and assistance programs for individuals, as well as local and state governmental entities. State agencies which have utilized these funds for Loma Prieta recovery programs include the Departments of Transportation, Housing and Community Development, Commerce, and Social Services, as well as the Office of Emergency Services (OES) and the Board of Control. Specific information about these programs may be obtained from those agencies.

This exhibit displays the current status of the Disaster Relief Fund. During the 1996-97 and prior fiscal years, monies from this fund were allocated by the Department of Finance to OES on an as-needed basis. Beginning with the 1997-98 fiscal year, expenditures from the Disaster Relief Fund were proposed by the OES through a Budget Act appropriation and are included in OES's budget.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0695 NATURAL DISASTER ASSISTANCE—Continued

RECONCILIATION WITH APPROPRIATIONS

Informational Display

1 STATE OPERATIONS

0372 Disaster Relief Fund ^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
102 Budget Act appropriation, Item 0690-102-0372.....	—	(\$101)	(—)

FUND CONDITION STATEMENT

0372 Disaster Relief Fund ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$378	\$101	—
Prior year adjustments.....	—277	—	—
Balance, Adjusted.....	\$101	\$101	—
EXPENDITURES			
0690 Office of Emergency Services (Local Assistance).....	—	101	—
FUND BALANCE.....	\$101	—	—
Reserve for economic uncertainties	101	—	—

0750 OFFICE OF THE LIEUTENANT GOVERNOR

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues.

In addition, under state statutes the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance for the development of California's economy. The Lieutenant Governor is also a member of the California State World Trade Commission, the State Job Training Coordinating Council, and the California Emergency Council.

Major Budget Adjustment Proposed for 2002-03:

- A reduction of \$130,000 General Fund and 1.0 personnel year to support the Commission for Economic Development.

Authority

Constitution, Article V, Sections 9, 10; Article IX, Section 9. Education Code Section 66602; Government Code Sections 8704, 8575, 15364.2 and 14999 through 14999.8; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 General Activities	16.9	31.6	30.6	\$1,820	\$2,604	\$2,511
TOTALS, PROGRAMS.....	16.9	31.6	30.6	\$1,820	\$2,604	\$2,511
0001 General Fund.....				1,820	2,604	2,511

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A)	16.9	33.3	33.3	\$945	\$1,759	\$1,826
Total Adjustments	—	—	—1.0	—	—	—42
Estimated Salary Savings	—	—1.7	—1.7	—	—88	—91
Net Totals, Salaries and Wages	16.9	31.6	30.6	\$945	\$1,671	\$1,693
Staff Benefits	—	—	—	126	234	243
Totals, Personal Services	16.9	31.6	30.6	\$1,071	\$1,905	\$1,936
OPERATING EXPENSES AND EQUIPMENT				\$749	\$699	\$575
TOTALS, EXPENDITURES				\$1,820	\$2,604	\$2,511

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0750 OFFICE OF THE LIEUTENANT GOVERNOR—Continued**RECONCILIATION WITH APPROPRIATIONS****1 STATE OPERATIONS****0001 General Fund**

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$1,829	\$2,609	\$2,511
Allocation for employee compensation	17	-	-
Adjustment per Section 3.60	-6	48	-
Adjustment per Section 3.90	-	-50	-
Adjustment per Section 4.60 (Rental Rate)	10	12	-
Adjustment per Section 4.00	-	-16	-
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	-	1	-
Totals Available	\$1,850	\$2,604	\$2,511
Unexpended balance, estimated savings	-30	-	-
TOTALS, EXPENDITURES	\$1,820	\$2,604	\$2,511
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,820	\$2,604	\$2,511

CHANGES IN**AUTHORIZED POSITIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	16.9	33.3	33.3	\$945	\$1,759	\$1,826
Salary adjustments	-	-	-	-	-	-
Totals, Adjusted Authorized Positions	16.9	33.3	33.3	\$945	\$1,759	\$1,826
Workload and Administrative Adjustments:						
Reduction in Authorized Positions:				Salary Range		
Adm Asst I	-	-	-1.0	2,762-3,964	-	-42
Totals, Workload and Administrative						
Adjustments	-	-	-1.0	-	-	-\$42
Total Adjustments	-	-	-1.0	-	-	-\$42
TOTALS, SALARIES AND WAGES	16.9	33.3	32.3	\$945	\$1,759	\$1,784

0820 DEPARTMENT OF JUSTICE

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissions and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust and civil rights laws; and assists district attorneys in the administration of justice. The department also coordinates efforts to address the statewide narcotic enforcement problem; assists local law enforcement in the investigation and analysis of crimes; provides person and property identification and information services to criminal justice agencies; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair and illegal activities.

SUMMARY OF PROGRAM**REQUIREMENTS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
11.01 Directorate and Administration	214.8	477.5	476.3	\$19,185	\$23,444	\$22,994
11.02 Distributed Directorate and						
Administration	-	-	-	-19,185	-23,444	-22,994
12.01 Legal Support and Technology	661.1	708.0	707.6	40,056	43,321	43,367
12.02 Distributed Legal Support and						
Technology	-	-	-	-40,056	-43,321	-43,367
25 Executive Programs	72.0	75.8	90.8	10,335	17,191	15,802
30 Civil Law	521.8	500.8	520.7	92,405	104,523	105,033
40 Criminal Law	597.1	668.4	652.3	88,892	101,652	95,787
45 Public Rights	237.5	302.1	299.5	43,260	57,975	55,318
50 Law Enforcement	1,196.3	1,304.3	1,277.8	139,365	162,593	160,313
60 Criminal Justice Information						
Services	1,253.7	1,384.7	1,352.3	143,464	161,943	146,990
65 Gambling	92.9	158.5	163.2	12,386	12,864	14,625

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
70 Firearms.....	76.1	89.1	95.1	\$7,457	\$7,897	\$10,956
98 State-Mandated Local Programs	—	—	—	18,014	22,813	15,298
TOTALS, PROGRAMS.....	4,923.3	5,669.2	5,635.6	\$555,578	\$649,451	\$620,122
Less amount funded in the Political Reform Act	—	—	—	(222)	(216)	-216
NET TOTALS, PROGRAMS	4,923.3	5,669.2	5,635.6	\$555,578	\$649,451	\$619,906
0001 General Fund.....				294,791	353,585	323,750
0012 Attorney General Antitrust Account				1,066	1,084	1,083
0014 Hazardous Waste Control Account				1,603	1,649	1,642
0015 Firearms Safety Training Fund				346	468	235
0017 Fingerprint Fees Account				51,297	58,485	55,838
0032 Firearm Safety Account.....				121	313	324
0044 Motor Vehicle Account, State Transportation Fund				18,859	19,561	19,532
0142 Sexual Habitual Offender Fund				2,076	2,062	2,422
0158 Travel Seller Fund				935	1,123	955
0195 Conservatorship Registry Fund.....				44	45	48
0214 Restitution Fund.....				706	3,000	3,000
0255 Department of Justice DNA Testing Fund				225	225	225
Less funding provided by General Fund				-225	-225	-225
0256 Sexual Predator Public Information Account				49	51	53
0367 Indian Gaming				7,659	8,067	9,809
0378 False Claims Act Fund				6,799	10,069	10,662
0460 Dealers' Record of Sale Special Account				8,780	8,345	8,345
0557 Toxics Substances Control Account.....				1,898	1,959	1,966
0566 Department of Justice Child Abuse Fund.....				198	298	344
0567 Gambling Control Fund				4,728	5,418	5,178
0569 Gambling Control Fines and Penalties Account				163	37	296
0641 Domestic Violence Restraining Order Reimbursement Fund				—	1,918	1,918
0890 Federal Trust Fund				38,216	31,225	28,018
0942 State Asset Forfeiture Account, Special Deposit Fund				457	467	466
0942 Federal Asset Forfeiture Account, Special Deposit Fund.....				1,174	1,888	4,490
0942 Lake Davis Northern Pike Eradication Relief Account, Special Deposit Fund.....				216	942	—
0995 Reimbursements				113,397	133,542	133,097
1008 Firearm Safety and Enforcement				—	—	2,630
1009 Special Telephone Solicitors Fund				—	1,000	1,000
3016 Missing Persons DNA Data Base Fund				—	2,850	2,805

11 DIRECTORATE AND ADMINISTRATION**Program Objectives Statement**

The Directorate and Administration divisions of the Department of Justice consist of the Attorney General's Executive Office, which maintains overall direction and administration of the diverse programs and projects of the department, the Affirmative Action Office and the Opinion Unit. The Opinion Unit performs the Attorney General's duty under Government Code Section 12519 to provide written opinions to designated public officers on questions of law relating to their respective offices. This unit drafts all formal opinions issued by the Attorney General, most of which are published. Also within this program is the Administrative Services Division which provides fiscal, personnel, and technical support activities for the entire department.

Major Budget Adjustments Proposed for 2002-03:

- Reductions
 - A reduction of \$545,000 General Fund (\$400,000 ongoing and \$145,000 one-time) from general departmental support and planning.
 - A decrease of \$448,000 and 6.7 personnel years to reflect the transfer of the Office of Program Review and Audits from the Administrative Services Division to Executive Programs.

12 DIVISION OF LEGAL SUPPORT AND TECHNOLOGY**Program Objectives Statement**

The Division of Legal Support and Technology encompasses a broad scope of programs and services, including information technology services; legal research; large document case indexing, automated trial presentation, and litigation support services; case management; orientation and training services to all legal office employees with special focus on legal secretaries and newly appointed deputies; legal secretarial services; and administrative functions essential to the operation of a law firm.

Major Budget Adjustment Proposed for 2002-03:

- Reductions
 - A reduction of 19.4 personnel years and \$641,000 General Fund from support relative to reductions in the legal programs.

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued**25 EXECUTIVE PROGRAMS****Program Objectives Statement**

The primary responsibility of the Division of Executive Programs is the establishment and maintenance of communications between the department and the public, the news media, the Legislature, and law enforcement. The division consists of the Legislative Unit; the Crime Prevention Center; the Public Inquiry Unit; the Office of Community and Consumer Affairs; Press, Communications and Media; Protective Services and Special Liaisons; the Office of Native American Affairs; the Office of Training and Professional Development; and the Special Assistant Attorneys General.

Major Budget Adjustments Proposed for 2002–03:

- Reductions
 - A reduction of \$138,000 General Fund from various crime prevention programs.
- Augmentation of \$399,000 in reimbursement authority for the Safe from the Start program.
- An increase of \$508,000 (\$400,000 General Fund and \$108,000 various special funds) and 7.6 personnel years to reflect the transfer of the Office of Program Review and Audits from the Administrative Services Division and the Division of Law Enforcement to Executive Programs.

30 CIVIL LAW**Program Objectives Statement**

The Civil Law Program protects and preserves the public interests by providing skilled legal services to all state agencies and Constitutional Officers. The Civil Law Program provides specialized services in the following areas: Licensing; Government Law; False Claims; Business and Tax; Health, Education and Welfare; Health Quality Enforcement; Tort and Condemnation; and Employment, Regulation and Administration.

Major Budget Adjustments Proposed for 2002–03:

- Reductions
 - A reduction of \$500,000 General Fund from external consulting.
- An increase of 2.4 personnel years and \$269,000 General Fund to implement the hearing process for amendments to redevelopment plans as described in Chapter 741, Statutes of 2001 (SB 211).
- Augmentation of \$1,991,000 in reimbursement authority and 15.6 personnel years to handle the increased workload in the Licensing Section. Of this amount, \$1,450,000 and 10.9 personnel years is on a two-year limited term basis.
- A one-time augmentation of \$988,000 General Fund to continue funding legal representation in the Capitol truck crash case.
- A one-time augmentation of \$3,100,000 General Fund for continued consultant fees for underwriter's at Lloyd's Litigation.
- Augmentation of \$1,539,000 in False Claims spending authority and 11.9 personnel years to handle increased workload in the False Claims section.
- Augmentation of \$113,000 in reimbursement authority and 0.9 personnel years for the Business and Tax Section to handle increased workload from the Department of Insurance.
- An increase of \$823,000 (\$264,000 General Fund, and \$559,000 Reimbursement Authority) and 6.3 personnel years to reflect the transfer of the Child Support Enforcement Unit from the Criminal Law Division to the Health, Education and Welfare Section of the Criminal Law Division.
- Augmentation of \$471,000 in reimbursement authority and 3.6 personnel years for the Government Section to handle the increased workload for the Administrative Office of the Courts.

Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, as well as various sections of the Government Code including Sections 11042, 11043, 11157, 11522, 12511, 12512, and 12519.

40 CRIMINAL LAW**Program Objectives Statement**

The Criminal Law Program represents the state in all criminal matters before the appellate and supreme courts and defends the state and its officers in actions filed by state prisoners under the Federal Civil Rights Act. This program also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are disqualified, and conducts criminal investigations and prosecutions of those engaged in illegal activities where local resources are inadequate to perform these functions. Additional responsibilities include: enforcing the Political Reform Act, advising the Governor on extradition matters, prosecuting criminal actions involving Medi-Cal provider fraud and patient abuse, investigating and prosecuting elder abuse crimes, the investigation and prosecution and coordination of litigation involving white-collar crime, high-tech/computer crime and financial crimes against the elderly.

Major Budget Adjustments Proposed for 2002–03:

- Reductions
 - A reduction of 12.3 personnel years and \$2,155,000 General Fund for inmate related litigation and criminal law workload.
 - A reduction of \$3,200,000 General Fund for Plata v. Davis.
 - A decrease of \$823,000 (\$264,000 General Fund and \$559,000 reimbursement authority) and 6.3 personnel years to reflect the transfer of the Child Support Enforcement Unit to the Health, Education and Welfare Section in the Civil Division.

Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, in Government Code Sections 995, 996, 12511, 12512, 12550, 83116, 91001(a), and 91003, and in Penal Code Sections 1256 and 1548.3.

0820 DEPARTMENT OF JUSTICE—Continued**45 PUBLIC RIGHTS****Program Objectives Statement**

The Public Rights element protects and preserves the public interest by providing skilled legal services to all state agencies and Constitutional Officers. The Public Rights Program provides specialized services in the following areas: Civil Rights Enforcement; Charitable Trust (including Charitable Trust Registration); Natural Resources; Indian and Gaming Law; Environmental Law; Land Law; Consumer Law; Antitrust Law; and Tobacco Litigation Enforcement.

Major Budget Adjustments Proposed for 2002–03:

- Reductions
 - A reduction of 2.9 personnel years and \$169,000 General Fund from activities in the charitable trust section.
 - A reduction of 3.8 personnel years and \$1,078,000 General Fund from support of anti-trust, natural resources, consumer, environmental law and other activities.
- An augmentation of \$1,140,000 General Fund and 9.3 personnel years to maintain staffing in the Tobacco Litigation and Enforcement Section.
- An increase of \$606,000 in reimbursement authority and 5.4 personnel years to address workload related to preventing predatory lending fraud.
- An augmentation of \$468,000 in reimbursement authority and 3.9 personnel years on a two-year limited term basis to provide continuing legal representation for the CALFED program.
- An increase of \$361,000 in reimbursement authority and 5.7 personnel years to address the Registry of Charitable Trust workload as a result of Chapter 445, Statutes of 1998 (AB 1810).

Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, as well as various sections of the Government Code including Sections 11042, 11043, 11157, 11522, 12511, 12512, and 12519.

50 LAW ENFORCEMENT**Program Objectives Statement**

The Division of Law Enforcement is organized into three elements. The Bureau of Investigation conducts criminal investigations of statewide importance and provides investigative services, criminal intelligence, and specialized equipment to criminal justice agencies, public agencies, and to the Department of Justice in criminal cases. The Bureau of Narcotic Enforcement combats the state's narcotic problem by providing leadership, coordination, and support to law enforcement through 9 regional offices and 28 multi-agency drug task forces, special operations units, drug diversion, violence suppression teams, clandestine laboratory teams, and crackdown teams. The Bureau of Forensic Services provides evaluation and analysis of physical evidence including expert court testimony to state and local law enforcement agencies by operating 11 specialized laboratories serving 46 counties as well as a forensic training facility and a DNA laboratory that is compiling and maintaining a database of sex and violent offenders. The Western States Information Network provides an automated database of suspected narcotic traffickers for member agencies in Alaska, Hawaii, California, Oregon, and Washington.

Major Budget Adjustment Proposed for 2001–02:

- An increase of \$1,291,000 Federal Trust Fund Authority to establish a Western States Regional Training Center.

Major Budget Adjustments Proposed for 2002–03:

- Reductions
 - A reduction of \$5,420,000 General Fund from overtime and operating expenses.
 - A reduction of 15.0 personnel years due to consolidation of division level administrative functions.
 - A one-time reduction of \$3,000,000 General Fund as a result of funding a portion of the California Methamphetamine Strategy with federal funds rather than General Fund.
 - A one-time reduction of \$1,900,000 General Fund as a result of funding a portion of the costs for the Bureau of Narcotic Enforcement with Federal Asset Forfeiture Account funds rather than General Fund.
- An increase of 4.1 personnel years and \$596,000 General Fund to augment the existing data systems of the HATE Crime Program.
- An increase of 2.8 personnel years and \$2,692,000 Federal Trust Fund Authority to establish a Western States Regional Training Center.
- A one-time augmentation of \$1,189,000 Federal Asset Forfeiture Account Fund to purchase laptop computers and high-tech surveillance cameras.
- An augmentation of \$405,000 General Fund to address maintenance, operation, and utility costs for new forensic laboratories in Fresno and Santa Rosa.
- A decrease of \$60,000 General Fund and 0.9 personnel years to reflect the transfer of the Office of Program Review and Audits from the Bureau of Forensic to Executive Programs.

60 CRIMINAL JUSTICE INFORMATION SERVICES**Program Objectives Statement**

The Criminal Justice Information Services Division is organized into four elements. The Bureau of Criminal Identification and Information maintains and administers the fingerprint identification system and the criminal history record system. The Bureau of Criminal Information and Analysis maintains and administers data bases on persons, property, and firearms. The Hawkins Data Center operates the Criminal Justice Information System (CJIS) and the California Law Enforcement Telecommunications System (CLETS).

Major Budget Adjustment Included in 2001–02:

- A one-time augmentation of \$2,277,000 federal funds to continue implementation of the National Criminal History Improvement Program.

0820 DEPARTMENT OF JUSTICE—Continued**Major Budget Adjustments Proposed for 2002–03:**

- Reductions
 - A reduction of 1.9 personnel years and \$568,000 General Fund from support of ongoing technology operations.
 - A reduction of 19.0 personnel years and \$2,850,000 General Fund (\$2,666,000 ongoing and \$184,000 one-time) from information technology improvement.
- An increase of 0.9 personnel year and \$448,000 General Fund (\$48,000 ongoing and \$359,000 one-time) to enhance the capabilities of the Domestic Violence Restraining Order System (DVROS) in compliance with the provisions of Chapter 572, Statutes of 2001 (SB 66).
- A permanent increase of 2.9 personnel years and a one-time increase of \$433,000 General Fund to comply with the provisions of Chapter 899, Statutes of 2001 (SB 780) concerning anti-reproductive rights crimes.
- An increase of 5.3 personnel years and \$538,000 from the Fingerprint Fees Account to address increased fingerprint submissions pursuant to the provision of Chapter 547, Statutes of 2001 (AB 119).
- An increase of 3.8 personnel years and \$441,000 from the Fingerprint Fees Account for the county/city special districts' recreational entities as passed in Chapter 777, Statutes of 2001 (AB 351).
- A one-time increase of 0.9 personnel year (18 months) and \$587,000 in Reimbursements to begin implementing Chapter 544, Statutes of 2001 (AB 4).
- An augmentation of \$329,000 in Sexual Habitual Offender Program Revenue Fund and 4.8 personnel years to support the California's Megan's Law and the Violent Crime Information Network.
- A one-time augmentation of \$120,000 in Child Abuse Revenue Fund and 0.9 personnel years to meet the workload demands from Child Protection Program Regulations.
- A one-time augmentation of \$2,566,000 (\$2,309,000 federal funds and \$257,000 in Fingerprint Fees Account) and 25.6 personnel years to implement Year 7 of the National Criminal History Improvement Program.

65 DIVISION OF GAMBLING CONTROL**Program Objectives Statement**

Pursuant to Chapter 867, Statutes of 1997 (SB 8), the Gambling Control Act and in accordance with regulations and related gaming laws, the Division of Gambling Control investigates the qualifications of individuals who apply for state gambling licenses and monitors the conduct of these licensees to ensure compliance.

Proposition 1A, which passed in March 2000, amended the California Constitution to permit Class III (Nevada-style) gambling on Indian lands providing that such activities are authorized by a tribal ordinance and conducted in conformity with a gaming compact entered into between the Tribe and the State. The Division of Gambling Control will ensure that each tribe is in compliance with all aspects of their compact. In addition, in a joint effort with compacted tribes, the State will safeguard tribal gaming activities from criminal and other undesirable elements by making sure that licenses are issued only to suitable individuals.

Major Budget Adjustment Proposed for 2002–03:

- An augmentation of \$1,937,000 Indian Gaming Special Distribution Fund and 23.1 personnel years to support the continuation of the Indian Gaming Program.

Authority

The Division is established pursuant to the provisions of Chapter 867 Statutes of 1997.

70 FIREARMS DIVISION**Program Objectives Statement**

The Dangerous Weapons Control Law, Part 4, Title 2 of the California Penal Code, sections 12000 et seq., and relevant portions of the Welfare and Institutions Code contain the statutory authority for Firearms Division activities in the oversight and regulation of firearms in California. The Firearms Division conducts firearms eligibility reviews to identify persons determined ineligible to acquire or possess firearms and/or other dangerous weapons or carry concealed weapons; processes licenses/permits to possess, manufacture or sell dangerous weapons; administers the Basic Firearms Safety Certificate, centralized list, gun show producer and assault weapon registration programs; provides information to client agencies and firearms dealers; conducts firearms dealer and manufacturer inspections; and conducts the safe handgun and firearms safety device certification programs. This Division was created in the 1999–00 fiscal year and funding for the various firearms programs for the 1999–00 and 2000–01 fiscal years is displayed in the Program Requirements under Program 70. For the 1998–99 fiscal year, funding for these programs was in Program 60, Criminal Justice Information Services.

Major Budget Adjustments Proposed for 2002–03:

- Reductions
 - A reduction of \$15,000 General Fund from travel and minor expenses.
- An increase of 6.6 personnel years and a redirection of 6 personnel years to implement the Handgun Safety Certificate Program in Chapters 940 and 942, Statutes of 2001 (AB 35 and SB 52, respectively).
- An increase of 6.6 personnel years and \$1,000,000 General Fund to implement the Armed Prohibited Persons File as proscribed in Chapter 944, Statutes of 2001 (SB 950).

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS**

	<i>2000–01*</i>	<i>2001–02*</i>	<i>2002–03*</i>
11.01 Directorate and Administration	\$19,185	\$23,444	\$22,994
(Directorate)	2,037	2,114	2,114
(Administration)	17,148	21,330	20,880
(Legal Support programs)	—	—	—

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	2000-01*	2001-02*	2002-03*
11.02 Distributed Directorate and Administration	-\$19,185	-\$23,444	-\$22,994
12.01 Legal Support and Technology	40,056	43,321	43,367
12.02 Distributed Legal Support Technology	-40,056	-43,321	-43,369
PROGRAM REQUIREMENTS			
25 EXECUTIVE PROGRAMS	\$10,335	\$17,191	\$15,802
State Operations:			
0001 General Fund	6,402	11,458	10,720
0012 Attorney General Antitrust Account	-	3	3
0014 Hazardous Waste Control Account	-	5	5
0017 Fingerprint Fees Account	-	176	176
0044 Motor Vehicle Account, State Transportation Fund	-	62	62
0142 Sexual Habitual Offender Fund	-	7	7
0158 Travel Seller Fund	-	3	3
0367 Indian Gaming	-	26	26
0557 Toxic Substances Control Account	-	6	6
0567 Gambling Control Fund	-	19	19
0995 Reimbursements	833	1,343	1,165
1009 Special Telephone Solicitors Fund	-	800	327
Totals, State Operations	\$7,235	\$13,908	\$12,519
Local Assistance:			
0001 General Fund	3,100	2,919	2,919
0995 Reimbursements	-	364	364
Totals, Local Assistance	\$3,100	\$3,283	\$3,283
ELEMENT REQUIREMENTS			
25.10 Executive	891	1,131	645
State Operations:			
0001 General Fund	891	1,131	645
25.20 Legislative Unit	592	709	709
State Operations:			
0001 General Fund	592	709	709
25.30 Crime Prevention Center	6,198	10,673	10,273
State Operations:			
0001 General Fund	2,265	6,047	5,825
0995 Reimbursements	833	1,343	1,165
Local Assistance:			
0001 General Fund	3,100	2,919	2,919
0995 Reimbursements	-	364	364
25.40 Public Inquiry Unit	703	1,463	990
State Operations:			
0001 General Fund	703	663	663
1009 Special Telephone Solicitors Fund	-	800	327
25.50 Community and Consumer Affairs	96	116	116
State Operations:			
0001 General Fund	96	116	116
25.60 Press, Communications, and Media	340	613	593
State Operations:			
0001 General Fund	340	613	593
25.70 Special Assistant Attorney General	762	967	967
State Operations:			
0001 General Fund	762	967	967
25.80 Office of Training and Professional Development	577	757	747
State Operations:			
0001 General Fund	577	558	548
0012 Attorney General Antitrust Account	-	2	2
0014 Hazardous Waste Control Account	-	3	3
0017 Fingerprint Fees Account	-	112	112
0044 Motor Vehicle Account, State Transportation Fund	-	41	41
0142 Sexual Habitual Offender Fund	-	5	5
0158 Travel Seller Fund	-	2	2
0367 Indian Gaming	-	17	17
0557 Toxics Substances Control Account	-	4	4
0567 Gambling Control Fines and Penalties	-	13	13
25.90 Office of Native American Affairs	176	254	254
State Operations:			
0001 General Fund	176	254	254

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	2000-01*	2001-02*	2002-03*
25.95 Program Evaluation and Audit	—	\$508	\$508
State Operations:			
0001 General Fund	—	400	400
0012 Attorney General Antitrust Account	—	1	1
0014 Hazardous Waste Control Account	—	2	2
0017 Fingerprint Fees Account	—	64	64
0044 Motor Vehicle Account, State Transportation Fund	—	21	21
0142 Sexual Habitual Offender Fund	—	2	2
0158 Travel Seller Fund	—	1	1
0367 Indian Gaming	—	9	9
0557 Toxics Substances Control Account	—	2	2
0567 Gambling Control Fines and Penalties	—	6	6
PROGRAM REQUIREMENTS			
30 CIVIL LAW	\$92,405	\$104,523	\$105,033
State Operations:			
0001 General Fund	24,284	27,693	25,984
0378 False Claims Act Fund	6,799	10,069	10,662
0995 Reimbursements	61,106	65,819	68,387
Totals, State Operations	\$92,189	\$103,581	\$105,033
Local Assistance:			
0001 General Fund	—	—	—
0942 Lake Davis Northern Pike Eradication Relief Account, Special Deposit Fund	216	942	—
Totals, Local Assistance	\$216	\$942	—
ELEMENT REQUIREMENTS			
30.10 Licensing	16,628	14,725	14,604
State Operations:			
0001 General Fund	2,781	1,220	1,106
0995 Reimbursements	13,847	13,505	13,498
30.20 State Government	5,077	6,093	8,404
State Operations:			
0001 General Fund	2,845	3,969	3,705
0378 Attorney General False Claims Act Fund	—	—	—
0995 Reimbursements	2,232	2,124	4,699
30.30 Business and Tax	7,489	7,427	7,427
State Operations:			
0001 General Fund	4,307	4,810	4,810
0995 Reimbursements	3,182	2,617	2,617
30.40 Health, Education, and Welfare	10,263	13,607	13,559
State Operations:			
0001 General Fund	1,688	4,465	4,417
0995 Reimbursements	8,575	9,142	9,142
30.50 Tobacco	—	—	—
State Operations:			
0001 General Fund	—	—	—
0378 False Claims Account	—	—	—
0995 Reimbursements	—	—	—
30.60 Health Quality Enforcement	9,323	9,523	9,523
State Operations:			
0001 General Fund	1,260	222	222
0995 Reimbursements	8,063	9,301	9,301
30.70 Tort and Condemnation	20,349	26,308	24,084
State Operations:			
0001 General Fund	10,222	11,933	10,651
0995 Reimbursements	9,911	13,433	13,433
Local Assistance:			
0001 General Fund	—	—	—
0942 Lake Davis Northern Pike Eradication Relief Account, Special Deposit Fund	216	942	—
30.80 Employment, Regulation, and Administration	16,477	16,771	16,770
State Operations:			
0001 General Fund	1,181	1,074	1,073
0995 Reimbursements	15,296	15,697	15,697
30.90 False Claims	6,799	10,069	10,662
State Operations:			
0378 Attorney General False Claims Act Fund	6,799	10,069	10,662

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

PROGRAM REQUIREMENTS

	2000-01*	2001-02*	2002-03*
40 CRIMINAL LAW	\$88,892	\$101,652	\$95,787
State Operations:			
0001 General Fund.....	72,031	83,171	77,111
0890 Federal Trust Fund.....	12,040	13,974	14,091
0995 Reimbursements.....	976	662	662
Less amount funded in the Political Reform Act	(80)	(78)	78
Totals, State Operations	\$85,047	\$97,807	\$91,942
Local Assistance:			
0001 General Fund.....	3,845	3,845	3,845
0641 Domestic Violence Reimbursement Fund.....	—	—	—
Totals, Local Assistance	\$3,845	\$3,845	\$3,845

ELEMENT REQUIREMENTS

40.10 Appeals, Writs, and Trials	58,966	62,927	61,197
State Operations:			
0001 General Fund.....	54,623	58,936	57,128
0995 Reimbursements.....	498	146	146
Less amount funded in the Political Reform Act	(80)	(78)	78
Local Assistance:			
0001 General Fund.....	3,845	3,845	3,845
40.20 Correctional Law	11,159	19,006	14,877
State Operations:			
0001 General Fund.....	11,139	18,979	14,850
0995 Reimbursements.....	20	27	27
40.30 Trials and Special Operations	2,178	829	706
State Operations:			
0001 General Fund.....	1,775	829	706
0995 Reimbursements.....	403	—	—
40.90 Medi-Cal Fraud and Patient Abuse	16,589	18,890	19,007
State Operations:			
0001 General Fund.....	4,494	4,427	4,427
0890 Federal Trust Fund.....	12,040	13,974	14,091
0995 Reimbursements.....	55	489	489

PROGRAM REQUIREMENTS

45 PUBLIC RIGHTS	\$43,260	\$57,975	\$55,318
State Operations:			
0001 General Fund.....	27,822	41,034	37,334
0012 Attorney General Antitrust Account	1,066	1,081	1,080
0014 Hazardous Waste Control Account.....	1,603	1,644	1,637
0158 DOJ Travel Seller Fund	935	1,120	952
0195 Conservatory Registry Fund.....	44	45	48
0367 Indian Gaming	—	776	776
0557 Toxic Substances Control Account	1,898	1,953	1,960
0567 Gambling Control Fund	162	309	309
1009 Special Telephone Solicitors Fund.....	—	—	201
0995 Reimbursements.....	9,730	10,013	11,021
Totals, State Operations	\$43,260	\$57,975	\$55,318

ELEMENT REQUIREMENTS

45.10 Charitable Trust Register.....	5,220	5,421	5,150
State Operations:			
0001 General Fund.....	4,269	4,677	4,042
0995 Reimbursements.....	907	699	1,060
0195 Conservatory Registry Fund.....	44	45	48
45.15 Civil Rights Enforcement	1,230	1,328	1,328
State Operations:			
0001 General Fund.....	1,230	1,328	1,328
45.20 Natural Resources.....	9,864	11,212	10,806
State Operations:			
0001 General Fund.....	7,277	6,464	6,094
0995 Reimbursements.....	2,587	4,748	4,712
45.25 Indian Gaming	404	1,549	1,549
State Operations:			
0001 General Fund.....	234	464	464
0367 Indian Gaming	—	776	776
0567 Gambling Control Fund	162	309	309
0995 Reimbursements.....	8	—	—

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	2000-01*	2001-02*	2002-03*
45.30 Environment.....	\$6,056	\$6,437	\$6,359
State Operations:			
0001 General Fund.....	2,081	2,647	2,569
0014 Hazardous Waste Control Account.....	1,603	1,644	1,637
0557 Toxic Substances Control Account.....	1,898	1,953	1,960
0995 Reimbursements.....	474	193	193
45.40 Land Law.....	7,331	6,649	6,618
State Operations:			
0001 General Fund.....	4,781	4,496	4,388
0995 Reimbursements.....	2,550	2,153	2,230
45.50 Consumer Law.....	5,084	5,375	5,897
State Operations:			
0001 General Fund.....	2,010	2,593	2,476
0158 DOJ Travel Seller Fund.....	935	1,120	952
0995 Reimbursements.....	2,139	1,662	2,268
1009 Special Telephone Solicitors Fund.....	—	—	201
45.60 Antitrust.....	3,487	7,481	5,595
State Operations:			
0001 General Fund.....	1,356	5,842	3,957
0012 Attorney General Antitrust Account.....	1,066	1,081	1,080
0995 Reimbursements.....	1,065	558	558
45.70 Child Support Enforcement.....	—	—	—
State Operations:			
0001 General Fund.....	—	—	—
0995 Reimbursements.....	—	—	—
45.80 Tobacco.....	2,573	3,061	2,920
State Operations:			
0001 General Fund.....	2,573	3,061	2,920
45.90 Energy.....	2,011	9,462	9,096
State Operations:			
0001 General Fund.....	2,011	9,462	9,096
PROGRAM REQUIREMENTS			
50 LAW ENFORCEMENT.....	\$139,365	\$162,593	\$160,313
State Operations:			
0001 General Fund.....	88,330	102,721	97,882
0214 Restitution.....	149	61	54
0367 Indian Gaming.....	2	400	400
0890 Federal Trust Fund.....	18,654	9,219	10,118
0942 State Asset Forfeiture Account, Special Deposit Fund.....	457	467	466
0942 Federal Asset Forfeiture Account, Special Deposit Fund.....	1,174	1,888	4,490
0995 Reimbursements.....	29,532	41,823	40,789
3016 Missing Persons DNA Data Base Fund.....	—	2,850	2,805
Less amount funded in the Political Reform Act.....	(142)	(138)	138
Totals, State Operations.....	\$138,298	\$159,429	\$157,142
Local Assistance:			
0001 General Fund.....	510	225	225
0214 Restitution.....	557	2,939	2,946
0255 Department of Justice DNA Testing Fund.....	225	225	225
Less funding provided by General Fund.....	-225	-225	-225
Totals, Local Assistance.....	\$1,067	\$3,164	\$3,171
ELEMENT REQUIREMENTS			
50.10 Investigations.....	15,581	22,543	22,232
State Operations:			
0001 General Fund.....	13,043	16,594	16,145
0214 Restitution.....	149	61	54
0367 Indian Gaming.....	2	400	400
0995 Reimbursements.....	1,830	2,549	2,549
Less amount funded in the Political Reform Act.....	(142)	(138)	138
Local Assistance:			
0214 Restitution.....	557	2,939	2,946
50.15 Mission Support Branch.....	19,227	17,341	16,284
State Operations:			
0001 General Fund.....	17,071	13,610	11,152
0995 Reimbursements.....	2,067	2,294	2,294
0890 Federal Trust Fund.....	89	1,437	2,838

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	2000-01*	2001-02*	2002-03*
50.20 Narcotic Enforcement	\$57,860	\$59,904	\$59,230
State Operations:			
0001 General Fund	30,903	40,657	38,074
0890 Federal Trust Fund	12,739	3,519	3,000
0942 State Asset Forfeiture Account, Special Deposit Fund	457	467	466
0942 Federal Asset Forfeiture Account, Special Deposit Fund	1,174	1,888	4,490
0995 Reimbursements	12,302	13,373	13,200
Local Assistance:			
0001 General Fund	285	—	—
50.30 Forensic Services	37,808	51,476	51,221
State Operations:			
0001 General Fund	27,313	31,860	32,511
0890 Federal Trust Fund	1,390	—	—
0995 Reimbursements	8,880	16,541	15,680
3016 Missing Persons DNA Data Base Fund	—	2,850	2,805
Local Assistance:			
0001 General Fund	225	225	225
0255 Department of Justice DNA Testing Fund	225	225	225
Less funding provided by General Fund	-225	-225	-225
50.50 Campaign Against Marijuana Planting	416	369	349
State Operations:			
0890 Federal Trust Fund	333	311	291
0995 Reimbursements	83	58	58
50.60 Western States Information Network	8,473	10,960	10,997
State Operations:			
0890 Federal Trust Fund	4,103	3,952	3,989
0995 Reimbursements	4,370	7,008	7,008

PROGRAM REQUIREMENTS

60 CRIMINAL JUSTICE INFORMATION SYSTEMS	\$143,464	\$161,943	\$146,990
State Operations:			
0001 General Fund	50,445	57,340	51,210
0015 Firearm Safety Training Fund	—	—	—
0017 Fingerprint Fees Account	51,297	58,309	55,662
0044 Motor Vehicle Account, State Transportation Fund	18,859	19,499	19,470
0142 DOJ Sexual Habitual Offender Fund	2,076	2,055	2,415
0256 Sexual Predator Public Information Fund	49	51	53
0460 Dealers Record of Sale Account	1,798	1,560	1,765
0566 Child Abuse Fund	198	298	344
0890 Federal Trust Fund	7,522	8,032	3,809
0995 Reimbursements	11,220	12,646	9,837
1009 Special Telephone Solicitors Fund	—	200	472
Totals, State Operations	\$143,464	\$159,990	\$145,037
Local Assistance:			
0460 Dealers Record of Sale Account	—	35	35
0641 Domestic Violence Restraining Order Reimbursement Fund	—	1,918	1,918
Totals, Local Assistance	—	\$1,953	\$1,953

ELEMENT REQUIREMENTS

60.10 O. J. Hawkins Data Center	33,758	37,428	36,652
State Operations:			
0001 General Fund	12,813	14,792	13,774
0017 Fingerprint Fees Account	528	483	482
0044 Motor Vehicle Account, State Transportation Fund	17,805	18,307	18,280
0460 Dealers Record of Sale Account	672	700	699
0890 Federal Trust Fund	—	—	—
0995 Reimbursements	1,940	2,946	2,945
1009 Special Telephone Solicitors Fund	—	200	472
60.20 Western States Information Network	—	—	—
State Operations:			
0890 Federal Trust Fund	—	—	—
0995 Reimbursements	—	—	—
60.30 Criminal Information and Analysis	16,858	18,423	17,693
State Operations:			
0001 General Fund	11,384	11,386	11,098
0015 Firearms Safety Training Fund	—	—	—
0017 Fingerprint Fees Account	679	842	842
0044 Motor Vehicle Account, State Transportation Fund	1,006	1,138	1,138
0142 DOJ Sexual Habitual Offender Fund	2,038	2,010	2,372

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

		2000-01*	2001-02*	2002-03*
1				
2				
3				
4	0256 Sexual Predator Public Information Fund	\$47	\$48	\$50
5	0460 Dealers Record of Sale Account	654	445	445
6	0566 Child Abuse Fund	194	293	339
7	0890 Federal Trust Fund	779	1,242	1,027
8	0995 Reimbursements	77	1,019	382
9	60.40 Criminal Identification and Information	83,187	96,876	83,683
10	State Operations:			
11	0001 General Fund	22,393	28,333	23,673
12	0015 Firearms Safety Training Fund	—	—	—
13	0017 Fingerprint Fees Account	47,316	53,620	51,059
14	0044 Motor Vehicle Account, State Transportation Fund	—	—	—
15	0142 DOJ Sexual Habitual Offender Fund	—	—	—
16	0256 Sexual Predator Public Information Fund	—	—	—
17	0460 Dealers Record of Sale Account	333	175	381
18	0566 Child Abuse Fund	—	—	—
19	0890 Federal Trust Fund	6,743	6,790	2,782
20	0995 Reimbursements	6,402	6,005	3,835
21	Local Assistance:			
22	0460 Dealers Record of Sale Account	—	35	35
23	0641 Domestic Violence Restraining Order Reimbursement Fund	—	1,918	1,918
24	60.50 California Parent Locator Support	—	—	—
25	State Operations:			
26	0001 General Fund	—	—	—
27	0995 Reimbursements	—	—	—
28	60.60 Criminal Justice Operation Branch	9,661	9,216	8,962
29	State Operations:			
30	0001 General Fund	3,855	2,829	2,665
31	0015 Firearm Safety Training Fund	—	—	—
32	0017 Fingerprint Fees Account	2,774	3,364	3,279
33	0044 Motor Vehicle Account, State Transportation Fund	48	54	52
34	0142 DOJ Sexual Habitual Offender Fund	38	45	43
35	0256 Sexual Predator Public Information Fund	2	3	3
36	0460 Dealers Record of Sale Account	139	240	240
37	0566 Child Abuse Fund	4	5	5
38	0890 Federal Trust Fund	—	—	—
39	0995 Reimbursements	2,801	2,676	2,675
40				
41				
42				
43	PROGRAM REQUIREMENTS			
44	65 GAMBLING	\$12,386	\$12,864	\$14,625
45	State Operations:			
46	0367 Indian Gaming	7,657	6,865	8,607
47	0567 Gambling Control Fund	4,566	5,090	4,850
48	0569 Gambling Fines and Penalties	163	37	296
49	0995 Reimbursements	—	872	872
50	Totals, State Operations	\$12,386	\$12,864	\$14,625
51				
52	PROGRAM REQUIREMENTS			
53	70 FIREARMS	\$7,457	\$7,897	\$10,956
54	State Operations:			
55	0001 General Fund	8	366	1,222
56	0015 Firearms Safety Training Fund	346	468	235
57	0032 Firearm Safety Account	121	313	324
58	0460 Dealers Record of Sale Special Account	6,982	6,662	6,457
59	1008 Firearms Safety and Enforcement	—	—	2,630
60	Totals, State Operations	\$7,457	\$7,809	\$10,868
61	Local Assistance:			
62	0001 General Fund	—	—	—
63	0046 Dealers Record of Sale Special Account	—	88	88
64	Totals, Local Assistance	—	\$88	\$88
65				
66	PROGRAM REQUIREMENTS			
67	98 STATE-MANDATED LOCAL PROGRAMS			
68	Local Assistance:			
69	Chapter 1399, Statutes of 1976—Custody of Minors	\$14,561	\$19,730	\$13,885
70	Chapter 337, Statutes of 1990—Stolen Vehicles	515	438	370
71	Chapter 1105, Statutes of 1992—Misdemeanors	2,938	2,645	1,043
72	Chapter 1456, Statutes of 1988—Missing Persons Reports	—	—	—
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* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

Carry Over from Previous Years:	2000-01*	2001-02*	2002-03*
Chapter 337, Statutes of 1990—Stolen Vehicles	—	—	—
Chapter 1105, Statutes of 1992—Misdemeanors	—	—	—
Chapter 1399, Statutes of 1976—Custody of Minors	—	—	—
Chapter 1456, Statutes of 1988—Missing Persons Reports	—	—	—
Totals, Local Assistance	\$18,014	\$22,813	\$15,298
TOTAL EXPENDITURES			
State Operations	\$529,336	\$613,363	\$592,484
Local Assistance	26,242	36,088	27,638
Less amount funded in Political Reform Act	(222)	(216)	-216
TOTALS, EXPENDITURES	\$555,578	\$649,451	\$619,906

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A)	4,923.3	5,962.9	5,826.1	\$284,098	\$331,929	\$331,049
Total Adjustments	—	4.7	106.1	—	159	2,749
Estimated Salary Savings	—	-298.4	-296.6	—	-16,727	-17,927
Net Totals, Salaries and Wages	4,923.3	5,669.2	5,635.6	\$284,098	\$315,361	\$315,871
Staff Benefits	—	—	—	45,793	68,287	66,033
Totals, Personal Services	4,923.3	5,669.2	5,635.6	\$329,891	\$383,648	\$381,904
OPERATING EXPENSES AND EQUIPMENT				\$199,445	\$229,715	\$210,580
SPECIAL ITEMS OF EXPENSE				—	—	—
Totals, Special Items of Expense				—	—	—
UNCLASSIFIED				—	—	—
Totals, Unclassified				—	—	—
TOTALS, EXPENDITURES				\$529,336	\$613,363	\$592,484
Less amount funded in Political Reform Act				(222)	(216)	-216
NET TOTALS, EXPENDITURES (State Operations)				\$529,336	\$613,363	\$592,268

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$264,912	\$314,325	\$299,235
Allocation for employee compensation	1,156	—	—
Allocation for contingencies or emergencies	3,772	—	—
Adjustment per Section 3.60	-531	7,247	—
Adjustment per Section 3.90	—	-300	—
Adjustment per Section 4.60 (Rental Rate)	381	475	—
Adjustment per Section 4.00	—	-237	—
Allocation for postage rate increases	15	29	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	486	—
Transfer to Legislative Claims (9670)	-2	-4	—
Transfer from Item 8640-001-0001	222	216	—
003 Budget Act appropriation (Lease-Revenue)	—	1,298	2,228
Revised expenditure authority per Government Code Section 15848	—	131	—
011 Budget Act appropriation (Loan to Indian Gaming Special Distribution Fund)	(8,138)	(7,809)	—
Adjustment per Section 3.60	(-)	(259)	—
Adjustment per Section 4.00	(-)	(-1)	—
012 Budget Act appropriation (Transfer to Special Telephone Solicitors Fund)	—	—	(1,000)
Chapter 479, Statutes of 2000	125	—	—
Pending Legislation (Transfer to Special Telephone Solicitors Fund)	—	(1,000)	—

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	2000-01*	2001-02*	2002-03*
Prior year balances available:			
Item 0820-001-0001/1997, Reapp by Items 0820-490/1998, 1999 and 2000	\$246	—	—
Chapter 479, Statutes of 2000.....	—	\$117	—
Totals Available	\$270,296	\$323,783	\$301,463
Unexpended balance, estimated savings	—857	—	—
Balance available in subsequent years	—117	—	—
TOTALS, EXPENDITURES	\$269,322	\$323,783	\$301,463
0012 Attorney General Antitrust Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,066	\$1,070	\$1,083
Adjustment per Section 3.60	—	14	—
TOTALS, EXPENDITURES	\$1,066	\$1,084	\$1,083
0014 Hazardous Waste Control Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,612	\$1,613	\$1,642
Allocation for employee compensation	2	—	—
Adjustment per Section 3.60	—11	37	—
Adjustment per Section 4.00	—	—1	—
TOTALS, EXPENDITURES	\$1,603	\$1,649	\$1,642
0015 Firearms Safety Training Fund Special Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$458	\$456	\$235
Adjustment per Section 3.60	—3	12	—
Totals Available	\$455	\$468	\$235
Unexpended balance, estimated savings	—109	—	—
TOTALS, EXPENDITURES	\$346	\$468	\$235
0017 Fingerprint Fees Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$49,475	\$57,934	\$55,838
Allocation for employee compensation	126	—	—
Allocation for contingencies or emergencies	1,726	—	—
Adjustment per Section 3.60	—148	492	—
Adjustment per Section 4.60 (Rental Rate).....	118	89	—
Adjustment per Section 4.00	—	—45	—
Allocation for postage rate increases	8	15	—
011 Budget Act appropriation (Transfer to the General Fund)	—	—	(2,000)
Totals Available	\$51,305	\$58,485	\$55,838
Unexpended balance, estimated savings	—8	—	—
TOTALS, EXPENDITURES	\$51,297	\$58,485	\$55,838
0032 Firearm Safety Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$355	\$309	\$324
Adjustment per Section 3.60	—	4	—
Totals Available	\$355	\$313	\$324
Unexpended balance, estimated savings	—234	—	—
TOTALS, EXPENDITURES	\$121	\$313	\$324
0044 Motor Vehicle Account, State Transportation Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$18,771	\$19,255	\$19,532
Allocation for employee compensation	111	—	—
Adjustment per Section 3.60	—80	290	—
Adjustment per Section 4.60 (Rental Rate).....	57	40	—
Adjustment per Section 4.00	—	—24	—
TOTALS, EXPENDITURES	\$18,859	\$19,561	\$19,532

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0142 Department of Justice Sexual Habitual Offender Fund ^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$2,079	\$2,024	\$2,422
Allocation for employee compensation	10	—	—
Adjustment per Section 3.60	-13	40	—
Adjustment per Section 4.60 (Rental Rate)	—	2	—
Adjustment per Section 4.00	—	-4	—
TOTALS, EXPENDITURES	\$2,076	\$2,062	\$2,422

0158 Travel Seller Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$926	\$940	\$955
Adjustment per Section 3.60	-4	15	—
Prior year balances available:			
Chapter 924, Statutes of 1998	196	168	—
Totals Available	\$1,118	\$1,123	\$955
Unexpended balance, estimated savings	-15	—	—
Balance available in subsequent years	-168	—	—
TOTALS, EXPENDITURES	\$935	\$1,123	\$955

0195 Conservatorship Registry Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$49	\$45	\$48
Totals Available	\$49	\$45	\$48
Unexpended balance, estimated savings	-5	—	—
TOTALS, EXPENDITURES	\$44	\$45	\$48

0214 Restitution Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$65	\$59	\$54
Adjustment per Section 3.60	-1	2	—
Revised expenditure authority per Item 0820-101-0214, Provision 2	85	—	—
TOTALS, EXPENDITURES	\$149	\$61	\$54

0256 Sexual Predator Public Information Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$50	\$49	\$53
Adjustment per Section 3.60	-1	2	—
TOTALS, EXPENDITURES	\$49	\$51	\$53

0367 Indian Gaming Special Distribution Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$8,138	\$7,809	\$9,809
Allocation for employee compensation	21	—	—
Adjustment per Section 3.60	-13	259	—
Adjustment per Section 4.00	—	-1	—
Totals Available	\$8,146	\$8,067	\$9,809
Unexpended balance, estimated savings	-487	—	—
TOTALS, EXPENDITURES	\$7,659	\$8,067	\$9,809

0378 False Claims Act Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$9,332	\$9,966	\$10,662
Allocation for employee compensation	11	—	—
Adjustment per Section 3.60	-28	102	—
Adjustment per Section 4.00	—	-4	—
Allocation for postage rate increases	3	5	—
011 Budget Act appropriation (Transfer to the General Fund)	—	—	(2,000)
Totals Available	\$9,318	\$10,069	\$10,662
Unexpended balance, estimated savings	-2,519	—	—
TOTALS, EXPENDITURES	\$6,799	\$10,069	\$10,662

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0460 Dealers' Record of Sale Special Account ^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$8,813	\$8,047	\$8,222
Allocation for employee compensation	38	—	—
Adjustment per Section 3.60	-30	185	—
Adjustment per Section 4.60 (Rental Rate)	10	1	—
Adjustment per Section 4.00	—	-12	—
Allocation for postage rate increases	1	1	—
Totals Available	\$8,832	\$8,222	\$8,222
Unexpended balance, estimated savings	-52	—	—
TOTALS, EXPENDITURES	\$8,780	\$8,222	\$8,222

0557 Toxic Substances Control Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$1,909	\$1,916	\$1,966
Allocation for employee compensation	2	—	—
Adjustment per Section 3.60	-13	44	—
Adjustment per Section 4.00	—	-1	—
TOTALS, EXPENDITURES	\$1,898	\$1,959	\$1,966

0566 Department of Justice Child Abuse Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$199	\$294	\$344
Adjustment per Section 3.60	-1	4	—
TOTALS, EXPENDITURES	\$198	\$298	\$344

0567 Gambling Control Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$5,689	\$5,758	\$5,178
Allocation for employee compensation	28	—	—
Adjustment per Section 3.60	3	182	—
Adjustment per Section 4.00	—	-6	—
Allocation for postage rate increases	1	1	—
Totals Available	\$5,721	\$5,935	\$5,178
Unexpended balance, estimated savings	-993	-517	—
TOTALS, EXPENDITURES	\$4,728	\$5,418	\$5,178

0569 Gambling Control Fines and Penalties Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$195	\$37	\$296
Totals Available	\$195	\$37	\$296
Unexpended balance, estimated savings	-32	—	—
TOTALS, EXPENDITURES	\$163	\$37	\$296

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$40,474	\$26,790	\$28,018
Allocation for employee compensation	59	—	—
Adjustment per Section 3.60	186	661	—
Adjustment per Section 4.60 (Rental Rate)	10	1	—
Adjustment per Section 4.00	—	-14	—
Budget Adjustment	-2,513	3,787	—
TOTALS, EXPENDITURES	\$38,216	\$31,225	\$28,018

0942 Special Deposit Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$1,327	\$1,828	\$4,490
Allocation for employee compensation	7	—	—
Adjustment per Section 3.60	9	54	—
Adjustment per Section 4.00	—	-1	—
Allocation for postage rate increases	2	7	—

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	2000-01*	2001-02*	2002-03*
011 Budget Act appropriation, State Asset Forfeiture Account.....	\$455	\$461	\$466
Allocation for employee compensation	5	—	—
Adjustment per Section 3.60	-3	7	—
Adjustment per Section 4.00	—	-1	—
Totals Available	\$1,802	\$2,355	\$4,956
Unexpended balance, estimated savings	-171	—	—
TOTALS, EXPENDITURES	\$1,631	\$2,355	\$4,956
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements.....	\$113,397	\$133,178	\$132,733
1008 Firearms Safety and Enforcement Special Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	—	—	\$2,630
TOTALS, EXPENDITURES	—	—	\$2,630
1009 Special Telephone Solicitors Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	—	—	\$1,000
Pending Legislation.....	—	\$1,000	—
TOTALS, EXPENDITURES	—	\$1,000	\$1,000
3016 Missing Persons DNA Data Base Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	—	\$2,850	\$2,805
TOTALS, EXPENDITURES	—	\$2,850	\$2,805
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$529,336	\$613,363	\$592,268

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	2000-01*	2001-02*	2002-03*
661701 Grants and subventions.....	\$8,228	\$13,275	\$12,340
State Mandates.....	18,014	22,813	15,298
TOTALS, EXPENDITURES	\$26,242	\$36,088	\$27,638

RECONCILIATION WITH APPROPRIATIONS**2 LOCAL ASSISTANCE****0001 General Fund**

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
101 Budget Act appropriation	\$6,764	\$6,764	\$6,764
102 Budget Act appropriation	300	—	—
111 Budget Act appropriation (Transfer to DNA Testing Fund)	225	225	225
295 Budget Act appropriation (State Mandates)	11,518	14,983	15,298
Adjustment per Government Code Section 17613.....	-61	—	—
Chapter 177, Statutes of 2000 (State Mandates).....	8,753	—	—
Adjustment per Government Code Section 17613.....	-2,196	—	—
Chapter 723, Statutes of 2001 (State Mandates).....	—	7,830	—
Prior year balances available:			
Chapter 885, Statutes of 1997.....	371	—	—
Chapter 574, Statutes of 1999 (State Mandates)	5,812	—	—
Adjustment per Government Code Section 17613.....	-5,812	—	—
Chapter 780, Statutes of 1998 (State Mandates)	20	—	—
Adjustment per Government Code Section 17613.....	-20	—	—
Totals Available	\$25,674	\$29,802	\$22,287
Unexpended balance, estimated savings	-205	—	—
TOTALS, EXPENDITURES	\$25,469	\$29,802	\$22,287

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0214 Restitution Fund ^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
101 Budget Act appropriation	\$2,935	\$2,941	\$2,946
Revised expenditure authority per Provisional language	-85	-2	-
Prior year balances available:			
Chapter 507, Statutes of 1997.....	2,700	-	-
Totals Available	\$5,550	\$2,939	\$2,946
Unexpended balance, estimated savings	-4,993	-	-
TOTALS, EXPENDITURES	\$557	\$2,939	\$2,946

0255 Department of Justice DNA Testing Fund ^s

APPROPRIATIONS			
111 Budget Act appropriation.....	\$225	\$225	\$225
TOTALS, EXPENDITURES	\$225	\$225	\$225
Less funding provided by the General Fund	-225	-225	-225
NET TOTALS, EXPENDITURES	-	-	-

0460 Dealers' Record of Sale Special Account ^s

APPROPRIATIONS			
101 Budget Act appropriation	\$123	\$123	\$123
Totals Available	\$123	\$123	\$123
Unexpended balance, estimated savings	-123	-	-
TOTALS, EXPENDITURES	-	\$123	\$123

0641 Domestic Violence Restraining Order Reimbursement Fund ⁿ

APPROPRIATIONS			
101 Budget Act appropriation	\$1,918	\$1,918	\$1,918
Totals Available	\$1,918	\$1,918	\$1,918
Unexpended balance, estimated savings	-1,918	-	-
TOTALS, EXPENDITURES	-	\$1,918	\$1,918

0942 Special Deposit Fund ⁿ

APPROPRIATIONS			
Prior year balances available:			
Chapter 937, Statutes of 1998.....	\$1,158	\$942	-
Totals Available	\$1,158	\$942	-
Balance available in subsequent years	-942	-	-
TOTALS, EXPENDITURES	\$216	\$942	-

0995 Reimbursements

APPROPRIATIONS			
Reimbursements.....	-	\$364	\$364
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$26,242	\$36,088	\$27,638
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$555,578	\$649,451	\$619,906

FUND CONDITION STATEMENT

0012 Attorney General Antitrust Account ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$133	\$23	\$26
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investments	23	19	19
160100 Attorney General proceeds of antitrust actions.....	933	1,068	1,068
Totals, Revenues	\$956	\$1,087	\$1,087
Totals, Resources	\$1,089	\$1,110	\$1,113

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations)	2000-01* \$1,066	2001-02* \$1,084	2002-03* \$1,083
Totals, Expenditures	\$1,066	\$1,084	\$1,083
FUND BALANCE	\$23	\$26	\$30
Reserve for economic uncertainties	23	26	30
0015 Firearms Safety Training Fund Special Account ^s			
BEGINNING BALANCE	\$289	\$262	\$250
Prior year adjustments	-18	-	-
Balance, Adjusted	\$271	\$262	\$250
REVENUES AND TRANSFERS			
Revenues:			
142500 Miscellaneous services to the public	397	448	448
150300 Income from surplus money investments	22	8	8
Totals, Revenues	\$419	\$456	\$456
Transfers to Other Funds:			
T00460 Dealers' Record of Sale Special Account:			
Loan repayment per Chapter 950, Statutes of 1991	-82	-	-
T01008 Firearms Safety and Enforcement Special Fund per Chapter 940			
and 942, Statutes of 2001	-	-	-470
Totals, Revenues, and Transfers	\$337	\$456	-\$14
Totals, Resources	\$608	\$718	\$236
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations)	346	468	235
Totals, Expenditures	\$346	\$468	\$235
FUND BALANCE	\$262	\$250	\$1
Reserve for economic uncertainties	262	250	1
0017 Fingerprint Fees Account ^s			
BEGINNING BALANCE	\$21,879	\$23,668	\$12,936
Prior year adjustments	3,158	-	-
Balance, Adjusted	\$25,037	\$23,668	\$12,936
REVENUES AND TRANSFERS			
Revenues:			
131600 Fingerprint ID card fees	48,434	52,000	52,000
150300 Income from surplus money investments	1,494	970	970
Totals, Revenues	\$49,928	\$52,970	\$52,970
Transfers to Other Funds:			
T00001 General Fund per Sec 25.10 Budget Act of 2001	-	-4,900	-
T00001 General Fund per Item 0820-012-0017 Budget Act of 2002	-	-	-2,000
Totals, Revenues and Transfers	\$49,928	\$48,070	\$50,970
Totals, Resources	\$74,965	\$71,738	\$63,906
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations)	51,297	58,485	55,838
0820 Department of Justice (Capital Outlay)	-	317	-
Totals, Expenditures	\$51,297	\$58,802	\$55,838
FUND BALANCE	\$23,668	\$12,936	\$8,068
Reserve for economic uncertainties	23,668	12,936	8,068

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0019 Department of Justice Trustline Voluntary
Registration Fund ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$88	\$88	\$88
Prior year adjustments	—	—	—
Balance, Adjusted.....	\$88	\$88	\$88
Totals, Resources.....	\$88	\$88	\$88
FUND BALANCE.....	\$88	\$88	\$88
Reserve for economic uncertainties	88	88	88

0032 Firearms Safety Account ^s

BEGINNING BALANCE.....	\$118	\$432	\$457
Prior year adjustments	53	—	—
Balance, Adjusted.....	\$171	\$432	\$457
REVENUES AND TRANSFERS			
Revenues:			
142500 Miscellaneous services to the public	366	325	325
150300 Income from surplus money investments	16	13	13
Totals, Revenues	\$382	\$338	\$338
Totals, Revenues and Transfers	\$382	\$338	\$338
Totals, Resources	\$553	\$770	\$795
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations).....	121	313	324
Totals, Expenditures	\$121	\$313	\$324
FUND BALANCE.....	\$432	\$457	\$471
Reserve for economic uncertainties	432	457	471

0142 Sexual Habitual Offender Fund ^s

BEGINNING BALANCE.....	\$2,014	\$2,711	\$2,749
Prior year adjustments	109	—	—
Balance, Adjusted.....	\$2,123	\$2,711	\$2,749
REVENUES AND TRANSFERS			
Revenues:			
142500 Miscellaneous services to the public	2,524	2,044	2,044
150300 Income from surplus money investments	140	56	56
Totals, Revenues	\$2,664	\$2,100	\$2,100
Totals, Revenues and Transfers	\$2,664	\$2,100	\$2,100
Totals, Resources	\$4,787	\$4,811	\$4,849
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations).....	2,076	2,062	2,422
Totals, Expenditures	\$2,076	\$2,062	\$2,422
FUND BALANCE.....	\$2,711	\$2,749	\$2,427
Reserve for economic uncertainties	2,711	2,749	2,427

0158 Travel Seller Fund ^s

BEGINNING BALANCE.....	\$1,080	\$1,117	\$730
Prior year adjustments	43	—	—
Balance, Adjusted.....	\$1,123	\$1,117	\$730

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

REVENUES AND TRANSFERS

Revenues:

	2000-01*	2001-02*	2002-03*
142500 Miscellaneous services to the public	\$851	\$700	\$700
150300 Income from surplus money investments	78	36	36
Totals, Revenues	\$929	\$736	\$736
Totals, Resources	\$2,052	\$1,853	\$1,466

EXPENDITURES

Disbursements:

0820 Department of Justice (State Operations)	935	1,123	955
Totals, Expenditures	\$935	\$1,123	\$955
FUND BALANCE	\$1,117	\$730	\$511
Reserve for economic uncertainties	1,117	730	511

0195 Conservatory Registry Fund ^s

BEGINNING BALANCE	—	\$12	\$29
Prior year adjustments	\$15	—	—
Balance, Adjusted	\$15	\$12	\$29

REVENUES AND TRANSFERS

Revenues:

142500 Miscellaneous services to the public	40	60	60
150300 Income from surplus money investments	1	2	2
Totals, Revenues	\$41	\$62	\$62
Totals, Revenues and Transfers	\$41	\$62	\$62
Totals, Resources	\$56	\$74	\$91

EXPENDITURES

Disbursements:

0820 Department of Justice (State Operations)	44	45	48
Totals, Expenditures	\$44	\$45	\$48
FUND BALANCE	\$12	\$29	\$43
Reserve for economic uncertainties	12	29	43

0255 DNA Testing Fund ^s

BEGINNING BALANCE	\$59	\$54	\$59
Prior year adjustments	—17	—	—
Balance, Adjusted	\$42	\$54	\$59

REVENUES AND TRANSFERS

Revenues:

150300 Income from surplus money investments	12	5	5
Totals, Revenues	\$12	\$5	\$5
Totals, Revenues and Transfers	\$12	\$5	\$5
Totals, Resources	\$54	\$59	\$64

EXPENDITURES

Disbursements:

0820 Department of Justice (Local Assistance)	225	225	225
Expenditure Reductions:			
0820 Department of Justice:			
Local Assistance:			
Less funding provided by General Fund	—225	—225	—225
Totals, Expenditures	—	—	—

FUND BALANCE	\$54	\$59	\$64
Reserve for economic uncertainties	54	59	64

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0256 Sexual Predator Public Information Account ^s		2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....		\$217	\$101	\$262
Prior year adjustments		—	—	—
Balance, Adjusted.....		\$217	\$101	\$262
REVENUES AND TRANSFERS				
Revenues:				
142500	Miscellaneous services to the public	169	200	200
150300	Income from surplus money investments	14	12	12
Totals, Revenues		\$183	\$212	\$212
Transfers to Other Funds:				
T00460	Dealers' Record of Sale Special Account:			
Loan Repayment per Chapter 867, Statutes of 1994.....		-250	—	—
Totals, Revenues and Transfers.....		-\$67	\$212	\$212
Totals, Resources		\$150	\$313	\$474
EXPENDITURES				
Disbursements:				
0820	Department of Justice (State Operations).....	49	51	53
Totals, Expenditures		\$49	\$51	\$53
FUND BALANCE.....		\$101	\$262	\$421
Reserve for economic uncertainties		101	262	421
0288 Registry of International Student Exchange Visitor Placement Organizations Fund ^s				
BEGINNING BALANCE.....		\$21	\$26	\$30
Prior year adjustments		1	—	—
Balance, Adjusted.....		\$22	\$26	\$30
REVENUES AND TRANSFERS				
Revenues:				
142500	Miscellaneous services to the public	3	3	3
150300	Income from surplus money investments	1	1	1
Totals, Revenues		\$4	\$4	\$4
Totals, Revenues and Transfers		\$4	\$4	\$4
Totals, Resources		\$26	\$30	\$34
EXPENDITURES				
Disbursements:				
0820	Department of Justice:			
State Operations		—	—	—
Totals, Expenditures.....		—	—	—
FUND BALANCE.....		\$26	\$30	\$34
Reserve for economic uncertainties		26	30	34
0378 False Claims Act Fund ^s				
BEGINNING BALANCE.....		\$434	\$18,128	\$19,866
Prior year adjustments		1,150	—	—
Balance, Adjusted.....		\$1,584	\$18,128	\$19,866
REVENUES AND TRANSFERS				
Revenues:				
164400	Civil and criminal violation assessments	22,572	11,675	3,100
150300	Income from surplus money investments	771	132	35
Totals, Revenues		\$23,343	\$11,807	\$3,135
Transfers to Other Funds:				
T00001	General Fund per Item 0820-012-0378 Budget Act of 2002	—	—	-2,000
Totals, Revenues and Transfers.....		\$23,343	\$11,807	\$1,135
Totals, Resources		\$24,927	\$29,935	\$21,001

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations)	2000-01*	2001-02*	2002-03*
	\$6,799	\$10,069	\$10,662
Totals, Expenditures	\$6,799	\$10,069	\$10,662
FUND BALANCE	\$18,128	\$19,866	\$10,339
Reserve for economic uncertainties	18,128	19,866	10,339
0460 Dealers' Record of Sale Special Account ^s			
BEGINNING BALANCE	\$4,976	\$4,538	\$4,015
Prior year adjustments	-74	-	-
Balance, Adjusted	\$4,902	\$4,538	\$4,015
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits	156	85	85
131600 Fingerprint ID card fees	323	302	302
142500 Miscellaneous services to the public	7,304	7,304	7,304
150300 Income from surplus money investments	300	128	128
150500 Interest income from interfund loans	-	-	-
161000 Escheat of unclaimed checks and warrants	1	3	3
Totals, Revenues	\$8,084	\$7,822	\$7,822
Transfers from Other Funds:			
F00015 Firearm Safety Training Fund loan repayment per Chapter 950, Statutes of 1991	82	-	-
F00256 Sexual Predator Information Account loan repayment per Chapter 867, Statutes of 1994	250	-	-
Totals, Transfers	\$332	-	-
Totals, Revenues and Transfers	\$8,416	\$7,822	\$7,822
Totals, Resources	\$13,318	\$12,360	\$11,837
EXPENDITURES			
Disbursements:			
0820 Department of Justice:			
State Operations	8,780	8,222	8,222
Local Assistance	-	123	123
9900 Statewide General Administration (Pro Rata) (Local Assistance)	-	-	8
Totals, Expenditures	\$8,780	\$8,345	\$8,353
FUND BALANCE	\$4,538	\$4,015	\$3,484
Reserve for economic uncertainties	4,538	4,015	3,484
0566 Department of Justice Child Abuse Fund ^s			
BEGINNING BALANCE	\$339	\$410	\$342
Prior year adjustments	-	-	-
Balance, Adjusted	\$339	\$410	\$342
REVENUES AND TRANSFERS			
Revenues:			
142500 Miscellaneous services to the public	251	219	219
150300 Income from surplus money investments	18	11	11
Totals, Revenues	\$269	\$230	\$230
Totals, Revenues and Transfers	\$269	\$230	\$230
Totals, Resources	\$608	\$640	\$572
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations)	198	298	344
Totals, Expenditures	\$198	\$298	\$344
FUND BALANCE	\$410	\$342	\$228
Reserve for economic uncertainties	410	342	228

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0569 Gambling Control Fines and Penalties Account ^s		2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....		\$836	\$591	\$554
Prior year adjustments		-123	-	-
Balance, Adjusted.....		\$713	\$591	\$554
REVENUES AND TRANSFERS				
Revenues:				
164300 Penalty assessment		41	-	-
Totals, Revenues		\$41	-	-
Totals, Revenues and Transfers		\$41	-	-
Totals, Resources		\$754	\$591	\$554
EXPENDITURES				
Disbursements:				
0820 Department of Justice (State Operations).....		163	37	296
Totals, Expenditures		\$163	\$37	\$296
FUND BALANCE.....		\$591	\$554	\$258
Reserve for economic uncertainties		591	554	258
0942 State Asset Forfeiture Account, Special Deposit Fund ⁿ				
BEGINNING BALANCE.....		\$1,050	\$811	\$464
Prior year adjustments		-	-	-
Balance, Adjusted.....		\$1,050	\$811	\$464
REVENUES AND TRANSFERS				
Operating Revenues:				
215000 Income from investments.....		59	30	30
299000 Other—miscellaneous revenue.....		159	90	90
Totals, Operating Revenues		\$218	\$120	\$120
Totals, Revenues and Transfers		\$218	\$120	\$120
Totals, Resources		\$1,268	\$931	\$584
EXPENDITURES				
Disbursements:				
0820 Department of Justice (State Operations).....		457	467	466
9900 Statewide General Administrative (Pro Rata)		-	-	78
Totals, Expenditures		\$457	\$467	\$544
FUND BALANCE.....		\$811	\$464	\$40
Reserve for economic uncertainties		811	464	40
0942 Federal Asset Forfeiture Account, Special Deposit Fund ⁿ				
BEGINNING BALANCE.....		\$2,299	\$3,316	\$3,262
Prior year adjustments		-204	-	-
Balance, Adjusted.....		\$2,095	\$3,316	\$3,262
REVENUES AND TRANSFERS				
Operating Revenues:				
215000 Income from investments.....		177	134	134
299000 Other—miscellaneous revenue.....		2,218	1,700	1,700
Totals, Operating Revenues		\$2,395	\$1,834	\$1,834
Totals, Revenues and Transfers		\$2,395	\$1,834	\$1,834
Totals, Resources		\$4,490	\$5,150	\$5,096

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations)	2000-01*	2001-02*	2002-03*
9900 Statewide General Administrative (Pro Rata)	\$1,174	\$1,888	\$4,490
	—	—	78
Totals, Expenditures	\$1,174	\$1,888	\$4,568
FUND BALANCE.....	\$3,316	\$3,262	\$528
Reserve for economic uncertainties	3,316	3,262	528
1008 Firearm Safety and Enforcement ^s			
BEGINNING BALANCE.....	—	—	\$800
Prior year adjustments	—	—	—
Balance, Adjusted.....	—	—	\$800
REVENUES AND TRANSFERS			
Revenues:			
131600 Handgun safety fees.....	—	\$800	2,239
Totals, Revenues	—	\$800	\$2,239
Transfers from Other Funds:			
T00015 Firearm Safety Training Fund Special Account:			
Transfer fund balance per Chapter 940 and 942, Statutes of 2001	—	—	470
Totals, Revenues and Transfers	—	\$800	\$2,709
Totals, Resources	—	\$800	\$3,509
EXPENDITURES			
Disbursements:			
0820 Department of Justice:			
State Operations.....	—	—	2,630
Totals, Expenditures.....	—	—	\$2,630
FUND BALANCE.....	—	\$800	\$879
Reserve for economic uncertainties	—	800	879
1009 Special Telephone Solicitors Fund ^s			
BEGINNING BALANCE.....	—	—	—
Transfers from Other Funds:			
F00001 General Fund per pending legislation.....	—	\$1,000	\$1,000
Totals, Revenues and Transfers.....	—	\$1,000	\$1,000
Totals, Resources	—	\$1,000	\$1,000
EXPENDITURES			
Disbursements:			
0820 Department of Justice:			
State Operations.....	—	1,000	1,000
Totals, Expenditures.....	—	\$1,000	\$1,000
FUND BALANCE.....	—	—	—
Reserve for economic uncertainties	—	—	—
3016 Missing Persons DNA Data Base Fund ^s			
BEGINNING BALANCE.....	—	\$896	\$268
Prior year adjustments	—	—	—
Balance, Adjusted.....	—	\$896	\$268
REVENUES AND TRANSFERS			
Revenues:			
1317000 Income from investments	\$9	22	22
141200 Sales of documents.....	887	2,200	2,700
Totals, Revenues	\$896	\$2,222	\$2,722
Totals, Revenues and Transfers	\$896	\$2,222	\$2,722
Totals, Resources	\$896	\$3,118	\$2,990

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

EXPENDITURES

Disbursements:	2000-01*	2001-02*	2002-03*
0820 Department of Justice (State Operations)	—	\$2,850	\$2,805
Totals, Expenditures	—	\$2,850	\$2,805
FUND BALANCE	\$896	\$268	\$185
Reserve for economic uncertainties	896	268	185

CHANGES IN

AUTHORIZED POSITIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	4,923.3	5,962.9	5,826.1	\$284,098	\$331,929	\$331,049
Workload and Administrative Adjustments:						
Directorate/Administrative Services:						
ASD-Executive Unit:				Salary Range		
Temporary Help-Regular	—	1.4	—	—	43	—
Office of Programs Review and Audits:						
C.E.A. I.	—	-1.0	—	5,493-6,975	-76	—
Audit Spec II/BSA/EDP	—	-1.0	—	5,211-6,334	-66	—
Assoc Mgt Auditor	—	-2.0	—	4,110-4,997	-109	—
Assoc Govtl Prog Analyst	—	-1.0	—	3,915-4,759	-47	—
Staff Svcs Analyst	—	-1.0	—	2,507-3,049	-30	—
Ofc Techn-Typing	—	-1.0	—	2,348-2,855	-34	—
Division of Executive Programs:						
Office of Programs Review and Audits:						
C.E.A. I.	—	1.0	—	5,493-6,975	76	—
Audit Spec II/BSA/EDP	—	1.0	—	5,211-6,334	66	—
Assoc Mgt Auditor	—	3.0	—	4,110-4,997	158	—
Assoc Govtl Prog Analyst	—	1.0	—	3,915-4,759	47	—
Staff Svcs Analyst	—	1.0	—	2,507-3,049	30	—
Ofc Techn-Typing	—	1.0	—	2,348-2,855	34	—
Health, Education and Welfare Section:						
Dep Atty Gen IV	—	1.0	—	7,262-8,967	100	—
Dep Atty Gen III.	—	1.0	—	6,573-8,111	91	—
Dep Atty Gen, Range D	—	1.0	—	5,703-7,034	79	—
Legal Analyst	—	1.0	—	3,418-4,155	50	—
Criminal Law Division:						
Appeals, Writs and Trials:						
Dep Atty Gen IV	—	-1.0	—	7,262-8,967	-100	—
Dep Atty Gen III.	—	-1.0	—	6,573-8,111	-91	—
Dep Atty Gen, Range D	—	-1.0	—	5,703-7,034	-79	—
Legal Analyst	—	-1.0	—	3,418-4,155	-50	—
Division of Law Enforcement:						
Mission Support Branch:						
Temporary Help-Regular	—	1.3	—	—	38	—
Overtime-Regular	—	—	—	—	8	—
Bureau of Forensic Services:						
Sr Criminalist	—	-1.0	—	4,626-5,726	-49	—
Criminal Justice Information Services						
Division:						
Bureau of Criminal Information and						
Analysis:						
Staff Programmer Analyst	—	1.0	—	4,507-5,480	30	—
Overtime-Regular	—	—	—	—	7	—
O.J. Hawkins Data Center:						
Staff Programmer Analyst	—	1.0	—	4,507-5,480	33	—
Totals, Workload and Administrative						
Adjustments	—	4.7	—	—	\$159	—
Reductions in Authorized Positions	—	—	-88.4	—	—	-4,666
Temporary Help-Regular	—	—	-19.1	—	—	-574
Overtime-Regular	—	—	—	—	—	-370
Overtime-Agent	—	—	—	—	—	-1,150
Totals	—	—	-107.5	—	—	-\$6,760

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

Proposed New Positions:							
Directorate/Administrative Services:	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*	
ASD-Executive Unit:				Salary Range			
Temporary Help-Regular	—	—	40.3	—	—	\$1,217	
Office of Programs Review and Audits:							
C.E.A. I.	—	—	-1.0	\$5,493-6,975	—	-76	
Audit Spec II/BSA/EDP	—	—	-1.0	5,211-6,334	—	-66	
Assoc Mgt Auditor	—	—	-2.0	4,110-4,997	—	-109	
Assoc Govtl Prog Analyst	—	—	-1.0	3,915-4,759	—	-47	
Staff Svcs Analyst	—	—	-1.0	2,507-3,049	—	-30	
Ofc Techn-Typing	—	—	-1.0	2,348-2,855	—	-34	
Division of Legal Support and Technology:							
Legal Support Services:							
Legal Secty, Range B ^{1, 2, 3}	—	—	21.8	2,839-3,450	—	819	
Ofc Asst-Gen	—	—	2.0	1,846-2,465	—	31	
Division of Executive Programs:							
Crime Prevention Center:							
Temporary Help-Regular	—	—	1.4	—	—	43	
Public Inquiry:							
Ofc Asst-Gen	—	—	8.0	1,846-2,465	—	125	
Office of Programs Review and Audits:							
C.E.A. I.	—	—	1.0	5,493-6,975	—	76	
Audit Spec I/BSA/EDP	—	—	1.0	5,211-6,334	—	66	
Assoc Mgt Auditor	—	—	3.0	4,110-4,997	—	158	
Assoc Govtl Prog Analyst	—	—	1.0	3,915-4,759	—	47	
Staff Svcs Analyst	—	—	1.0	2,507-3,049	—	30	
Ofc Techn-Typing	—	—	1.0	2,348-2,855	—	34	
Civil Law Division:							
Licensing Section:							
Dep Atty Gen III ⁴	—	—	10.0	6,573-8,111	—	870	
State Government:							
Dep Atty Gen III ³	—	—	3.3	6,573-8,111	—	287	
Legal Analyst, Range B ⁵	—	—	2.0	2,704-3,450	—	78	
Business and Tax Section:							
Dep Atty Gen III	—	—	0.6	6,573-8,111	—	52	
Health, Education and Welfare Section:							
Dep Atty Gen IV	—	—	1.0	7,262-8,967	—	100	
Dep Atty Gen III	—	—	1.0	6,573-8,111	—	91	
Dep Atty Gen, Range D	—	—	1.0	5,703-7,034	—	79	
Legal Analyst	—	—	1.0	3,418-4,155	—	50	
False Claims:							
Dep Atty Gen III	—	—	7.0	6,573-8,111	—	609	
Investigative Auditor IV	—	—	1.0	4,520-5,453	—	60	
Criminal Law Division:							
Trials and Special Operations:							
Dep Atty Gen IV	—	—	-1.0	7,262-8,967	—	-100	
Dep Atty Gen III	—	—	-1.0	6,573-8,111	—	-91	
Dep Atty Gen, Range D	—	—	-1.0	5,703-7,034	—	-79	
Legal Analyst	—	—	-1.0	3,418-4,155	—	-50	
Public Rights Division:							
Charitable Trust:							
Staff Svcs Analyst	—	—	1.0	2,507-3,049	—	36	
Ofc Techn	—	—	3.0	2,348-2,855	—	93	
Prog Techn II	—	—	2.0	2,348-2,855	—	62	
Temporary Help-Regular	—	—	0.7	—	—	20	
Indian and Gaming Law Unit:							
Dep Atty Gen III	—	—	2.0	6,573-8,111	—	174	
Land Law Section:							
Dep Atty Gen III ⁶	—	—	2.0	6,573-8,111	—	174	
Legal Analyst ⁶	—	—	0.5	3,418-4,155	—	23	
Consumer Protection Section:							
Dep Atty Gen III	—	—	3.0	6,573-8,111	—	261	
Legal Analyst	—	—	1.5	3,418-4,155	—	68	
Tobacco Litigation Section:							
Dep Atty Gen III	—	—	5.0	6,573-8,111	—	435	
Legal Analyst	—	—	1.0	3,418-4,155	—	45	
Division of Law Enforcement:							
Mission Support Branch:							
Special Agent	—	—	2.0	4,441-5,491	—	117	
Staff Svcs Analyst	—	—	1.0	2,714-3,300	—	36	
Overtime	—	—	—	—	—	32	
Bureau of Investigation:							
Special Agent-in-Charge	—	—	0.3	6,065-6,687	—	26	
Special Agent Supvr	—	—	1.0	4,876-6,036	—	65	

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
				Salary Range		
Crim Intelligence Spec I	—	—	3.0	\$2,560-3,047	—	\$102
Overtime-Regular	—	—	—	—	—	18
Overtime-Agent	—	—	—	—	—	17
Bureau of Forensic Services:						
Sr Criminalist	—	—	-1.0	4,626-5,726	—	-49
Criminal Justice Information Services						
Division:						
Bureau of Criminal Identification and						
Information:						
DOJ Administrator I ⁷	—	—	2.0	4,520-5,455	—	125
Fld Rep	—	—	1.0	4,021-4,853	—	53
Assoc Govtl Prog Analyst ⁷	—	—	3.0	3,915-4,759	—	160
Crim ID Spec II	—	—	1.0	3,047-3,662	—	42
Supvng Prog Techn II ⁷	—	—	2.0	2,628-3,195	—	77
Prog Techn III	—	—	5.5	2,626-3,193	—	196
Prog Techn II ⁷	—	—	7.0	2,348-2,855	—	235
Prog Techn ⁷	—	—	15.0	2,029-2,648	—	423
Bureau of Criminal Information and						
Analysis:						
Staff Programmer Analyst ⁸	—	—	2.0	4,507-5,480	—	120
Assoc Programmer Analyst	—	—	1.0	4,110-4,997	—	54
Crim Intelligence Spec III	—	—	1.0	3,335-4,021	—	44
Crim Intelligence Spec II	—	—	3.0	3,047-3,662	—	121
Crime Studies Techn I	—	—	1.0	2,444-2,971	—	32
Statistical Clk	—	—	1.0	2,348-2,855	—	31
Prog Techn II	—	—	2.0	2,348-2,855	—	62
Temporary Help-Regular	—	—	1.7	—	—	52
O.J. Hawkins Data Center:						
Staff Programmer Analyst	—	—	1.0	4,507-5,480	—	66
Division of Gambling Control:						
Indian Gaming:						
DOJ Administrator II	—	—	1.0	5,481-6,041	—	73
DOJ Administrator I	—	—	1.0	4,520-5,455	—	60
Investigative Auditor IV (Supvr)	—	—	1.0	4,520-5,453	—	60
Investigative Auditor III	—	—	3.0	4,110-4,997	—	163
Lic Analyst	—	—	9.0	3,915-4,759	—	466
Prog Techn II	—	—	6.0	2,348-2,855	—	186
Licensing:						
Sys Software Spec	—	—	1.0	4,949-6,015	—	65
Staff Info Sys Analyst	—	—	1.0	4,507-5,480	—	60
Division of Firearms:						
DOJ Administrator II	—	—	1.0	5,481-6,041	—	73
Special Agent	—	—	11.0	4,441-5,491	—	348
Crim ID Spec II	—	—	1.0	3,047-3,662	—	40
Ofc Asst-Typing	—	—	1.0	2,029-2,465	—	27
Totals, Proposed New Positions	—	—	213.6	—	—	\$9,509
Total Adjustments	—	4.7	106.1	—	\$159	\$2,749
TOTALS, SALARIES AND WAGES	4,923.3	5,967.6	5,932.2	\$284,098	\$332,088	\$333,798

¹ 4.5 positions limited-term to 6/30/04.² 1.6 positions limited-term to 6/30/04.³ 1.0 position limited-term to 6/30/04.⁴ 7.0 positions limited-term to 6/30/04.⁵ 0.5 position limited-term to 6/30/04.⁶ Positions limited-term to 6/30/04.⁷ Positions limited-term to 6/30/03.⁸ 1.0 position limited-term to 6/30/03.STATE BUILDING PROGRAM
EXPENDITURESActual
2000-01*Estimated
2001-02*Proposed
2002-03***80 CAPITAL OUTLAY****Major Budget Adjustment Included in 2001-02:**

- \$11.7 million General Fund reduction and the addition of \$11.7 million Public Buildings Construction Fund to reflect a funding shift for the construction phases of the Redding Replacement Laboratory and the Santa Rosa Replacement Laboratory projects to the Public Buildings Construction Fund.

Major Budget Adjustments Proposed for 2002-03:

- \$5 million General Fund for site acquisition for a statewide DNA laboratory.
- \$5.5 million Public Buildings Construction Fund for the construction phase of the Santa Barbara Replacement Laboratory.

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
PROGRAM ELEMENTS				
85.50.080	Riverside Replacement Laboratory	\$436 ^{Cn}	—	—
85.60.010	Santa Barbara Replacement Laboratory	—	—	\$5,518 ^{Cn}
85.60.020	Santa Rosa Replacement Laboratory	30 ^{Wg}	\$5,470 ^{Cn}	—
85.60.030	Fresno Replacement Laboratory	54 ^{Wg}	12,813 ^{Cg}	—
85.60.060	Redding Replacement Laboratory	308 ^{Wg}	6,240 ^{Cn}	—
85.60.070	Freedom Replacement Laboratory	45 ^{Ag}	2,063 ^{Ag}	—
85.60.080	Parking Lot Improvements	199 ^{Cz}	—	—
85.60.080	Parking Lot Improvements	113 ^{Cg}	1 ^{Cg}	—
85.60.090	Hawkins Data Center—Fire Supp. Sys.	—	317 ^{Cr}	—
85.60.090	Hawkins Data Center-Fire Supp. Sys.	100 ^{PWg}	616 ^{Cg}	—
85.60.095	1300 I St. Bldg. Alterations	145 ^{Cg}	504 ^{Cg}	—
85.70.010	Statewide DNA Labs	—	1,300 ^{Ag}	5,000 ^{Ag}
TOTALS, EXPENDITURES, CAPITAL OUTLAY		\$1,430	\$29,324	\$10,518
0001	General Fund ^g	795	17,297	5,000
0017	Fingerprint Fees Fund ^r	—	317	—
0660	Public Buildings Construction Fund ⁿ	436	11,710	5,518
0995	Reimbursements ^z	199	—	—
RECONCILIATION WITH APPROPRIATIONS				
3 CAPITAL OUTLAY				
0001 General Fund				
APPROPRIATIONS				
301	Budget Act appropriation	\$31,716	\$2,616	\$5,000
	Adjustment per Section 3.90	—	-700	—
Prior year balances available:				
	Item 0820-301-0001/1998, Reapp by Item 0820-492/1999	374	—	—
	Reversion per Government Code Section 16351, 16351.5, and 16408	-374	—	—
	Item 0820-301-0001/1999	—	—	—
	Augmentation per Government Code Section 16352, 16409, and 16354	84	—	—
	Item 0820-301-0001/2000, Reapp by Item 0820-490/2001	—	25,948	—
	Augmentation per Government Code Section 16352, 16409, and 16354	—	1,143	—
	Totals Available	\$31,800	\$29,007	\$5,000
	Unexpended balance, estimated savings	-5,057	-11,710	—
	Balance available in subsequent years	-25,948	—	—
TOTALS, EXPENDITURES		\$795	\$17,297	\$5,000
0017 Fingerprint Fees Account^s				
APPROPRIATIONS				
301	Budget Act appropriation	—	\$317	—
TOTALS, EXPENDITURES		—	\$317	—
0660 Public Buildings Construction Fundⁿ				
APPROPRIATIONS				
301	Budget Act appropriation	—	—	\$5,518
	Pending Legislation	—	\$11,710	—
Prior year balances available:				
	Item 0820-301-0660/1999	—	—	—
	Augmentation per Government Code Section 16352, 16409, and 16354	\$436	—	—
TOTALS, EXPENDITURES		\$436	\$11,710	\$5,518
0995 Reimbursements				
APPROPRIATIONS				
	Reimbursements	\$199	—	—
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)		\$1,430	\$29,324	\$10,518

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER

The State Controller is the Chief Financial Officer of the State, elected by the people. As such, the Controller's primary objectives are to: (1) provide sound fiscal control over both receipts and disbursements of public funds; (2) report periodically on the financial operations and condition of both state and local governments; (3) make certain money due the State is collected through fair, equitable and effective tax administration; (4) provide fiscal guidance to local governments; (5) administer the Unclaimed Property and Property Tax Postponement Programs; and (6) develop and establish policy for a significant number of boards and commissions, including all major tax boards.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Accounting and Reporting.....	123.5	142.0	145.8	\$10,494	\$10,755	\$10,744
20 Audits.....	240.5	277.3	285.5	20,255	20,011	19,325
30 Personnel/Payroll Services	211.7	230.7	231.2	19,851	19,808	19,770
40 Information Systems	116.3	150.4	154.2	13,174	13,351	13,333
50 Collections	184.9	214.5	199.6	12,899	14,435	12,117
60 Disbursements and Support	211.1	134.3	132.9	35,263	33,610	32,622
Distributed to Other Programs	—	—	—	-8,770	-8,495	-8,495
TOTALS, PROGRAMS.....	1,088.0	1,149.2	1,149.2	\$103,166	\$103,475	\$99,416
0001 General Fund.....				68,236	68,791	64,580
0061 Motor Vehicle Fuel Account, Transportation Tax Fund.....				2,826	3,090	3,082
0062 Highway Users Tax Account, Transportation Tax Fund.....				756	855	855
0330 Local Revenue Fund.....				402	413	413
0344 State School Building Lease-Purchase Fund.....				651	744	744
0494 Other Unallocated Special Funds				41	42	42
0797 Unallocated Bond Funds-Select				170	175	175
0890 Federal Trust Fund				1,023	1,356	1,359
0903 State Penalty Fund.....				876	994	992
0988 Various Other Unallocated Non-Governmental Cost Funds				211	232	233
0995 Reimbursements				27,974	26,783	26,941

10 ACCOUNTING AND REPORTING**Program Objectives Statement**

To maintain uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; to report the financial condition of the State; to maintain a database of information and report on local financial transactions; to apportion shared revenues to local governments; to administer Local Mandated Cost programs; to monitor the cash flow of the General Fund; and to prescribe uniform accounting procedures for counties and special districts.

Major Budget Adjustment Proposed for 2002-03

- A reduction of \$21,000 General Fund operating expenses and equipment. (General Fund reductions for the Office total \$1,497,000. Of this amount \$246,000 is for operating expenses and equipment and \$1,251,000 is a shift from General Fund to reimbursements.)

Authority

Constitution, Article XVI, Section 7 and Government Code Sections 12400 et seq.

20 AUDITS**Program Objectives Statement**

To determine the legality and accuracy of all claims against the State through the performance of prepayment audits; to assure the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, reviewing audits performed by independent local auditors, and performing field audits for a variety of state and federal programs; and to audit major businesses for compliance with the Unclaimed Property Law.

Major Budget Adjustments Proposed for 2001-02

- A reduction of \$1,505,000 in reimbursement expenditure authority for Average Daily Attendance (ADA) Audits.
- An augmentation of \$815,000 in reimbursement expenditure authority for various audits.

Major Budget Adjustments Proposed for 2002-03

- A reduction of \$27,000 General Fund operating expenses and equipment.
- A reduction of \$2,705,000 in reimbursement expenditure authority for ADA Audits.
- An augmentation of \$1,406,000 in reimbursement expenditure authority for various audits.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

30 PERSONNEL/PAYROLL SERVICES**Program Objectives Statement**

To administer the State's Payroll, Employment History and Leave Accounting Systems; to audit and process all personnel and payroll transactions for state civil service and exempt employees, and the California State University System; to provide information required to manage the personnel resources of the State; and to properly account for salary and wage expenditures.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued**Major Budget Adjustment Proposed for 2002–03**

- A reduction of \$326,000 General Fund. Of this amount, \$276,000 is a shift from General Fund to increased reimbursement expenditure authority for the late processing of personnel and payroll transactions by departments and \$50,000 is a reduction in operating expenses and equipment.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

40 INFORMATION SYSTEMS**Program Objectives Statement**

To develop, maintain, and operate all of the department's mainframe data processing systems; to develop and manage the fiscal system; and to oversee the planning, procurement, use, and maintenance of microcomputer systems.

Major Budget Adjustment Proposed for 2002–03

- A reduction of \$29,000 General Fund operating expenses and equipment.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

50 COLLECTIONS**Program Objectives Statement**

To administer the Unclaimed Property Law by receiving unclaimed property from banks, savings and loans, and other business firms, and restoring property to owners; to administer and collect estate, inheritance, and gift taxes; to collect delinquent insurance and gas taxes; and to administer the Tax-Defaulted Land and Property Tax Postponement Programs for senior and disabled citizens.

Major Budget Adjustment Proposed for 2001–02

- An augmentation of \$950,000 in reimbursement expenditure authority for county settlements collected by the Property Tax Unit.

Major Budget Adjustments Proposed for 2002–03

- A reduction of \$994,000 General Fund. Of this amount \$950,000 is a shift from General Fund to increased reimbursement expenditure authority for the county settlements collected by the Property Tax Unit and \$44,000 is a reduction in operating expenses and equipment.
- Reduce the interest rate paid on unclaimed property claims and estate tax refunds to the lesser of the three-month treasury bill rate or five percent. This proposal would save an estimated \$17.0 million General Fund annually.
- Extend the Unclaimed Property Amnesty Program for one year. This proposal would generate an estimated \$35 million in remittances to the General Fund.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.
Revenue and Taxation Code, Division 2, Parts 1.5, 2, 4, 7, 8, and 9.
Public Resources Code, Division 3, Chapter 1, Articles 5.5 to 7.

60 DISBURSEMENTS AND SUPPORT**Program Objectives Statement**

To write and mail or deliver all payments of the State's obligations, including personal income tax refunds, payroll warrants, and retirement warrants; to provide staff support services to the Office; and to administer the information security program.

Major Budget Adjustments Proposed for 2002–03

- A reduction of \$100,000 General Fund. Of this amount \$25,000 is a shift from General Fund to increased reimbursement expenditure authority to charge special fund departments for distribution of payroll and \$75,000 is a reduction in operating expenses and equipment.
- An augmentation of \$375,000 in reimbursement expenditure authority for increased postage and printing costs in order to ensure the timely and accurate payment of the State's bills, employees, and retirees.
- An augmentation of \$128,000 in reimbursement expenditure authority for increased facilities operations costs.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.
Membership by State Controller on boards and commissions, principally:
State Board of Equalization, Constitution, Articles 13, 7, and 9.
State Board of Control, Government Code Section 13901.
Franchise Tax Board, Government Code Section 15700.
Pooled Money Investment Board, Government Code Section 16480.1.
State Teachers' Retirement Board, Education Code Section 13851.
Various bond and finance committees, Education Code Section 19510; Military and Veterans Code Section 991; Chapter 765/27, Chapter 23/63, 1st Ex; Water Code Section 12933; Harbors and Navigation Code Section 3902–3; Government Code Section 17220.

0840 STATE CONTROLLER—Continued

California Exposition and Fair Executive Committee, Agricultural Code Section 72.1.
 State Lands Commission, Public Resources Code Section 6101.
 Reapportionment Commission, Constitution, Articles 4, 6.
 Reciprocity Commission, Vehicle Code Section 2600.
 Interagency Council for Ocean Resources, Government Code Sections 8810–11.
 Intergovernmental Council on Urban Growth, Government Code Section 34200.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 ACCOUNTING AND REPORTING**

State Operations:	2000–01*	2001–02*	2002–03*
0001 General Fund	\$7,749	\$7,878	\$7,857
0062 Highway Users Tax Account, Transportation Tax Fund	57	65	65
0330 Local Revenue Fund	402	413	413
0344 State School Building Lease-Purchase Fund	398	440	440
0494 Other Unallocated Special Funds	41	42	42
0797 Unallocated Bond Funds-Select	170	175	175
0903 State Penalty Fund	136	149	147
0988 Various Other Unallocated Non-Governmental Funds	149	162	162
0995 Reimbursements	1,392	1,431	1,443
Totals, State Operations	\$10,494	\$10,755	\$10,744

PROGRAM REQUIREMENTS**20 AUDITS**

State Operations:			
0001 General Fund	\$8,073	\$8,201	\$8,136
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	1,671	1,909	1,909
0062 Highway Users Tax Account, Transportation Tax Fund	639	729	729
0344 State School Building Lease-Purchase Fund	253	304	304
0890 Federal Trust Fund	1,023	1,338	1,341
0903 State Penalty Fund	740	845	845
0988 Various Other Unallocated Non-Governmental Funds	62	70	71
0995 Reimbursements	7,794	6,615	5,990
Totals, State Operations	\$20,255	\$20,011	\$19,325

PROGRAM REQUIREMENTS**30 PERSONNEL/PAYROLL SERVICES**

State Operations:			
0001 General Fund	\$14,860	\$14,648	\$14,310
0890 Federal Trust Fund	—	2	2
0995 Reimbursements	4,991	5,158	5,458
Totals, State Operations	\$19,851	\$19,808	\$19,770

PROGRAM REQUIREMENTS**40 INFORMATION SYSTEMS**

State Operations:			
0001 General Fund	\$11,850	\$11,949	\$11,916
0062 Highway Users Tax Account, Transportation Tax Fund	60	61	61
0995 Reimbursements	1,264	1,341	1,356
Totals, State Operations	\$13,174	\$13,351	\$13,333

PROGRAM REQUIREMENTS**50 COLLECTIONS**

State Operations:			
0001 General Fund	\$10,278	\$11,823	\$9,500
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	1,155	1,181	1,173
0995 Reimbursements	1,466	1,431	1,444
Totals, State Operations	\$12,899	\$14,435	\$12,117

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

PROGRAM REQUIREMENTS

	2000-01*	2001-02*	2002-03*
60 DISBURSEMENTS AND SUPPORT	\$35,263	\$33,610	\$32,622
Amounts Charged to Other Programs:			
10 Accounting and Reporting	-1,271	-1,231	-1,231
20 Audits	-2,452	-2,375	-2,375
30 Personnel/Payroll Services	-2,061	-1,997	-1,997
40 Information Systems	-1,332	-1,290	-1,290
50 Collections	-1,654	-1,602	-1,602
Totals, Amounts Charged to Other Programs	-\$8,770	-\$8,495	-\$8,495
Net Totals, Disbursements and Support (State Operations)	\$26,493	\$25,115	\$24,127
0001 General Fund	15,426	14,292	12,861
0890 Federal Trust Fund	-	16	16
0995 Reimbursements	11,067	10,807	11,250
Totals, State Operations	\$103,166	\$103,475	\$99,416
TOTALS, EXPENDITURES	\$103,166	\$103,475	\$99,416

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1,088.0	1,207.4	1,207.4	\$54,307	\$58,342	\$59,395
Total Adjustments	-	-	-	-	-	10
Estimated Salary Savings	-	-58.2	-58.2	-	-2,848	-2,865
Net Totals, Salaries and Wages	1,088.0	1,149.2	1,149.2	\$54,307	\$55,494	\$56,540
Staff Benefits	-	-	-	9,217	12,022	8,985
Totals, Personal Services	1,088.0	1,149.2	1,149.2	\$63,524	\$67,516	\$65,525
OPERATING EXPENSES AND EQUIPMENT				\$44,286	\$37,441	\$35,229
TOTALS, EXPENDITURES				\$107,810	\$104,957	\$100,754
Less funding provided by State Controller's Statewide Information Technology Projects (0841)				-4,644	-1,482	-1,338
NET TOTALS, EXPENDITURES				\$103,166	\$103,475	\$99,416

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$66,445	\$67,422	\$64,580
Allocation for employee compensation	315	-	-
Allocation for contingencies or emergencies	5,823	-	-
Adjustment per Section 3.60	-392	1,308	-
Adjustment per Section 4.00	-	-140	-
Allocation for postage rate increases	90	201	-
Totals Available	\$72,281	\$68,791	\$64,580
Unexpended balance, estimated savings	-4,045	-	-
TOTALS, EXPENDITURES	\$68,236	\$68,791	\$64,580
0061 Motor Vehicle Fuel Account, Transportation Tax Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,029	\$3,021	\$3,082
Allocation for employee compensation	5	-	-
Adjustment per Section 3.60	-21	73	-
Adjustment per Section 4.00	-	-4	-
Totals Available	\$3,013	\$3,090	\$3,082
Unexpended balance, estimated savings	-187	-	-
TOTALS, EXPENDITURES	\$2,826	\$3,090	\$3,082

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

0062 Highway Users Tax Account, Transportation Tax Fund ^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$836	\$836	\$855
Allocation for employee compensation	1	—	—
Adjustment per Section 3.60	-5	20	—
Adjustment per Section 4.00	—	-1	—
Totals Available	\$832	\$855	\$855
Unexpended balance, estimated savings	-76	—	—
TOTALS, EXPENDITURES	\$756	\$855	\$855

0330 Local Revenue Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$404	\$404	\$413
Allocation for employee compensation	1	—	—
Adjustment per Section 3.60	-3	10	—
Adjustment per Section 4.00	—	-1	—
TOTALS, EXPENDITURES	\$402	\$413	\$413

0344 State School Building Lease-Purchase Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$729	\$728	\$744
Allocation for employee compensation	1	—	—
Adjustment per Section 3.60	-6	17	—
Adjustment per Section 4.00	—	-1	—
Totals Available	\$724	\$744	\$744
Unexpended balance, estimated savings	-73	—	—
TOTALS, EXPENDITURES	\$651	\$744	\$744

0494 Other Unallocated Special Funds ^s

APPROPRIATIONS			
011 Budget Act appropriation	\$42	\$41	\$42
Adjustment per Section 3.60	-1	1	—
TOTALS, EXPENDITURES	\$41	\$42	\$42

0797 Unallocated Bonds Funds-Select ^b

APPROPRIATIONS			
011 Budget Act appropriation	\$171	\$171	\$175
Adjustment per Section 3.60	-1	4	—
TOTALS, EXPENDITURES	\$170	\$175	\$175

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$1,330	\$1,325	\$1,359
Allocation for employee compensation	2	—	—
Adjustment per Section 3.60	-8	32	—
Adjustment per Section 4.00	—	-1	—
Budget Adjustment	-301	—	—
TOTALS, EXPENDITURES	\$1,023	\$1,356	\$1,359

0903 State Penalty Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$975	\$972	\$992
Allocation for employee compensation	1	—	—
Adjustment per Section 3.60	-7	23	—
Adjustment per Section 4.00	—	-1	—
Totals Available	\$969	\$994	\$992
Unexpended balance, estimated savings	-93	—	—
TOTALS, EXPENDITURES	\$876	\$994	\$992

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

0988 Various Other Unallocated Non-Governmental Cost Funds ⁿ

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$187	\$187	\$192
Adjustment per Section 3.60	-1	4	-
011 Budget Act appropriation	41	40	41
Adjustment per Section 3.60	-1	1	-
Totals Available	\$226	\$232	\$233
Unexpended balance, estimated savings	-15	-	-
TOTALS, EXPENDITURES	\$211	\$232	\$233

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$27,974	\$26,783	\$26,941
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$103,166	\$103,475	\$99,416

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0071 Yosemite Foundation Account, California Environmental License Plate Fund ^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
Allocation for contingencies or emergencies	\$840	-	-
Allocated to Yosemite Foundation Account Environmental License Plate Fd	-840	-	-
TOTALS, EXPENDITURES	-	-	-

0979 California Firefighter's Memorial Fund ⁿ

APPROPRIATIONS			
101 Budget Act appropriation	-	\$710	\$500
Allocation to California Firefighter's Memorial Fund	-	-710	-500
TOTALS, EXPENDITURES	-	-	-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	-	-	-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$103,166	\$103,475	\$99,416

CHANGES IN
AUTHORIZED POSITIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	1,088.0	1,207.4	1,207.4	\$54,307	\$58,342	\$59,395
Reduction in Authorized Positions:						
Information Technology Project Budgeted in 0841:				Salary Range		
Assoc Info Sys Analyst-Spec	-	-	-1.5 ¹	4,110-4,997	-	-82
Assoc Govtl Prog Analyst-Spec	-	-	-3.0 ¹	3,915-4,759	-	-155
Info Sys Techn Spec I	-	-	-0.8 ¹	3,110-3,780	-	-33
Subtotals	-	-	-5.3	-	-	-\$270
Proposed New Positions:						
Information Technology Project Budgeted in 0841:						
Assoc Info Sys Analyst-Spec	-	-	2.0 ¹	4,110-4,997	-	109
Assoc Govtl Prog Analyst-Spec	-	-	3.3 ¹	3,915-4,759	-	171
Totals, Proposed New Positions	-	-	5.3	-	-	\$280
Total Adjustments	-	-	-	-	-	\$10
TOTALS, SALARIES AND WAGES	1,088.0	1,207.4	1,207.4	\$54,307	\$58,342	\$59,405

¹ Continuing redirection of existing positions for TEC through 6/30/03.

* Dollars in thousands, except in Salary Range.

0841 STATE CONTROLLER'S STATEWIDE INFORMATION TECHNOLOGY PROJECTS

The State Controller's Office currently maintains and administers the Automated Statewide Travel Expense Reimbursement System. Since this infrastructure project is for the benefit of State government, these expenditures are reflected in this budget. The Automated Statewide Travel Expense Reimbursement System will reduce the processing, review and approval time and will result in more efficient and accurate processing of these claims. This system is funded with reimbursements from various departments. The State Controller's Office will continue to administratively manage this project using its own existing positions as shown in the Controller's budget (0840).

SUMMARY OF PROGRAM

REQUIREMENTS		00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10	Human Resource Management System and Automated Statewide Travel Expense Reimbursement System ..	—	—	—	\$4,644	\$1,482	\$1,338
10.01	Human Resource Management System	—	—	—	(4,059)	(—)	(—)
10.02	Automated Statewide Travel Expense Reimbursement System	—	—	—	(585)	(1,482)	(1,338)
0001	General Fund				4,059	—	—
0995	Reimbursements				585	1,482	1,338

10 STATEWIDE INFORMATION TECHNOLOGY PROJECTS

Major Budget Adjustment Proposed for 2002-03:

- An augmentation of \$1,338,000 from reimbursements for the continued implementation, maintenance, and support of the Automated Statewide Travel Expense Reimbursement System. This amount provides funding for 5.3 existing unfunded positions within the Controller's Office.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400, et seq.

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$7,792	\$0 ¹	\$0 ¹
Totals Available	\$7,792	—	—
Unexpended balance, estimated savings	—3,733	—	—
TOTALS, EXPENDITURES	\$4,059	—	—

¹ Fully reimbursed item.

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$585	\$1,482	\$1,338
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$4,644	\$1,482	\$1,338

0845 DEPARTMENT OF INSURANCE

The office of the Insurance Commissioner has the responsibility to enforce the insurance law found in the California Insurance Code. The role of the Insurance Commissioner is to regulate the insurance industry, thereby protecting California consumers from abusive insurance practices.

The Department regulates the largest insurance market in the United States with over \$80 billion in direct premiums written in the state. In fulfilling its responsibility to protect California's insurance policyholders, the Department conducts examinations of insurance companies and producers to ensure that operations are consistent with the requirements of the Insurance Code and that insurance companies are financially viable and able to meet their obligations to policyholders and claimants. The Department also investigates complaints and responds to consumer inquiries; administers the conservation and liquidation of insolvent and delinquent insurance companies; reviews and approves insurance rates; and is a major contributor in combating insurance fraud.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

SUMMARY OF PROGRAM

REQUIREMENTS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Regulation of Insurance Companies and Insurance Producers	391.4	442.0	442.9	\$52,125	\$56,674	\$56,044
12 Consumer Protection	278.7	327.5	322.8	37,883	39,763	41,877
20 Fraud Control	221.7	272.9	272.9	62,262	64,630	64,668
30 Tax Collection and Audits	11.4	11.4	18.0	1,089	1,152	1,767
40 Earthquake Grants and Loans	2.7	2.8	2.8	2,336	1,396	1,665
50.01 Administration	202.0	214.2	214.2	21,568	23,040	23,139
50.02 Distributed Administration	—	—	—	-21,568	-23,040	-23,139
TOTALS, PROGRAMS	1,107.9	1,270.8	1,273.6	\$155,695	\$163,615	\$166,021
0001 General Fund				1,089	1,152	1,767
0217 Insurance Fund				152,112	160,357	162,339
0285 California Residential Earthquake Recovery Fund				2,336	1,396	1,665
0548 Title Insurance Fund				154	—	—
0995 Reimbursements				4	710	250

10 REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

Program Objectives Statement

The objectives of this program are: (1) to prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers, (2) to prevent unlawful or unfair practices by insurers as defined by the Insurance Code. To accomplish the objectives of this program, the Department conducts field examinations, regulates rates, maintains solvency surveillance, regulates proxy solicitations, manages financially distressed companies, admits qualified companies, maintains surveillance of admitted and surplus lines companies, reviews policy forms, investigates consumer complaints, and assures that producers are properly qualified and licensed.

Major Budget Adjustments Proposed for 2002-03:

- An increase of \$113,000 Insurance Fund for additional representation by the Attorney General in insurance regulatory matters.
- An increase of \$81,000 Insurance Fund and an additional 0.9 personnel year to implement the provisions of Chapter 296, Statutes of 2001, which are to conduct financial examinations of the California Insurance Guarantee Association.
- An increase of \$10,000 Insurance Fund for purchasing commercial financial information to assist the California Organized Investment Network in selecting potential insurer investments.

Authority

Insurance Code, Sections 1-12979, and 12997-15003.

12 CONSUMER PROTECTION

Program Objectives Statement

The objectives of this program are to provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers. The objectives also include protecting applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance. To accomplish the objectives of this program, the Department has devoted a number of activities to consumer issues. An "800" hotline is maintained to respond to individual requests for information and consumer complaints. The hotline also conducts an outreach program to give presentations to consumer groups and provide information at disaster sites. Two written-case bureaus specialize in handling written consumer complaints regarding detailed claims or rating and underwriting issues. All three of these direct consumer contact units act to mediate complaints, educate consumers, and identify violations of law. The Department performs market conduct examinations on-site at insurer locations to follow-up on trends in consumer complaints and review overall claims, underwriting and rating practices in order to evaluate compliance with insurance laws. The Department also deploys investigators who work to identify and curtail illegal practices taken by insurance producers and unlicensed entities. The Department's Legal Branch also focuses on taking formal enforcement action based on referrals from program units.

Major Budget Adjustments Proposed for 2002-03:

- An increase of \$250,000 Insurance Fund to support the interagency agreement with the Department of Managed Health Care for the Independent Medical Review services rendered.
- An increase of \$156,000 Insurance Fund to implement the provisions of Chapter 727, Statutes of 2001, which requires the investigation of complaints where an attorney represents the insured or claimant, is involved in a civil action against the insurer, or complaints are submitted directly from an attorney.
- An increase of \$104,000 Insurance Fund to implement the provisions of Chapter 355, Statutes of 2001, which are to assist members of the public in making focused and effective public records requests that reasonably describe identifiable records.

Authority

Insurance Code, Sections 510, 730, 1857-1858, 10089.7, 12921.1-12921.4, and 12950.

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued**20 FRAUD CONTROL****Program Objectives Statement**

The objective of this program is to protect the public from economic loss and distress by actively investigating and arresting those who commit insurance fraud and to reduce the overall incidence of insurance fraud through anti-fraud outreach to the public, private and governmental sectors.

The staff is primarily certified peace officers who conduct criminal investigations working with both county district attorneys and federal prosecutors to prepare insurance fraud-related criminal cases for prosecution. The investigators serve warrants, make arrests and provide testimony and evidence.

The program supports outreach and education efforts to the public, insurance industry and governmental entities to further reduce insurance fraud through prevention and identification of fraud and causing efficiency of governmental action to impact on insurance fraud.

The program also administers funding to local district attorneys for the prosecution of workers' compensation and automobile insurance fraud crimes.

Authority

Insurance Code Sections, Chapter 12, Sections 1871–1879.

30 TAX COLLECTION AND AUDIT**Program Objectives Statement**

This program performs tax collection, accounting, and tax audits of insurance companies and surplus line brokers. The program staff audits tax returns to determine compliance with the rules and regulations contained in both the Insurance and Revenue and Taxation Codes. The program staff proposes tax assessments to the Board of Equalization based on its audit findings. It assists the Board of Equalization and the State Controllers' Office with various refund, assessment, and accounting matters relative to the various premium taxpayers.

Major Budget Adjustments Proposed for 2002–03:

- Other Reductions
 - A reduction of \$21,000 General Fund in operating expenses and equipment for the Premium Tax Audit Bureau and Tax Processing Unit.
- Other Adjustments
 - An increase of \$636,000 General Fund and an additional 6.6 personnel years to increase the number of audits performed annually and to provide additional support necessary to cover increases in the audit workload of both the Premium Tax Audit Bureau and Tax Processing Unit.

Authority

Insurance Code, Sections 1774–1780.

Revenue and Taxation Code, Part 7 of Division 2.

40 EARTHQUAKE GRANTS AND LOANS**Program Objectives Statement**

This program provides residential grants and loans to retrofit high-risk residential dwellings owned or occupied by low to moderate income households to minimize the risk of future earthquake damage to those dwellings. Since the program's inception in 1996, the Department has funded the retrofitting of approximately 1,300 homes through its grant program. In addition, 14 homes have been retrofitted through the loan program. The Department anticipates that 380 more homes will be retrofitted during fiscal year 2001–02 and another 390 homes in fiscal year 2002–03. The program is authorized to be in effect until December 31, 2004.

Authority

Chapter 899, Statutes of 1995.

Chapter 796, Statutes of 1999.

Chapter 895, Statutes of 2001.

50 ADMINISTRATION**Program Objectives Statement**

The Administration Program provides the overall policy direction for the Department from the Commissioner's Office as well as administrative support services. This program consists of: the Special Projects Office to coordinate special priority projects as directed by the Commissioner; Legislative Office to support consumers through legislative advocacy; Internal Audits/Information Security Office to ensure adherence to federal, state, and CDI policies, mandates and procedures; Media Relations Office to provide information to the public and the media about CDI's mission to protect California consumers; the support services in the Administration & Licensing Services Branch provide budget and revenue management, accounting, human resources, and business management services for the department; and the E-Government & Technology Solutions Branch provides the blueprint to implement regulatory practices compatible with new technological advancements and provides all other IT maintenance and support activities.

Authority

Chapter 722, Statutes of 1982.

0845 DEPARTMENT OF INSURANCE—Continued

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 REGULATION OF INSURANCE COMPANIES AND
INSURANCE PRODUCERS

State Operations:	2000-01*	2001-02*	2002-03*
10.30 Rate Regulation	\$14,355	\$17,699	\$17,613
10.40 Regulatory	14,549	17,581	17,453
10.51 Licensing	18,439	16,590	16,164
10.70 Special Programs	4,782	4,804	4,814
Totals, State Operations	\$52,125	\$56,674	\$56,044
State Operations:			
0217 Insurance Fund	51,967	55,964	55,794
0548 Title Insurance Fund	154	—	—
0995 Reimbursements	4	710	250

PROGRAM REQUIREMENTS

12 CONSUMER PROTECTION

State Operations:			
12.10 Legal Compliance	\$7,305	\$6,931	\$7,206
12.20 Investigations	9,113	11,499	12,016
12.30 Consumer Services and Market Conduct	21,465	21,333	22,655
Totals, State Operations	\$37,883	\$39,763	\$41,877
State Operations:			
0217 Insurance Fund	37,883	39,763	41,877

PROGRAM REQUIREMENTS

20 FRAUD CONTROL

0217 Insurance Fund:			
State Operations:			
20.10 Fraud-Auto	\$13,699	\$16,144	\$16,442
20.20 Fraud-Workers' Compensation	14,877	14,210	13,950
20.30 Fraud-General Assessment	1,783	1,860	1,860
Totals, State Operations	\$30,359	\$32,214	\$32,252
Local Assistance:			
20.10 Fraud-Auto	15,130	15,130	15,366
20.20 Fraud-Workers' Compensation	16,773	17,286	17,050
Totals, Local Assistance	\$31,903	\$32,416	\$32,416
State Operations:			
0217 Insurance Fund	30,359	32,214	32,252
Local Assistance:			
0217 Insurance Fund	31,903	32,416	32,416

PROGRAM REQUIREMENTS

30 TAX COLLECTION AND AUDIT

State Operations:			
0001 General Fund	\$1,089	\$1,152	\$1,767
Totals, State Operations	\$1,089	\$1,152	\$1,767

PROGRAM REQUIREMENTS

40 EARTHQUAKE GRANTS AND LOANS

0285 California Residential Earthquake Recovery Fund:			
State Operations	\$265	\$265	\$265
Local Assistance	2,071	1,131	1,400

TOTAL EXPENDITURES

State Operations	\$121,721	\$130,068	\$132,205
Local Assistance	33,974	33,547	33,816
TOTALS, EXPENDITURES	\$155,695	\$163,615	\$166,021

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1,107.9	1,337.8	1,332.8	\$62,146	\$72,918	\$73,935
Total Adjustments	—	—	8.0	—	—	582
Estimated Salary Savings	—	-67.0	-67.2	—	-3,620	-3,884
Net Totals, Salaries and Wages	1,107.9	1,270.8	1,273.6	\$62,146	\$69,298	\$70,633
Staff Benefits	—	—	—	10,366	13,132	13,183
Totals, Personal Services	1,107.9	1,270.8	1,273.6	\$72,512	\$82,430	\$83,816
OPERATING EXPENSES AND EQUIPMENT				\$49,209	\$47,638	\$48,389
TOTALS, EXPENDITURES				\$121,721	\$130,068	\$132,205

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$1,137	\$1,131	\$1,767
Adjustment per Section 3.60	-9	21	—
011 Budget Act appropriation	(3,778)	—	—
Totals Available	\$1,128	\$1,152	\$1,767
Unexpended balance, estimated savings	-39	—	—
TOTALS, EXPENDITURES	\$1,089	\$1,152	\$1,767

0217 Insurance Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$122,399	\$124,924	\$129,923
Allocation for employee compensation	628	—	—
Adjustment per Section 3.60	-348	2,874	—
Adjustment per Section 4.60 (Rental Rate)	160	203	—
Adjustment per Section 4.00	—	-131	—
Allocation for Department of Justice Attorney Services	—	37	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	34	—
Prior year balances available:			
Item 0845-001-0217/1999, Reapp by Item 0845-490/2000	1,135	—	—
Totals Available	\$123,974	\$127,941	\$129,923
Unexpended balance, estimated savings	-3,765	—	—
TOTALS, EXPENDITURES	\$120,209	\$127,941	\$129,923

0285 California Residential Earthquake Recovery Fund ^s

APPROPRIATIONS			
Transfer from Local Assistance per Chapter 899/1995, as amended by Chapter 796/1999	\$265	\$265	\$265
TOTALS, EXPENDITURES	\$265	\$265	\$265

0548 Title Insurance Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$158	—	—
Totals Available	\$158	—	—
Unexpended balance, estimated savings	-4	—	—
TOTALS, EXPENDITURES	\$154	—	—

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$4	\$710	\$250
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$121,721	\$130,068	\$132,205

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0217 Insurance Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
101 Budget Act appropriation	\$31,903	\$32,416	\$32,416
TOTALS, EXPENDITURES	\$31,903	\$32,416	\$32,416
0285 California Residential Earthquake Recovery Fund ^s			
APPROPRIATIONS			
Prior year balances available:			
Transfer to State Operations.....	—	—	-\$265
Chapter 899/1995, as amended by Chapter 796/1999 and amended by Chapter 895/2001	\$4,963	\$2,627	—
Transfer to State Operations.....	-265	-265	—
Revised expenditure authority per Chapter 895, Statutes of 2001	—	1,500	—
Chapter 899, Statutes of 1995 as amended by Chapter 796, Statutes of 1999.....	—	—	2,731
Totals Available	\$4,698	\$3,862	\$2,466
Balance available in subsequent years	-2,627	-2,731	-1,066
TOTALS, EXPENDITURES	\$2,071	\$1,131	\$1,400
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$33,974	\$33,547	\$33,816
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$155,695	\$163,615	\$166,021

FUND CONDITION STATEMENT

0217 Insurance Fund ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$39,551	\$37,834	\$32,395
Prior year adjustments	394	—	—
Totals, Adjusted.....	\$39,945	\$37,834	\$32,395
REVENUES AND TRANSFERS			
Revenues:			
123100 Insurance company license fees and penalties.....	23,638	23,756	23,875
123200 Insurance company fees, examination.....	15,405	17,781	17,781
127100 Insurance company fees, Prop. 103	21,594	23,051	21,976
127200 Insurance company fees, general	13,952	14,157	14,328
127300 Insurance fraud assessment, Workers' Compensation.....	30,169	31,496	31,496
127400 Insurance fraud assessment, auto	40,472	38,594	38,594
127500 Insurance fraud assessment, general.....	1,431	1,860	1,860
142500 Miscellaneous services to the public	201	200	200
150300 Income from surplus money investments	2,341	2,300	2,185
161000 Escheat of unclaimed checks and warrants	166	—	—
161400 Miscellaneous revenue.....	66	50	50
161900 Other revenue—cost recoveries	787	1,676	1,832
Totals, Revenues and Transfers.....	\$150,222	\$154,921	\$154,177
Totals, Resources	\$190,167	\$192,755	\$186,572
EXPENDITURES			
Disbursements:			
0845 Department of Insurance:			
State Operations	120,209	127,941	129,923
Local Assistance	31,903	32,416	32,416
9670 Legislative Claims (State Operations).....	221	3	—
9900 Statewide General Administrative (Pro Rata) (Local Assistance)	—	—	1,549
Totals, Disbursements	\$152,333	\$160,360	\$163,888
FUND BALANCE.....	\$37,834	\$32,395	\$22,684
Reserve for economic uncertainties	37,834	32,395	22,684

NOTE: Ending Fund Balance for 0217 Insurance Fund contains moneys restricted for the purpose of Proposition 103 and fraud activities.

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

0285 California Residential Earthquake Recovery Fund ^s				2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....				\$6,260	\$6,752	\$5,826
Prior year adjustments				2,145	—	—
Totals, Adjusted.....				\$8,405	\$6,752	\$5,826
REVENUES AND TRANSFERS						
Revenues:						
150300 Income from surplus money investments				473	470	470
161900 Other revenue—cost recoveries				210	—	—
Totals, Revenues and Transfers.....				\$683	\$470	\$470
Totals, Resources				\$9,088	\$7,222	\$6,296
EXPENDITURES						
Disbursements:						
0845 Department of Insurance:						
State Operations				265	265	265
Local Assistance				2,071	1,131	1,400
Totals, Disbursements.....				\$2,336	\$1,396	\$1,665
FUND BALANCE.....				\$6,752	\$5,826	\$4,631
Reserve for economic uncertainties				6,752	5,826	4,631
0548 Title Insurance Fund ^s						
BEGINNING BALANCE.....				\$270	\$116	—
REVENUES AND TRANSFERS						
Transfers to Other Funds:						
T00001 General Fund per Government Code Section 13306				—	—116	—
Totals, Revenues and Transfers.....				—	—\$116	—
Totals, Resources				\$270	—	—
EXPENDITURES						
Disbursements:						
0845 Department of Insurance (State Operations).....				154	—	—
FUND BALANCE.....				\$116	—	—
Reserve for economic uncertainties				116	—	—

CHANGES IN AUTHORIZED POSITIONS				00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	1,107.9	1,337.8	1,332.8				\$62,146	\$72,918	\$73,935
Proposed New Positions:									
Consumer Services and Market Conduct Branch:							Salary Range		
Assoc Ins Compliance Ofcr-Temporary Help	—	—	(2.0)				4,110-4,997	—	109
Financial Surveillance Branch:									
Sr Ins Examiner-Supvr.....	—	—	1.0				4,963-5,987	—	67
Sr Ins Examiner-Spec	—	—	1.0				4,724-5,741	—	62
Assoc Ins Examiner	—	—	2.0				4,110-4,997	—	108
Assoc Acctg Analyst	—	—	1.0				4,110-4,997	—	55
Acctg Ofcr	—	—	1.0				3,418-4,155	—	45
Ins Examiner.....	—	—	2.0				2,731-3,320	—	72
Legal Branch:									
Staff Counsel-Temporary Help.....	—	—	(1.0)				3,651-7,034	—	64
Totals, Proposed New Positions.....	—	—	8.0				—	—	\$582
Total Adjustments	—	—	8.0				—	—	\$582
TOTALS, SALARIES AND WAGES	1,107.9	1,337.8	1,340.8				\$62,146	\$72,918	\$74,517

* Dollars in thousands, except in Salary Range.

0850 CALIFORNIA STATE LOTTERY COMMISSION

The California Constitution authorizes the establishment of a statewide lottery. An initiative statute, the California State Lottery Act of 1984, created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The primary purpose of the Act is to provide supplemental monies to benefit public education without the imposition of additional or increased taxes. The Lottery is administered by a five-person Commission appointed by the Governor with the concurrence of the State Senate.

The statute requires that not less than 84 percent of the total annual revenues from the sale of state lottery tickets shall be returned to the public in the form of prizes and net revenues to benefit public education. Fifty (50) percent of the total annual revenues shall be returned to the public in the form of prizes. At least 34 percent of those revenues shall be allocated to the benefit of public education, and no more than 16 percent of the revenues are to be used for administrative costs. The Commission may also use a portion of its administrative funds to pay for prizes in order to increase sales and revenues to education. Those revenues allocated to the benefit of public education are to be placed in a special fund, known as the California State Lottery Education Fund, which is appropriated for the benefit of public education and which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following four categories: K–12 education, Community Colleges, the California State University and the University of California. These funds, which augment, rather than replace, funds already allocated for public education, are to be spent exclusively for instructional purposes, and may not be spent for acquisition of real property, construction of facilities, financing of research, or other noninstructional purposes.

By a legislative initiative in March 2000, the Lottery Act was amended to provide that one-half of the amount of the share allocated to public education in excess of the amount allocated to education in fiscal year 1997–98 shall be allocated to school and community college districts for the purchase of instructional materials.

In the 16 years from the start of sales in October 1985 through June 30, 2001, the California State Lottery has raised over \$13 billion for public education.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2001–02 and 2002–03 cannot be made with certainty.

Authority

California Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880–8880.72).

STATEMENT OF OPERATIONS

	2000–01*	2001–02*	2002–03*
Lottery sales	\$2,894,841	\$2,850,000	\$2,850,000
Less prizes	1,503,768	1,498,625	1,498,625
Sales after prizes	\$1,391,073	\$1,351,375	\$1,351,375
Less Game Costs:			
Retailer costs	196,794	197,768	197,768
On-line game costs	52,094	41,604	41,604
Off-line game costs	33,514	39,020	39,020
Totals, Game Costs	\$282,402	\$278,392	\$278,392
Income before operating expenses	1,108,671	1,072,983	1,072,983
Operating Expenses:			
Salaries, wages and benefits	35,906	41,148	41,148
Advertising	32,902	23,275	23,275
Promotion, public relations and point of sale	9,683	8,985	8,985
Other professional services	7,586	9,570	9,570
Depreciation and amortization	7,634	8,063	8,063
Other general and administrative expenses	9,361	12,942	12,942
Totals, Operating Expenses	\$103,072	\$103,983	\$103,983
Operating income	1,005,599	969,000	969,000
Interest income	26,210	25,000	25,000
Other income	177	0	0
Net Income	\$1,031,986	\$994,000	\$994,000
Unclaimed on-line prizes	79,183	20,000	20,000
NET INCOME, DUE TO EDUCATION FUND	\$1,111,169	\$1,014,000	\$1,014,000

0562 State Lottery Fund

APPROPRIATIONS

001 Budget Act appropriation (revised estimated expenditures)	(\$385,474)	(\$382,375)	(\$382,375)
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DISTRIBUTION OF STATE LOTTERY EDUCATION FUND REVENUES

	2000–01*	2001–02*	2002–03*
Department of Education	\$901,897	\$812,694	\$812,694
California Community Colleges	152,212	138,089	138,089
California State University/California Maritime Academy	34,625	37,264	37,264
University of California	20,376	21,962	21,962
Hastings College of Law	130	154	154

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0850 CALIFORNIA STATE LOTTERY COMMISSION—Continued

	2000-01*	2001-02*	2002-03*
California Youth Authority	\$591	\$651	\$651
State Special Schools	110	133	133
Department of Developmental Services.....	630	2,497	2,497
Department of Mental Health	598	556	556
TOTALS	\$1,111,169	\$1,014,000	\$1,014,000

0855 CALIFORNIA GAMBLING CONTROL COMMISSION

Chapter 867, Statutes of 1997 (SB 8) created, effective January 1, 1999, the California Gambling Control Commission (Commission). Pursuant to statute, the five member Commission is appointed by the Governor, subject to Senate confirmation, and is vested with jurisdiction over gambling establishments in this state and over all persons or things having to do with the operations of gambling establishments in this state, including jurisdiction over operation concentration, and supervision. Specifically, the Commission's responsibilities include:

- Assuring that licenses, approval, and permits are not issued to, or held by, unqualified or disqualified persons, or by persons whose operations are conducted in a manner that is inimical to the public health, safety, or welfare, and
- Assuring that there is not material involvement directly, or indirectly, with a licensed gambling operation, or the ownership or management thereof, by unqualified or disqualified persons, or by persons whose operations are conducted in a manner that is inimical to the public health, safety, or welfare.

Major Budget Adjustments Proposed for 2002-03:

- An augmentation of \$470,000 (\$235,000 Gambling Control Fund, \$235,000 Indian Gaming Special Distribution Fund) and 2.9 personnel years to manage immediate regulatory and Tribal-State Compact workload.
- An augmentation of \$73,000 (\$58,000 Indian Gaming Special Distribution Fund, \$15,000 Gambling Control Fund) to develop and implement the License 2000 database and tracking system to be shared by both the Commission and the Department of Justice, Division of Gambling Control.

Authority

Business and Professions Code, Division 8, Chapter 5, Article 2, Section 19810A-19823A, Article 3 (commencing with Section 19830A).

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 California Gambling Control						
Commission	4.4	38.0	41.0	\$26,805	\$4,015	\$50,958
0366 Indian Gaming Revenue Sharing Trust Fund				24,901	1	46,000
0367 Indian Gaming Special Distribution Fund.....				1,111	2,533	2,941
0567 Gambling Control Fund				793	1,481	2,017

SUMMARY BY OBJECT**1 STATE OPERATIONS**

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A)	4.4	40.0	40.0	\$450	\$2,342	\$2,392
Total Adjustments	-	-	3.0	-	-	195
Estimated Salary Savings	-	-2.0	-2.0	-	-261	-130
Net Totals, Salaries and Wages	4.4	38.0	41.0	\$450	\$2,081	\$2,457
Staff Benefits	-	-	-	41	412	488
Totals, Personal Services	4.4	38.0	41.0	\$491	\$2,493	\$2,945
OPERATING EXPENSES AND EQUIPMENT				\$1,413	\$1,521	\$2,013
TOTALS, EXPENDITURES				\$1,904	\$4,014	\$4,958

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
011 Budget Act appropriation (Loan to Indian Gaming Special Distribution Fund).....	-	(\$2,482)	-
Adjustment per Section 3.60	-	(51)	-
Chapter 23, Statutes of 2001 (Loan to Indian Gaming Special Distribution Fund) ..	(\$1,131)	-	-
TOTALS, EXPENDITURES	-	-	-

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0855 CALIFORNIA GAMBLING CONTROL COMMISSION—Continued**0367 Indian Gaming Special Distribution Fund ^s**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
001 Budget Act appropriation	—	\$2,482	\$2,941
Adjustment per Section 3.60	—	51	—
Chapter 23, Statutes of 2001	\$1,131	—	—
Totals Available	\$1,131	\$2,533	\$2,941
Unexpended balance, estimated savings	—20	—	—
TOTALS, EXPENDITURES	\$1,111	\$2,533	\$2,941

0567 Gambling Control Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$576	\$1,732	\$2,017
Allocation for employee compensation	4	—	—
Adjustment per Section 3.60	—6	34	—
Adjustment per Section 4.00	—	—7	—
Allocation from Chapter 23, Statutes of 2001	219	—	—
Totals Available	\$793	\$1,759	\$2,017
Unexpended balance, estimated savings	—	—278	—
TOTALS, EXPENDITURES	\$793	\$1,481	\$2,017
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,904	\$4,014	\$4,958

RECONCILIATION WITH APPROPRIATIONS**2 LOCAL ASSISTANCE****0366 Indian Gaming Revenue Share Trust Fund ⁿ**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
101 Budget Act appropriation	\$1	\$1	\$46,000
Increase expenditures per Provision 3	24,900	—	—
TOTALS, EXPENDITURES	\$24,901	\$1	\$46,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$24,901	\$1	\$46,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$26,805	\$4,015	\$50,958

FUND CONDITION STATEMENT**0367 Indian Gaming Special Distribution Fund ^s**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
BEGINNING BALANCE	—	\$20	\$20
REVENUES AND TRANSFERS			
Revenues:			
161400 Miscellaneous revenues	—	—	38,000
Totals, Revenues	—	—	\$38,000
Transfers:			
Transfers from Other Funds:			
F00001 General Fund loan per Item 0820-011-0001, Budget Acts of 2000 and 2001	\$7,659	8,067	—
F00001 General Fund loan per Item 8380-011-0001, Budget Act of 2000 ..	—	400	—
F00001 General Fund loan per Chapter 23, Statutes of 2001	1,131	—	—
F00001 General Fund loan per Item 0855-011-0001, Budget Act of 2001 ..	—	2,533	—
Totals, Transfers from Other Funds	\$8,790	\$11,000	—
Transfers to Other Funds:			
T00001 General Fund loan repayment per Chapter 23, Statutes of 2001 ...	—	—	—1,131
T00001 General Fund loan repayment per Item 0855-011-0001, Budget Act of 2001	—	—	—2,533

* Dollars in thousands, except in Salary Range.

0855 CALIFORNIA GAMBLING CONTROL COMMISSION—Continued

		2000-01*	2001-02*	2002-03*
T00001	General Fund loan repayment per Item 0820-011-0001, Budget Act of 2000	—	—	-\$15,468
T00001	General Fund loan repayment per Item 8380-011-0001, Budget Act of 2000	—	—	-400
	Totals, Transfers to Other Funds	—	—	-\$19,532
	Totals, Revenues and Transfers	\$8,790	\$11,000	\$18,468
	Totals, Resources	\$8,790	\$11,020	\$18,488
EXPENDITURES				
Disbursements:				
0820	Department of Justice (State Operations)	7,659	8,067	9,809
0855	California Gambling Control Commission (State Operations)	1,111	2,533	2,941
8380	Department of Personnel Administration (State Operations)	—	400	—
9900	Statewide General Administrative (Pro Rata) (State Operations)	—	—	13
	Totals, Disbursements	\$8,770	\$11,000	\$12,763
FUND BALANCE				
		\$20	\$20	\$5,725
0567 Gambling Control Fund ^s				
BEGINNING BALANCE				
	Prior year adjustments	\$5,776	\$4,896	\$2,608
		191	—	—
	Balance, Adjusted	\$5,967	\$4,896	\$2,608
REVENUES AND TRANSFERS				
Revenues:				
125700	Other regulatory licenses and permits	189	75	75
125800	Renewal fees	3,597	4,237	4,237
142500	Miscellaneous services to the public	601	278	278
150300	Income from surplus money investments	63	21	21
	Totals, Revenues	\$4,450	\$4,611	\$4,611
	Totals, Revenues and Transfers	\$4,450	\$4,611	\$4,611
	Totals, Resources	\$10,417	\$9,507	\$7,219
EXPENDITURES				
Disbursements:				
0820	Department of Justice (State Operations)	4,728	5,418	5,178
0855	California Gambling Control Commission (State Operations)	793	1,481	2,017
	Totals, Expenditures	\$5,521	\$6,899	\$7,195
FUND BALANCE				
	Reserve for economic uncertainties	\$4,896	\$2,608	\$24
		4,896	2,608	24

CHANGES IN**AUTHORIZED POSITIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	4.4	40.0	40.0	\$450	\$2,342	\$2,392
Proposed New Positions:						
Legal Division:						
Staff Counsel III-Spec	—	—	1.0	Salary Range 6,573-8,111	—	87
Staff Counsel ¹	—	—	1.0	5,703-7,034	—	76
Legal Secty	—	—	1.0	2,704-3,450	—	32
Total Adjustments	—	—	3.0	—	—	\$195
TOTALS, SALARIES AND WAGES	4.4	40.0	43.0	\$450	\$2,342	\$2,587

¹ 1.0 limited-term position to 6/30/05.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION

The mission of the State Board of Equalization is to serve the public through fair, effective, and efficient tax administration. The State Board of Equalization collects a wide range of taxes and fees for support of State and local government services. Included are: Sales and Use Taxes (State, Local, and Transit District); Motor Vehicle Fuel License (Gasoline) Tax; Diesel and Use Fuel Tax; Alcoholic Beverage Tax; Cigarette Tax; Cigarette and Tobacco Products Surtax; Insurance Tax; Energy Resources Surcharge; Emergency Telephone Users Surcharge; Hazardous Substances Tax; Integrated Waste Management Fee; Underground Storage Tank Fee; Oil Spill Prevention Fee; Occupational Lead Poisoning Prevention Fee; Childhood Lead Poisoning Prevention Fee; Tire Recycling Fee; Private Railroad Car Tax; Timber Yield Tax; and Natural Gas Surcharge Tax. The Board also administers utility assessments for local property tax purposes, and provides guidance to local government in the administration of property tax.

The Board is composed of five members (constitutional officers) and was established by the State Constitution. Four of the five members are elected specifically to represent equalization districts, and the State Controller serves as an ex officio, voting member.

The Board operates in 28 locations throughout California (as well as offices in New York, Chicago, and Houston) and administers audit and collection programs generating tax revenues in excess of \$44.6 billion annually.

The Board also adopts rules and regulations for the administration of the business taxes programs and to guide county assessors, boards of supervisors, and local assessment appeals boards in valuing property as part of the property tax program.

As an appellate body, the Board adjudicates appeals from taxpayers on business taxes audit findings, county officials on intra-county and inter-county property tax assessments, public utilities on assessments of utility properties, and assessees on privately owned railroad cars. In addition, the Board serves as the appellate body for contested Franchise Tax Board decisions under the Personal Income Tax laws, Bank and Corporation Tax laws, Senior Citizens Property Tax relief laws, and appeals of rulings by the Insurance Commissioner.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
15 County Assessment Standards						
Program	87.2	90.5	85.8	\$7,597	\$8,130	\$7,843
20 State-Assessed Property Program	78.1	80.7	78.8	6,038	6,694	6,616
25 Timber Tax Program	30.4	32.5	30.2	2,666	2,816	2,593
30 Sales and Use Tax Program	3,174.0	3,200.0	3,036.3	246,719	259,368	252,400
35 Hazardous Substances Tax Program	52.0	51.2	51.2	3,193	3,313	3,327
40 Alcoholic Beverage Tax Program	23.6	25.6	23.7	1,662	2,058	1,981
41 Tire Recycling Fee Program	11.2	11.1	11.1	706	830	831
45 Cigarette and Tobacco Products Tax						
Program	65.7	71.5	68.7	6,937	7,772	7,631
50 Motor Vehicle Fuel License Tax						
Program	25.5	28.9	35.0	1,926	3,956	4,108
55 Diesel and Use Fuel Tax Program	151.1	158.4	158.4	13,574	16,751	17,148
56 Occupational Lead Poisoning						
Prevention Fee Program	8.8	7.1	7.1	570	599	592
57 Integrated Waste Management						
Program	2.9	3.7	3.7	276	392	392
58 Underground Storage Tank Fee						
Program	21.4	22.4	22.0	1,653	1,912	1,986
59 Oil Spill Prevention Program	2.6	2.4	2.4	226	266	267
60 Energy Resources Surcharge						
Program	2.1	2.6	2.6	176	227	239
62 Childhood Lead Poisoning Prevention						
Fee Program	6.4	5.8	4.7	497	532	432
63 Ballast Water Management Fee						
Program	4.9	4.7	4.7	348	396	396
65 Emergency Telephone Users Surcharge						
Program	7.0	9.0	9.0	584	754	755
70 Insurance Tax Program	3.9	3.8	3.8	349	400	404
75 Natural Gas Surcharge Program	-	-	-	-	33	31
80 Appeals from Other Governmental						
Programs	18.8	18.7	18.7	1,715	1,459	1,458
85.01 Administration	350.3	350.3	350.3	28,742	27,769	27,769
85.02 Distributed Administration	-350.3	-350.3	-350.3	-28,601	-27,521	-27,521
TOTALS, PROGRAMS	3,777.6	3,830.6	3,657.9	\$297,553	\$318,906	\$311,678
0001 General Fund				184,180	199,290	193,307
0004 Breast Cancer Fund				106	124	124
0022 State Emergency Telephone Number Account				584	754	755
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				14,783	19,978	20,439
0070 Occupational Lead Poisoning Prevention Account				570	599	592
0080 Childhood Lead Poisoning Prevention Fund				497	532	432
0230 Cigarette and Tobacco Products Surtax Fund				1,337	1,892	1,901
0320 Oil Spill Prevention and Administration Fund				226	266	267
0387 Integrated Waste Management Account, Integrated Waste Management						
Fund				276	392	392
0439 Underground Storage Tank Cleanup Fund				1,653	1,912	1,986
0465 Energy Resources Programs Account				176	227	239
0623 California Children and Families First Trust Fund				887	1,674	1,670
0890 Federal Trust Fund				32	103	103

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

	2000-01*	2001-02*	2002-03*
0965 Timber Tax Fund	\$2,666	\$2,816	\$2,593
0995 Reimbursements	89,580	88,314	86,847
3015 Gas Consumption Surcharge Fund	—	33	31

15 COUNTY ASSESSMENT STANDARDS PROGRAM**Program Objectives Statement**

This program carries out the Board's constitutional and statutory responsibility of ensuring that taxable properties are enrolled and that all properties are assessed by the 58 county assessors in full conformity with the law, assuring a complete and equitable tax base that is fair to taxpayers and supports both local agencies and the State's General Fund. The Board is also charged with providing consultation and services to county assessors, their staff, and others involved with the assessing function to aid them in distributing property tax assessments legally and equitably among property owners. The County Assessment Standards Program establishes standards, provides training for assessors and assessment appeals boards, and evaluates the effectiveness of each county assessor's administration of the assessment function and the degree to which the practices of the assessors' offices conform to existing law and policies.

Major Budget Adjustment Proposed for 2002-03

- A reduction of \$276,000 General Fund and 4.7 personnel years (PYs) for the County Assessment Standards Program.

Authority

Constitution—Article XIII; Government Code sections 15606, 15608, 15611, 15612, 15624, and 15640-15646; Revenue and Taxation Code sections 63.1, 64, 69.5, 75.60, 169, 218.5, 251, 370, 371, 401.5, 407, 452, 480, 480.1, 482, 601, 602, 670-673, 1153, 1254, 1603, 5364, 5781, and 5840; California Administrative Code—Rules 101, 171, 202, 252, 282-283, 1045, and 1051.

Program Element Statements**15.10 County Surveys**

California taxpayers will pay an estimated \$26.7 billion in property taxes during 2001-02 to support various local governmental agencies. Approximately 96 percent of these taxes will result from valuations based on ad valorem assessments made by the 58 county assessors. Almost 48 percent of these revenues go to local agencies other than schools. Schools receive approximately one-half of the property tax revenues. Since the State is ultimately responsible for providing a specified level of funding for schools, any shortfalls in property tax revenue must be met by the State's General Fund. Because of the importance of this revenue source to the State, schools, and local government, it is necessary that a central agency provide direction, supervision, and review of local assessment practices (administrative oversight) to assure equitable treatment of all property taxpayers both within and between counties.

To accomplish these objectives, "assessment practices" and "special topics" surveys are conducted to ascertain the specific assessment practices of the 58 county assessors. The assessment practices surveys are performed over a five-year cycle and include a comprehensive audit of the assessor's operation, resulting in a report that includes recommendations and suggestions for improvement. For the 11 largest counties and 15 other counties selected either at random or for cause during the cycle, a survey also includes a random selection of a representative sampling of the local assessment roll, the appraisal by staff appraisers of the sampled assessments, and an in-depth analysis of the differences between the staff appraisals and the enrolled assessments. The survey also includes a comprehensive review of the assessment system emphasizing the principal causes for significant differences and contains recommendations for improving the systems and resulting assessments. The special topics surveys provide an in-depth evaluation of the problems associated with current assessment issues that have a statewide impact.

15.20 Technical Advisory Services

This program carries out the Board's constitutional and legislative duties to advise and assist the 58 county assessors in compiling and standardizing (statewide) the assessment rolls of all taxable properties within their counties. The Board relies on five primary functions to fulfill this responsibility: 1) Provides technical advice on real, personal, and specialty property appraisal problems. 2) Publishes "Letters To Assessors" and prepares and revises "Assessors' Handbooks". 3) Annually prescribes the format of most forms used by the assessors, including all those requiring taxpayer entries. 4) Formulates rules that are binding on assessors and have the full force and effect of law. 5) Certifies appraisers, monitors completion of their mandatory annual training, and provides training to appraisers and assessment appeals board members.

15.30 Technical Services

The Board has three primary areas of responsibility in providing assistance to counties in administering the property taxes. 1) The Board and the counties jointly administer the welfare exemption which removes millions of dollars from the tax base of local government and schools. The assessor receives and reviews the original claim, inspects the property, and forwards the claim to the Board together with a recommendation on the applicability of the exemption. Board staff reviews the case and recommends approval or disapproval. Disapprovals are subject to an appeal to the Board. The matter is returned to the county where the assessor can act only on applications found qualifying by the Board. 2) Current law prescribes that a "change in control" of a legal entity (i.e., a corporation or partnership) is equivalent to a "change in ownership" requiring a reappraisal of the real property. Changes in control of legal entities are difficult for the assessor to find, whereas "changes in ownership" are relatively easy to locate due to the recording of ownership documents. The Board, by researching financial publications and inserting questions on the state corporate and partnership tax returns, can detect most of these transactions and advise all counties where affected real property is located. 3) Numerous exclusions from the change in ownership reappraisals have been adopted by the voters for property replacing properties taken by governmental agencies, senior citizens and disabled persons selling their residence and purchasing a replacement residence, and certain transfers among family members. Each of these exclusions must be tracked to avoid duplicate applications and to conform with the law. The Board provides a "clearinghouse" for all such claims, assuring no duplication.

20 STATE-ASSESSED PROPERTY PROGRAM**Program Objectives Statement**

The State Constitution mandates that the Board annually value and assess intercounty pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Once market values are derived for these state assessees, those values must be allocated on a county-by-county basis among the agencies of local government in which properties are located. After the values are allocated, taxes are levied and collected for use by the local agencies. The Board collects the Private Railroad Car Tax which is deposited in the State's General Fund.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued**Major Budget Adjustment Proposed for 2002–03**

- A reduction of \$68,000 General Fund and 1.9 PYs for the State-Assessed Property Program.

Authority

Constitution—Article 13, Section 19; Revenue and Taxation Code—Division 1, Part 2; Division 2, Part 6.

Program Element Statements**20.10 Assessment of Public Utilities**

State assesses annually file property statements with the Board listing all properties by situs, together with associated costs, and provide fiscal and financial information on their operations. These and other economic data are used by staff to develop “indicators of value” which are used by the Board to determine the annual market value of the assessable property of each state assessee. Staff audits the property statements filed annually by state assesses. The Board also relies on property statement information to allocate structures, other improvements, and personal property to the appropriate counties. The Board performs periodic field appraisals of assesses’ properties to allocate land values.

All property contained on the assessment rolls must be identified to the combination of taxing jurisdictions serving that property. These taxing districts are overlapping and their boundaries are not coterminous with one another. Clusters of properties all served by the same taxing jurisdictions and having the same distribution of property tax revenues constitute a unique “tax rate area.” The Board is charged with maintaining statewide maps delineating each taxing jurisdiction. The resulting 59,000 tax rate areas are used by the county assessors in preparing the local assessment roll and state assesses in reporting their nonunitary property holdings.

20.20 Private Railroad Car Tax

The taxable value of private railroad cars is determined by the Board from cost information required of private railroad car owners on property statements and car movement information required of railroads. Information is processed using a statutory valuation formula employing the number of cars in the state and taxable value for each class of car. Assessments are prepared, tax bills are issued, and petitions for reassessment are received and processed. The revenue is deposited into the General Fund.

25 TIMBER TAX PROGRAM**Program Objectives Statement**

The Timber Tax Section administers the Timber Yield Tax by collecting revenue for the Timber Tax Fund, establishing timber value areas and the immediate harvest values for species of timber within those areas used to determine tax liability, developing a standard method of timber measurement and conversion factors where the standard cannot be used, controlling and auditing the reporting and self-assessment of the yield tax liability, and providing the data base for allocating the Timber Tax Fund to the county of harvest.

Authority

Government Code Chapter 6.7 of Part 1 of Division 1 of Title 5; Revenue and Taxation Code Article 1.7 of Chapter 3 of Part 2 of Division 1 and Part 18.5 of Division 2.

Program Element Statements**25.10 Timber Valuation**

Forest property appraisers evaluate data from sales of private timber and timber on public lands and harvests on operator-owned land for purposes of establishing a data base to be used in developing immediate harvest value schedules and evaluating future harvest report filings of taxpayers. Value schedules adopted by the Board are used by taxpayers to determine their tax liability. Field inspections provide data to evaluate both the harvest reports and tax returns at the time of an audit.

25.20 Taxpayer Registration, Return Processing, and Collection

When a taxpayer files either a harvest plan with the California Department of Forestry and Fire Protection or acquires the right to harvest timber on government land, the taxpayer is required to register with the Board unless already registered. Once identified, the taxpayer is provided with instructions on how to file tax returns and harvest reports, and delinquency controls are established on anticipated tax returns. Tax returns and harvest reports are filed along with the tax due, permitting the identification of delinquent accounts and establishing a data base for future audits where necessary.

25.30 Auditing

Taxpayers are required to report and self-declare tax liability by species, size, logging system, and location. Misreporting of the volume harvested or misclassification by species or log size category significantly alters the tax liability. Further, rather than being reported, some harvests are disclosed by a reconciliation of log deliveries at the mills. The auditors, in conjunction with the forest property appraisers, evaluate the accuracy of the self-reporting and investigate any differences.

30 SALES AND USE TAX PROGRAM**Program Objectives Statement**

This program ensures that all sales and use tax revenues are collected in an equitable and effective manner through timely reporting of tax liability, detecting and correcting errors in self-assessments, and promptly collecting amounts determined to be due and economically recoverable.

The Board administers the Sales and Use Tax Law, the Bradley-Burns Uniform Local Sales and Use Tax Law, and transactions (sales) and use tax ordinances for the Bay Area Rapid Transit District, the Santa Clara County Transit District, the Santa Cruz Metropolitan Transit District, the Los Angeles County Transportation Commission, the San Mateo County Transit District, the Alameda County Transportation Authority, the Fresno County Transportation Authority, the San Diego Regional Transportation Commission, the Inyo County Rural Counties Transaction Tax, the San Mateo County Transportation Authority, the Sacramento Transportation Authority, the Contra Costa Transportation Authority, the Riverside County Transportation Commission, the San Francisco County Transportation Authority, the Imperial County Local Transportation Authority, Calexico Heffernan Memorial Hospital District, the Santa Barbara County Local Transportation Authority, the San Bernardino County Transportation Authority, the Madera County Transportation Authority, the Orange County Local Transportation Authority, the San Joaquin County Transportation Authority, the Sonoma County Open Space Authority, the San Francisco County Public Finance Authority, the City of Clearlake Public Safety Transactions and Use Tax, the Stanislaus County Library Transactions and Use Tax, the Santa Clara County Transactions and Use Tax, the Santa

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Cruz County Public Library Transactions and Use Tax, the Napa County Flood Protection Authority, the Solano County Public Library Transaction and Use Tax, the Town of Truckee Road Maintenance District, the Nevada County Public Library Transaction and Use Tax, Fresno County Public Library Transactions and Use Tax, City of Placerville Public Safety Transactions and Use Tax, City of Clovis Public Safety Transactions and Use Tax, Mariposa County Healthcare Authority, City of Woodland General Revenue Transactions and Use Tax, and City of Avalon Municipal Hospital and Clinic Tax.

Major Budget Adjustment Proposed for 2001–02

- A reduction of \$784,000 (\$627,000 General Fund, \$157,000 Reimbursements) and 9.9 PYs from the Sales and Use Tax Program, Investigations Division. The 9.9 PYs are proposed to be redirected to the Cigarette and Tobacco Products Tax Program to address the change in the Investigations Division workload without increasing the Division's expenditure authority during 2001–02. The corresponding adjustment is made to Program 45.

Major Budget Adjustments Proposed for 2002–03

- A reduction of \$6,990,000 (\$5,591,000 General Fund, \$1,399,000 Reimbursements) and 127.4 PYs from the Sales and Use Tax Program. Of this amount, \$834,000 (\$667,000 General Fund, \$167,000 Reimbursements) and \$290,000 from the Motor Vehicle Fuel Account is proposed to be redirected to accommodate rental rate increase and replacement of the unreliable and antiquated data capturing system currently being used by the Board of Equalization for tax return processing. The corresponding adjustment is made to Program 50.
- A reduction of \$784,000 (\$627,000 General Fund, \$157,000 Reimbursements) and 9.9 PYs from the Sales and Use Tax Program, Investigations Division. The 9.9 PYs are proposed to be redirected to the Cigarette and Tobacco Products Tax Program to address the change in the Investigations Division workload without increasing the Division's expenditure authority during 2001–02. The corresponding adjustment is made to Program 45.

Authority

Revenue and Taxation Code, Division 2, Parts 1, 1.5 and 1.6.

Program Element Statements**30.10 Registration of Taxpayers**

Registration enables the Board to furnish sellers and consumers with proper tax forms and instructions for the reporting and allocation of sales and use tax.

30.20 Processing Tax Returns

The returns filed are processed through the Cashier Unit, the Return Analysis Section, and the Local Revenue Allocation Section for deposit of revenue, accuracy, and fiscal accounting purposes. This accounting includes the proper distribution of local and district taxes and whether the taxpayer filed a return. Taxpayers who fail to file returns are sent a notice of delinquency. After a reasonable period of time, taxpayers whose accounts continue to be delinquent are cited to appear at the nearest Board office to show cause why their permit(s) should not be revoked.

Returns are reviewed for mathematical accuracy, proper preparation, and reporting of tax according to law. Billings and refunds are prepared to notify taxpayers of errors in self-declared tax. Additionally, taxpayers may be contacted to explain deductions.

The BOE is pursuing automating its current labor-intensive, sequential return processing system. The Board's intent is to implement technology that will improve the current incoming mail, cashiering, data capture, workflow, storage and retrieval, and tracking functions. The Return Processing Automation project will allow for simultaneous processing of return forms thereby increasing productivity and enhancing the service provided to taxpayers. In an effort to successfully implement this project, the BOE will require additional resources. In the Spring of 2001, the Administration expects to consider the resources necessary to ensure that this project proceeds effectively and efficiently.

30.30 Auditing Accounts

This activity is statistically designed to cover the tax base in a manner that will result in audits of accounts most likely to make substantial errors in reporting. A major objective of the audit selection system, which is based on the principle of marginal analysis, is to audit accounts that will produce tax deficiencies in excess of the cost of auditing. Tax deficiency is the difference between self-assessed taxes and the final determination of taxes due after auditing.

30.40 Collecting Taxes Receivable

Field offices are primarily responsible for the collection of delinquent amounts. The effort expended on each account is consistent with the amount due. Although efforts are made to accomplish collection through use of the telephone or by correspondence, field calls and the utilization of statutory remedies may be necessary to collect larger amounts when other efforts have not been productive.

In 1996–97, the Board implemented the Automated Compliance Management System (ACMS) as part of its collection program. The ACMS automates many tasks that would otherwise be done manually; expedites the issuance of liens, levies, and warrants; and provides for on-line tracking and management of collection cases.

35 HAZARDOUS SUBSTANCES TAX PROGRAM**Program Objectives Statement**

This program provides revenues for the Hazardous Waste Control Account and the Toxics Substances Control Account in the General Fund. The revenue is derived by collecting fees from generators of hazardous wastes, from hazardous waste facilities, and from certain corporations as identified by statute. Fees are collected by the Board for the Department of Toxic Substances Control (DTSC).

Hazardous waste "generators" and "facilities" are subject to either: 1) a generator fee paid annually from persons generating more than five tons of hazardous waste during the calendar year; or, 2) a facility fee paid annually from operators of certain hazardous waste facilities. Persons who generate hazardous wastes for shipment to an in-state facility for disposal, or who dispose of it on-site, are subject to a disposal fee. In addition to these fees, corporations with certain standard industrial codes (SIC) may owe an environmental fee based upon the number of employees. Fees are also collected from persons who receive certain services from DTSC (activity fee) or from persons operating under a permit-by-rule who owe an annual tiered permitting fee.

The objectives of the program are to ensure that appropriate returns and reports are filed, prescribed fees are paid, and taxes are assessed and collected in an equitable and effective manner.

Administration includes registering persons required to pay fees; processing tax returns and reports; collecting fees and taxes due; auditing accounts; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

0860 STATE BOARD OF EQUALIZATION—Continued**Authority**

Sections 25174, 25174.1, 25174.2, 25205.2, 25205.4, 25205.5, 25205.6, 25205.7, 25205.8, 25205.14, 25205.21, 25205.22, 25221 and 25343 of the Health and Safety Code, Part 22 of Division 2 of the Revenue and Taxation Code.

40 ALCOHOLIC BEVERAGE TAX PROGRAM**Program Objectives Statement**

This program ensures that all alcoholic beverage tax revenues are collected equitably and efficiently through timely reporting of tax liability, detecting and correcting errors in reporting, and promptly collecting amounts determined to be due and economically recoverable.

Self-assessed State excise taxes imposed on the manufacturing, importation, and sale of alcoholic beverages in this state are administered by the Board.

Major Budget Adjustment Proposed for 2002–03

- A reduction of \$101,000 General Fund and 1.9 PYs for the Alcoholic Beverage Tax Program.

Authority

Revenue and Taxation Code—Part 14, Division 2.

Program Element Statements**40.10 Registration of Taxpayers**

Persons subject to alcoholic beverage taxes are identified through licenses issued by the Department of Alcoholic Beverage Control. The Board registers them as taxpayers and furnishes tax returns for the reporting of tax. Accounts are regularly reviewed to reassess security requirements. Nontaxpaying common carriers, customs brokers, and out-of-state beer vendors are also registered and supplied with forms necessary to report information concerning the shipments of alcoholic beverages into California.

40.20 Processing Tax Returns and Reports

Alcoholic beverage tax returns are filed directly with the Board's headquarters office. The returns are processed through the mail processing, cashier, and technology services units for deposit of funds and fiscal accounting purposes. The Excise Taxes Division then verifies reported tax computations, notifies taxpayers of apparent discrepancies on returns, and reviews the returns for completeness and proper application of the law.

40.30 Auditing Accounts

Informational reports are received from common carriers, customs brokers, out-of-state beer vendors, and other states. Specific procedures are performed using the data contained in these informational reports, which include data entry, analysis, matching, and verification. Based on the results of the procedures performed, the Excise Taxes Division is able to effectively select for field auditing those accounts which appear to have understated the tax. The audits deter tax evasion and promote accurate reporting of self-declared tax.

40.40 Collecting Taxes Receivable

The Excise Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when necessary to locate assets which may be levied upon or which are subject to lien.

41 TIRE RECYCLING FEE PROGRAM**Program Objectives Statement**

This program is needed to provide revenues for the California Tire Recycling Account in the California Tire Recycling Management Fund. The program objective is to ensure that all tire recycling fee revenues are collected in an equitable and effective manner through timely reporting of liabilities, detecting and correcting errors in feepayer self-assessments and promptly collecting amounts determined to be due and economically recoverable.

The fee is collected by sellers of tires identified and registered by the Board. The fee provides funding for a tire recycling program which will reduce landfill disposal and stockpiling of used tires by recycling and reclaiming used tires and used tire components to the greatest extent possible. Effective January 1, 2001, the provisions of Chapter 838, Statutes of 2000, (SB 876) increased the tire recycling fee from \$0.25 to \$1.00 per tire. Administration of this fee is performed by the Excise Taxes Division and includes: identifying and registering sellers of tires required to pay the fees; maintaining current registration information for each feepayer; printing, mailing and receiving returns; depositing fees; examining returns; following up on problem or unfiled returns; storing returns; providing periodical reports to the California Integrated Waste Management Board; auditing accounts; collecting fees receivable; resolving petitions for redetermination; and advising interested persons about the law.

Authority

Public Resources Code—Sections 42860 through 42895.

45 CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM**Program Objectives Statement**

This program provides revenue for the Cigarette Tax Fund, Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and through the passage of Proposition 10, the California Children and Families First Trust Fund. The program objective is to ensure that all cigarette and tobacco products tax revenues are collected equitably and effectively by effecting timely reporting and payment of tax liabilities, detecting and correcting errors in reporting, and promptly collecting amounts determined to be due and economically recoverable.

The cigarette tax is imposed upon distributors of cigarettes at the rate of 4.35 cents per cigarette (87 cents per standard package of 20) and upon consumers who acquire untaxed cigarettes which are not otherwise exempt from tax. For the most part, the tax is paid by distributors through the purchase of stamps from commercial banks which contract with the state for the issuance of stamps. Cigarette distributors are allowed a discount of .85 percent on purchases of cigarette tax stamps. The tobacco products tax is imposed upon distributors on the wholesale cost of tobacco products at a rate equivalent to the combined rate of tax imposed on cigarettes. The various rates (moist snuff, dry snuff, chewing tobacco, and all other tobacco products) are determined annually by the Board.

0860 STATE BOARD OF EQUALIZATION—Continued**Major Budget Adjustment Proposed for 2001–02**

- An augmentation of \$1,074,000 (\$720,000 California Children and Families First Trust Fund, \$354,000 Cigarette and Tobacco Products Surtax Fund) and a total of 13.5 PYs redirected from Program 30 and Program 50 is proposed to address the change in the Investigations Division workload without increasing the Division's expenditure authority. The corresponding adjustments are made to Program 30 and Program 50.

Major Budget Adjustments Proposed for 2002–03

- A reduction of \$179,000 General Fund and 3.0 PYs for the Cigarette and Tobacco Products Tax Program.
- An augmentation of \$1,074,000 (\$720,000 California Children and Families First Trust Fund, \$354,000 Cigarette and Tobacco Products Surtax Fund) and a total of 13.5 PYs redirected from Program 30 and Program 50 is proposed to address the change in the Investigations Division workload without increasing the Division's expenditure authority. The corresponding adjustments are made to Program 30 and Program 50.
- An augmentation of \$695,000 (California Children and Families First Trust Fund) to continue 9.5 PYs on a three-year, limited-term basis to continue the ongoing administrative workload associated with Proposition 10 (California Children and Families First Act of 1998).

Authority

Revenue and Taxation Code—Part 13, Division 2.

Program Element Statements**45.10 Registration of Taxpayers**

Every person desiring to engage in the sale of cigarettes or tobacco products as a distributor or as a cigarette wholesaler must obtain a license from the Excise Taxes Division for each location at which he or she engages in such business. This enables the Board to furnish proper forms for the reporting, processing, allocation, and administration of taxes. Necessary security is obtained from licensed distributors to assure payment of the tax.

45.20 Processing Tax Returns and Reports

Forms and instructions are mailed to licensed distributors and wholesalers to enable them to file monthly reports to account for their purchases and distributions of tobacco products, cigarettes, and tax stamps; to enable cigarette manufacturers (who are all licensed distributors) to report tax on sample cigarettes distributed in California; and to enable tobacco products distributors to report tax on their distribution of tobacco products. Reports relating to the acquisition and sale of tax stamps are received from banks. Information reports are received from manufacturers and common carriers. These reports are processed by the Excise Taxes Division to account for tax payments, verify mathematical accuracy, assure conformity with the law, and select accounts for audit. When a timely report is not filed, the taxpayer is notified. If the delinquency is not cleared, revocation proceedings are instituted.

45.30 Auditing Accounts

Field auditing is essential to assure uniform application of the law, deter tax evasion and carelessness in self-reporting, and identify and correct errors in self-reporting. Reconciliations are made in the Excise Taxes Division using data reported by manufacturers, distributors, wholesalers, banks, and others. In most cases, immediate corrective action is taken when underreported tax is indicated. Field audit activities are designed to resolve more difficult discrepancies and to identify tobacco products and cigarettes received from illegal sources. These audits deter tax evasion and promote accurate reporting of self-declared tax.

45.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through tax stamp counterfeiting, bootlegging, tax underreporting, smuggling and illegal sales of cigarettes and tobacco products.

Field inspections are made of distributors' stamping machines to see that indicia is properly affixed, clear, and legible. Inspections also are made of cigarette stocks in retail stores and in vending machines to assure that all packages are properly stamped. Additionally, spot inspections of transit vehicles, vessels and aircraft are made to help deter illegal transportation of untaxed cigarettes and tobacco products for sale in the state.

45.50 Collecting Taxes Receivable

The Excise Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when necessary to locate assets which may be levied upon or which are subject to lien. The staff prepares liens, issues sheriffs' warrants and maintains the taxes receivable ledger.

50 MOTOR VEHICLE FUEL LICENSE TAX PROGRAM**Program Objectives Statement**

This program provides revenue for the Transportation Tax Fund, Aeronautics Fund, Harbor and Watercraft Revolving Fund, Off-Highway Vehicle Fund, and Department of Agriculture Fund. The objective is to ensure that the Motor Vehicle Fuel License Tax is administered equitably and effectively through timely reporting of tax liability, and detecting and correcting errors in taxpayers' self-assessments.

Through December 31, 2001, the gasoline tax is imposed on distributors and brokers based on their distributions of motor vehicle fuel. The gasoline tax rate is 18 cents per gallon. A 2-cent per gallon tax is imposed on sales of aircraft jet fuel by dealers to certain users. The State Controller shares responsibility with the State Board of Equalization for the administration of this tax by collecting delinquent tax and making refunds to consumers who use tax paid gasoline in an exempt manner subject to refund.

Effective January 1, 2002, the collection point of the gasoline tax will be transferred from the distributors and brokers distribution of motor vehicle fuel to the supplier's removal of the fuel from the terminal rack. This is being done to conform the tax imposition to federal law and to create more uniform reporting requirements for taxpayers, thus simplifying their reporting burden.

Major Budget Adjustment Proposed for 2001–02

- A reduction of \$290,000 Motor Vehicle Fuel Account and 3.6 PYs from the Motor Vehicle Fuel License Tax Program, Investigations Division. The 3.6 PYs are proposed to be redirected to the Cigarette and Tobacco Products Tax Program to address the change in the Investigations Division workload without increasing the Division's expenditure authority. The corresponding adjustment is made to Program 45.

0860 STATE BOARD OF EQUALIZATION—Continued**Major Budget Adjustment Proposed for 2002–03**

- A reduction of \$290,000 Motor Vehicle Fuel Account and 3.6 PYs from the Motor Vehicle Fuel License Tax Program, Investigations Division. The funds are proposed to be redirected towards rental rate increases. The 3.6 PYs are proposed to be redirected to the Cigarette and Tobacco Products Tax Program to address the change in the Investigations Division workload without increasing the Division's expenditure authority. The corresponding adjustments are made to Program 30 and Program 45.

Authority

Revenue and Taxation Code—Part 2, Division 2.

Program Element Statements**50.10 Registration of Taxpayers**

Persons subject to the motor vehicle fuel license tax are registered to enable the Board to furnish proper forms for the reporting, processing, and administration of the tax. Necessary security, within the limits allowed by law, is obtained to assure payment of the tax.

50.20 Processing Tax Returns

Returns are processed through the cashier and the Fuel Taxes Division for proper deposit of funds and fiscal accounting purposes. Taxpayers failing to file returns are identified and notices are sent to them.

50.30 Auditing Accounts

Accounts to be audited are selected through a process which assures optimum use of field audit time to detect misplaced tax, and deter tax evasion. Selection of accounts for audit places emphasis on those accounts which are expected to produce a deficiency tax change greater than the cost of auditing. These audits protect the tax base and result in a sustained high level of self-declared tax.

50.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through illegal distribution of untaxed fuel. Field inspections are performed at places where motor vehicle fuel is produced or stored, or at any inspection site where evidence of activities involving evasion may be discovered.

55 DIESEL AND USE FUEL TAX PROGRAM**Program Objectives Statement**

This program provides revenue for the Transportation Tax Fund. The program objective is to ensure that all revenues are collected in an equitable and effective manner by effecting timely reporting of tax liability, detecting and correcting errors in taxpayer self-assessment, and promptly collecting amounts determined to be due and economically recoverable.

The collection point of the excise tax on diesel fuel is the first removal from the terminal rack. The diesel fuel tax rate is 18 cents per gallon. The use fuel tax rate varies by fuel type. The imposition of the excise tax on the remaining use fuels is upon the user or vendor of the fuel.

Authority

Revenue and Taxation Code—Parts 3 and 31 of Division 2.

Program Element Statements**55.10 Registration of Taxpayers**

Registration of suppliers, distributors, vendors and users allows the Board to furnish them with proper tax forms and instructions for the purpose of reporting and processing of these taxes, and claiming allowable refunds. Necessary security, within the limits allowed by law, is obtained to assure payment of the taxes. The Board establishes an account for each new taxpayer, maintains the account on a current basis, and closes the account when the activity terminates.

55.20 Processing Tax Returns

Returns are processed through the mail, cashier, and technology services sections for deposit of revenue receipts and fiscal accounting purposes. The Board establishes delinquencies for taxpayers failing to file returns and sends notices to them. In addition, returns are reviewed for mathematical accuracy, proper preparation and application of the law. Returns claiming refunds of tax paid on fuel used in an exempt manner are reviewed for compliance with applicable laws and rules, prior to refunds being processed.

55.30 Auditing Accounts

This activity assures uniform application of the tax by detecting and correcting errors in reporting, and deterring tax evasion. In selecting accounts for audit, the Board places emphasis on those expected to produce a deficiency tax greater than the cost of auditing. These audits protect the tax base, and result in a high level of self-declared tax.

55.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through illegal distribution of untaxed fuel. Field inspections are performed at places where diesel fuel is produced or stored, or at any inspection site where evidence of activities involving evasion may be discovered. Additionally, spot inspections at designated inspection sites along state highways to physically inspect, examine or otherwise search any tank or container used in the transportation of diesel fuel.

55.50 Collecting Taxes Receivable

The Fuel Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when it is necessary to locate assets which may be levied upon, or which are subject to a lien. The staff prepares liens, issues sheriffs' warrants and maintains the taxes receivable ledger.

0860 STATE BOARD OF EQUALIZATION—Continued**56 OCCUPATIONAL LEAD POISONING PREVENTION FEE****Program Objectives Statement**

This program provides revenue for the Occupational Lead Poisoning Prevention Account in the General Fund. Fees are collected from all employers in specified standard industrial classification (SIC) codes who employ ten or more employees. The identified SIC codes are those in which the Department of Health Services has determined that a potential for lead poisoning exists. The fee provides funding for the Occupational Lead Poisoning Prevention Program conducted by the Department of Health Services.

Administration includes registering employers required to pay the fee; processing the annual returns; collecting amounts due; auditing accounts; resolving claims for refund and petitions for redetermination; and advising interested persons regarding the law.

Authority

Health and Safety Code Sections 105175, 105185, 105190 and Part 22 of Division 2 of the Revenue and Taxation Code.

57 INTEGRATED WASTE MANAGEMENT FEE PROGRAM**Program Objectives Statement**

This program provides revenue for the Integrated Waste Management Account in the Integrated Waste Management Fund by administering the collection of a fee on all solid waste disposed at each solid waste landfill required to have a solid waste facility permit. The fee provides funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills. In addition, the fee supports state and local landfill permit enforcement programs and provides grants to local agencies to initiate and implement waste separation programs.

Administration includes registering facility operators required to pay the fees; processing quarterly reports; computing the fee liability of each operator; mailing assessment notices; collecting amounts due; auditing accounts; providing statistical analysis of reported amounts; resolving petitions for redeterminations and claims for refunds; and advising interested persons regarding the law.

Authority

Division 30, Part 1—Public Resources Code, Division 2, Part 23—Revenue and Taxation Code.

58 UNDERGROUND STORAGE TANK FEE PROGRAM**Program Objectives Statement**

This program provides revenue for the Petroleum Underground Storage Tank Financing Account in the General Fund by collecting a fee on all underground petroleum tanks. The fee provides funding to monitor and regulate underground storage tanks containing petroleum, and to protect human health and the environment. Established by statute, the fee is collected quarterly from owners of underground storage tanks containing petroleum.

Administration of this program includes registering underground tank owners, processing tax returns, collecting fees, auditing accounts, resolving petitions for redeterminations and claims for refunds, and advising fee payers regarding the law.

Authority

Health and Safety Code—Sections 25299.41 and 25299.43.

59 OIL SPILL PREVENTION PROGRAM**Program Objectives Statement**

This program provides revenue for the Oil Spill Prevention and Administration Fund and the Oil Spill Response Trust Fund. The objective is to collect two fees on all crude oil and petroleum products received in this state via marine pipelines and terminals. The prevention and administration fee provides funding in order to implement oil spill prevention programs, and to reimburse the member agencies of the State Interagency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install and maintain emergency programs, equipment, and facilities to respond to, contain and cleanup oil spills. The response fee provides funding in order to promptly cover costs of response, containment, and cleanups of oil spills into marine waters; including damage assessment costs and wildlife rehabilitation.

The Board's administration includes issuing monthly returns, receiving monthly returns, depositing payments, auditing amounts reported, resolving petitions and claims for refund, providing statistical analysis, and advising interested persons regarding the law.

Authority

Government Code—Sections 8670.40 and 8670.48.

60 ENERGY RESOURCES SURCHARGE PROGRAM**Program Objectives Statement**

This program provides revenue for the state Energy Resources Programs Account, General Fund, by administering a surcharge on the consumption of electricity.

Administration of this surcharge on consumers of electrical energy includes registering of electric utilities required to collect the surcharge from consumers; registering of consumers purchasing electrical energy from the United States; processing tax returns; auditing accounts; collecting taxes receivable; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

Authority

Part 19 of Division 2 of the Revenue and Taxation Code.

0860 STATE BOARD OF EQUALIZATION—Continued**62 CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM****Program Objectives Statement**

This program provides revenue for the Childhood Lead Poisoning Prevention Fund, by collecting fees to support the Childhood Lead Poisoning Prevention Program administered by the Department of Health Services. The fee, established by statute and implemented by regulation, is collected annually from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California.

Administration of this program includes registering fee payers, processing fee returns, collecting fees, auditing accounts, resolving petitions for redetermination and claims for refund, and advising fee payers regarding the law.

Authority

Health and Safety Code Article 4.6, Section 372, Chapter 2 of Part 1 of Division 1 and Revenue and Taxation Code Sections 43507, 43152.14, and 43554. Title 17, California Code of Regulations Sections 33001, 33010, 33020, and 33030.

63 BALLAST WATER MANAGEMENT FEE PROGRAM**Program Objectives Statement**

This program provides revenue for the Exotic Species Control Fund. The program objective is to administer the collection of a fee from owners or operators of qualifying vessels that enter California ports with ballast water loaded from outside a designated economic enterprise zone. Under the statute's provisions, the fee, established in regulations adopted by the State Lands Commission, is assessed upon the owner or operator when their vessel arrives in California. The fee provides funding to carry out the ballast water management program as described in statute.

Administration of this program includes registering vessel owners or operators, processing assessments or returns, collecting fees, auditing accounts, resolving petitions for redeterminations and claims for refunds, and advising fee payers regarding the law.

Authority

Public Resources Code—Division 36 (commencing with Section 71200).

65 EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM**Program Objectives Statement**

This program provides revenue to fund the “911” emergency telephone number system, by administering a surcharge on intra-state telephone communication services.

Administration of this surcharge includes: registering telephone service suppliers required to collect the surcharge from users; processing tax returns; auditing accounts; collecting taxes receivable; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

Authority

Part 20 of Division 2 of the Revenue and Taxation Code.

70 INSURANCE TAX PROGRAM**Program Objectives Statement**

This program assesses taxes on insurance premiums and marine profits of underwriters, and levies retaliatory assessments against “foreign” insurers.

The program is administered jointly by the Board, the Controller and the Insurance Commissioner. The Board is responsible for assessing the tax and adjudicating petitions for redetermination and claims for refund.

The Board annually assesses each insurance company based on the net premiums on California business other than ocean marine insurance, and on the average annual underwriting profit on ocean marine business. It also imposes a retaliatory tax on any out-of-state company doing business in California whenever that company's home state subjects a California company doing business in that state to a greater burden than California imposes on insurers domiciled in that state. The Board also hears taxpayer appeals and makes decisions to grant or deny petitions for redetermination and claims for refund.

Authority

The State Constitution, Article XIII, Section 28 and Part 7 of Division 2 of the Revenue and Taxation Code.

75 NATURAL GAS SURCHARGE PROGRAM**Program Objectives Statement**

Effective January 1, 2001, this program provides revenue for the Gas Consumption Surcharge Fund by administering a surcharge on the consumption of all natural gas in this state. The surcharge, established by the California Public Utility Commission, is collected by the Board of Equalization annually from public utility gas corporations and consumers who receive natural gas through interstate pipeline. The objective of this program is to collect the revenue intended to fund low-income assistance programs, cost effective energy efficiency programs, conservation activities, and public interest research and development.

Authority

Chapter 4 of Part 1 of Division 1, Article X—Public Utilities Code.

0860 STATE BOARD OF EQUALIZATION—Continued**80 APPEALS FROM OTHER GOVERNMENTAL PROGRAMS****Program Objectives Statement**

An independent administrative review is necessary when a taxpayer disagrees with actions taken by the Franchise Tax Board. Upon the filing of a taxpayer's written request, the Board of Equalization reviews assessments of franchise and income taxes and eligibility determinations made in administering the Senior Citizens Property Tax Assistance program.

The Board also acts as an impartial tribunal to settle disputes between entities of local government concerning property tax assessments of property owned by one local government entity but located outside its boundaries and within boundaries of another entity. Such property owned by a local government outside its boundaries is subject to tax by the entity within whose boundaries the property is located.

Authority

1) Administration of Income and Franchise Tax Laws: Revenue and Taxation Code Section 18401–19802. Procedural regulations regarding appeals from the Franchise Tax Board are contained within the Rules of Practice of the State Board of Equalization, California Code of Regulations, Title 18, Division 2, Chapter 10 (effective January 1, 1996); 2) Senior Citizens Homeowners and Renters Property Tax Assistance: Revenue and Taxation Code Section 20501–20646; and, 3) Publicly Owned Property: Section 11 Article XIII of the California Constitution and Section 1840 and 1841 of the Revenue and Taxation Code. Procedural Regulations are found in Title 18, California Code of Regulations at Sections 5050 through 5063, 5070 through 5075, 5076, 5076.2, and 5077 through 5087.

Program Element Statements**80.10 Franchise and Income Tax Appeals**

The Board initiates action after a taxpayer files a written appeal. The Board's legal staff frames the issues of law and fact by means of memoranda from, and stipulations by, the parties. If the taxpayer requests an oral hearing before the Board, the Board may decide the case at the conclusion of the hearing or refer the case to its legal staff for review, analysis, and preparation of a written opinion or decision which is later voted on by board members. If an oral hearing is not requested, the case is referred to the legal staff for review, analysis, and preparation of a written opinion or decision.

80.20 Senior Citizens Property Tax Assistance Appeals

A taxpayer files a written notice to initiate action with the Board of Equalization. Upon receipt of a re-review statement from the Franchise Tax Board, the Board's legal staff frames the issues of law and fact and submits them to the Board. The Board makes a determination and informs the claimant of this decision.

80.30 Equalization of Assessment of Publicly Owned Property

Action is initiated when the local public entity that owns the taxable property outside its boundaries files with the Board an application for review, equalization, and adjustment of the assessment imposed by the county in which the property is located. The assessor may file an answer. A prehearing conference is conducted by a member of the legal staff to define the issues and arrange for stipulations and exchange of exhibits. A formal hearing is conducted before the Board which renders a written decision.

85 ADMINISTRATION PROGRAM**Program Objectives Statement**

The objectives are to effectively and efficiently implement the policies and directives of the Board members, and to provide direction, leadership, planning, and support services for all Board programs.

These responsibilities are carried out by the Executive Director, deputy directors, chief counsel, and various administrative staff reporting to these positions.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****15 COUNTY ASSESSMENT STANDARDS PROGRAM**

	<i>2000–01*</i>	<i>2001–02*</i>	<i>2002–03*</i>
0001 General Fund.....	\$7,597	\$8,130	\$7,843
Totals, County Assessment Standards Program.....	\$7,597	\$8,130	\$7,843

ELEMENT REQUIREMENTS

15.10 County Surveys	3,920	4,006	3,724
0001 General Fund.....	3,920	4,006	3,724
15.20 Technical Advisory Services.....	2,374	2,913	2,909
0001 General Fund.....	2,374	2,913	2,909
15.30 Technical Services	1,303	1,211	1,210
0001 General Fund.....	1,303	1,211	1,210

PROGRAM REQUIREMENTS**20 STATE-ASSESSED PROPERTY PROGRAM**

0001 General Fund.....	\$5,846	\$6,502	\$6,424
0995 Reimbursements	192	192	192
Totals, State-Assessed Property Program.....	\$6,038	\$6,694	\$6,616

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

ELEMENT REQUIREMENTS

2000-01* 2001-02* 2002-03*

20.10	Assessment of Public Utilities.....	\$5,721	\$6,466	\$6,388
0001	General Fund.....	5,529	6,274	6,196
0995	Reimbursements	192	192	192
20.20	Private Railroad Car Tax.....	317	228	228
0001	General Fund.....	317	228	228

PROGRAM REQUIREMENTS

25 TIMBER TAX PROGRAM

0965	Timber Tax Fund	\$2,666	\$2,816	\$2,593
Totals, Timber Tax Program		\$2,666	\$2,816	\$2,593

ELEMENT REQUIREMENTS

25.10	Timber Valuation.....	678	715	658
0965	Timber Tax Fund	678	715	658
25.20	Taxpayer Registration, Return Processing and Collection	1,502	1,587	1,461
0965	Timber Tax Fund	1,502	1,587	1,461
25.30	Auditing.....	486	514	474
0965	Timber Tax Fund	486	514	474

PROGRAM REQUIREMENTS

30 SALES AND USE TAX PROGRAM

0001	General Fund.....	\$162,404	\$176,659	\$171,261
0995	Reimbursements	84,315	82,709	81,139
Totals, Sales and Use Tax Program.....		\$246,719	\$259,368	\$252,400

ELEMENT REQUIREMENTS

30.10	Registration of Taxpayers	46,150	50,445	48,131
0001	General Fund.....	30,375	36,167	34,333
0995	Reimbursements	15,775	14,278	13,798
30.20	Processing Tax Returns	65,085	66,803	66,006
0001	General Fund.....	42,837	43,710	43,209
0995	Reimbursements	22,248	23,093	22,797
30.30	Auditing Accounts	105,842	109,804	107,090
0001	General Fund.....	69,682	73,736	71,582
0995	Reimbursements	36,160	36,068	35,508
30.40	Collecting Taxes Receivable.....	29,642	32,316	31,173
0001	General Fund.....	19,510	23,046	22,137
0995	Reimbursements	10,132	9,270	9,036

PROGRAM REQUIREMENTS

35 HAZARDOUS SUBSTANCES TAX PROGRAM

0995	Reimbursements	\$3,193	\$3,313	\$3,327
Totals, Hazardous Substances Tax Program.....		\$3,193	\$3,313	\$3,327

PROGRAM REQUIREMENTS

40 ALCOHOLIC BEVERAGE TAX PROGRAM

0001	General Fund.....	\$1,662	\$2,058	\$1,981
Totals, Alcoholic Beverage Tax Program.....		\$1,662	\$2,058	\$1,981

ELEMENT REQUIREMENTS

40.10	Registration of Taxpayers	410	543	553
0001	General Fund.....	410	543	553
40.20	Processing Tax Returns and Reports.....	413	473	380
0001	General Fund.....	413	473	380
40.30	Auditing Accounts	665	745	747
0001	General Fund.....	665	745	747
40.40	Collecting Taxes Receivable.....	174	297	301
0001	General Fund.....	174	297	301

PROGRAM REQUIREMENTS

41 TIRE RECYCLING FEE PROGRAM

0995	Reimbursements	\$706	\$830	\$831
Totals, Tire Recycling Fee Program		\$706	\$830	\$831

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued**PROGRAM REQUIREMENTS****45 CIGARETTE AND TOBACCO TAX PROGRAM**

	2000-01*	2001-02*	2002-03*
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0001 General Fund	\$4,607	\$4,082	\$3,936
0004 Breast Cancer Fund	106	124	124
0230 Cigarette and Tobacco Products Surtax Fund	1,337	1,892	1,901
0623 California Children and Families First Trust Fund	887	1,674	1,670
0995 Reimbursements	—	—	—
Totals, Cigarette and Tobacco Tax Program	\$6,937	\$7,772	\$7,631

ELEMENT REQUIREMENTS

45.10 Registration of Taxpayers	1,083	949	1,007
0001 General Fund	720	618	634
0004 Breast Cancer Fund	16	12	12
0230 Cigarette and Tobacco Products Surtax Fund	209	272	281
0623 California Children and Families First Trust Fund	138	47	80
0995 Reimbursements	—	—	—
45.20 Processing Tax Returns	2,317	2,361	2,132
0001 General Fund	1,539	1,254	1,169
0004 Breast Cancer Fund	36	59	58
0230 Cigarette and Tobacco Products Surtax Fund	446	578	578
0623 California Children and Families First Trust Fund	296	470	327
0995 Reimbursements	—	—	—
45.30 Auditing Accounts	1,140	1,163	1,130
0001 General Fund	757	693	693
0004 Breast Cancer Fund	17	21	21
0230 Cigarette and Tobacco Products Surtax Fund	220	269	268
0623 California Children and Families First Trust Fund	146	180	148
0995 Reimbursements	—	—	—
45.40 Enforcement Activities	2,134	3,013	3,030
0001 General Fund	1,417	1,363	1,284
0004 Breast Cancer Fund	33	27	27
0230 Cigarette and Tobacco Products Surtax Fund	411	673	673
0623 California Children and Families First Trust Fund	273	950	1,046
0995 Reimbursements	—	—	—
45.50 Collecting Taxes Receivable	263	286	332
0001 General Fund	174	154	156
0004 Breast Cancer Fund	4	5	6
0230 Cigarette and Tobacco Products Surtax Fund	51	100	101
0623 California Children and Families First Trust Fund	34	27	69
0995 Reimbursements	—	—	—

PROGRAM REQUIREMENTS**50 MOTOR VEHICLE FUEL LICENSE TAX PROGRAM**

0061 Motor Vehicle Fuel Account, Transportation Tax Fund	\$1,836	\$3,361	\$3,436
0890 Federal Trust Fund	—	50	50
0995 Reimbursements	90	545	622
Totals, Motor Vehicle Fuel License Tax Program	\$1,926	\$3,956	\$4,108

ELEMENT REQUIREMENTS

50.10 Registration of Taxpayers	462	534	548
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	462	484	498
0890 Federal Trust Fund	—	50	50
50.20 Processing Tax Returns	548	1,908	2,060
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	458	1,363	1,438
0995 Reimbursements	90	545	622
50.30 Auditing Accounts	832	953	938
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	832	953	938
50.40 Enforcement	84	561	562
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	84	561	562

PROGRAM REQUIREMENTS**55 DIESEL AND USE FUEL TAX PROGRAM**

0061 Motor Vehicle Fuel Account, Transportation Tax Fund	\$12,947	\$16,617	\$17,003
0890 Federal Trust Fund	32	53	53
0995 Reimbursements	595	81	92
Totals, Diesel and Use Fuel Tax Program	\$13,574	\$16,751	\$17,148

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued**ELEMENT REQUIREMENTS**

	2000-01*	2001-02*	2002-03*
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55.10	Registration of Taxpayers	\$4,628	\$4,433	\$4,502
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	4,596	4,380	4,449
0890	Federal Trust Fund	32	53	53
55.20	Processing Tax Returns	3,610	5,645	5,713
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	3,015	5,564	5,621
0995	Reimbursements	595	81	92
55.30	Auditing Accounts	3,165	3,306	3,281
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	3,165	3,306	3,281
55.40	Enforcement	768	2,530	2,816
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	768	2,530	2,816
55.50	Collecting Taxes Receivable	1,403	837	836
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	1,403	837	836

PROGRAM REQUIREMENTS**56 OCCUPATIONAL LEAD POISONING****FEE PROGRAM**

0070	Occupational Lead Poisoning Prevention Fund	\$570	\$599	\$592
Totals, Occupational Lead Poisoning Fee Program		\$570	\$599	\$592

PROGRAM REQUIREMENTS**57 INTEGRATED WASTE MANAGEMENT FEE PROGRAM**

0387	Integrated Waste Management Account, Integrated Waste Management Fund	\$276	\$392	\$392
Totals, Integrated Waste Management Fee Program		\$276	\$392	\$392

PROGRAM REQUIREMENTS**58 UNDERGROUND STORAGE TANK FEE PROGRAM**

0439	Underground Storage Tank Cleanup Fund	\$1,653	\$1,912	\$1,986
Totals, Underground Storage Tank Fee Program		\$1,653	\$1,912	\$1,986

PROGRAM REQUIREMENTS**59 OIL SPILL PREVENTION PROGRAM**

0320	Oil Spill Prevention and Administration Fund	\$226	\$266	\$267
Totals, Oil Spill Prevention Program		\$226	\$266	\$267

PROGRAM REQUIREMENTS**60 ENERGY RESOURCES SURCHARGE PROGRAM**

0465	Energy Resources Programs Account, General Fund	\$176	\$227	\$239
Totals, Energy Resources Surcharge Program		\$176	\$227	\$239

PROGRAM REQUIREMENTS**62 CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM**

0080	Childhood Lead Poisoning Prevention Fund	\$497	\$532	\$432
Totals, Childhood Lead Poisoning Prevention Fee Program		\$497	\$532	\$432

PROGRAM REQUIREMENTS**63 BALLAST WATER MANAGEMENT FEE PROGRAM**

0995	Reimbursements	\$348	\$396	\$396
Totals, Ballast Water Management Fee Program		\$348	\$396	\$396

PROGRAM REQUIREMENTS**65 EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM**

0022	State Emergency Telephone Number Special Account, General Fund	\$584	\$754	\$755
Totals, Emergency Telephone Users Surcharge Program		\$584	\$754	\$755

PROGRAM REQUIREMENTS**70 INSURANCE TAX PROGRAM**

0001	General Fund	\$349	\$400	\$404
Totals, Insurance Tax Program		\$349	\$400	\$404

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

PROGRAM REQUIREMENTS

75 NATURAL GAS SURCHARGE PROGRAM

	2000-01*	2001-02*	2002-03*
3015 Gas Consumption Surcharge Fund	—	\$33	\$31
Totals, Natural Gas Surcharge Program	—	\$33	\$31

PROGRAM REQUIREMENTS

80 APPEALS FROM OTHER GOVERNMENTAL PROGRAMS

	2000-01*	2001-02*	2002-03*
0001 General Fund	\$1,715	\$1,459	\$1,458
Totals, Appeals from Other Governmental Programs	\$1,715	\$1,459	\$1,458

ELEMENT REQUIREMENTS

80.10 Franchise and Income Tax Appeals	1,714	1,417	1,416
0001 General Fund	1,714	1,417	1,416
80.20 Senior Citizens Property Tax Assistance	—	33	33
0001 General Fund	—	33	33
80.30 Equalization of Assessment of Publicly Owned Property	1	9	9
0001 General Fund	1	9	9

PROGRAM REQUIREMENTS

85 ADMINISTRATION

Undistributed Administration:			
0995 Reimbursements	\$141	\$248	\$248
Totals, Administration	\$141	\$248	\$248
TOTALS, EXPENDITURES (State Operations)	\$297,553	\$318,906	\$311,678

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	3,777.6	4,080.0	4,068.7	\$185,194	\$200,691	\$204,362
Total Adjustments	—	—	-142.0	—	—	-5,204
Estimated Salary Savings	—	-249.4	-268.8	—	-10,800	-11,936
Net Totals, Salaries and Wages	3,777.6	3,830.6	3,657.9	\$185,194	\$189,891	\$187,222
Staff Benefits	—	—	—	34,641	44,610	40,447
Totals, Personal Services	3,777.6	3,830.6	3,657.9	\$219,835	\$234,501	\$227,669
OPERATING EXPENSES AND EQUIPMENT				\$77,718	\$84,405	\$84,009
TOTALS, EXPENDITURES				\$297,553	\$318,906	\$311,678

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$192,154	\$194,606	\$193,307
Allocation for employee compensation	601	—	—
Adjustment per Section 3.60	-978	5,339	—
Adjustment per Section 4.60 (Rental Rate)	88	134	—
Adjustment per Section 4.00	—	-335	—
Allocation for postage rate increases	36	73	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	101	—
Transfer to Legislative Claims (9670)	-4	-1	—
Totals Available	\$191,897	\$199,917	\$193,307
Unexpended balance, estimated savings	-7,717	-627	—
TOTALS, EXPENDITURES	\$184,180	\$199,290	\$193,307

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued**0004 Breast Cancer Fund ^s**

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$107	\$122	\$124
Adjustment per Section 3.60	-1	2	-
TOTALS, EXPENDITURES	\$106	\$124	\$124

0022 State Emergency Telephone Number Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$673	\$755	\$755
Allocation for employee compensation	3	-	-
Adjustment per Section 3.60	-3	-	-
Adjustment per Section 4.00	-	-1	-
Totals Available	\$673	\$754	\$755
Unexpended balance, estimated savings	-89	-	-
TOTALS, EXPENDITURES	\$584	\$754	\$755

0061 Motor Vehicle Fuel Account, Transportation Tax Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$15,973	\$20,040	\$20,439
Allocation for employee compensation	47	-	-
Adjustment per Section 3.60	-81	247	-
Adjustment per Section 4.00	-	-19	-
Totals Available	\$15,939	\$20,268	\$20,439
Unexpended balance, estimated savings	-1,156	-290	-
TOTALS, EXPENDITURES	\$14,783	\$19,978	\$20,439

0070 Occupational Lead Poisoning Prevention Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$571	\$579	\$592
Allocation for employee compensation	2	-	-
Adjustment per Section 3.60	-3	21	-
Adjustment per Section 4.00	-	-1	-
TOTALS, EXPENDITURES	\$570	\$599	\$592

0080 Childhood Lead Poisoning Prevention Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$527	\$533	\$432
Allocation for employee compensation	1	-	-
Adjustment per Section 3.60	-3	-	-
Adjustment per Section 4.00	-	-1	-
Totals Available	\$525	\$532	\$432
Unexpended balance, estimated savings	-28	-	-
TOTALS, EXPENDITURES	\$497	\$532	\$432

0230 Cigarette and Tobacco Products Surtax Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$1,342	\$1,515	\$1,901
Allocation for employee compensation	2	-	-
Allocation for contingencies or emergencies	-	354	-
Adjustment per Section 3.60	-7	25	-
Adjustment per Section 4.00	-	-2	-
TOTALS, EXPENDITURES	\$1,337	\$1,892	\$1,901

0320 Oil Spill Prevention & Administration Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$236	\$263	\$267
Adjustment per Section 3.60	-1	3	-
Totals Available	\$235	\$266	\$267
Unexpended balance, estimated savings	-9	-	-
TOTALS, EXPENDITURES	\$226	\$266	\$267

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued**0387 Integrated Waste Management Account, Integrated
Waste Management Fund ^s**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$351	\$386	\$392
Adjustment per Section 3.60	-2	6	-
Totals Available	\$349	\$392	\$392
Unexpended balance, estimated savings	-73	-	-
TOTALS, EXPENDITURES	\$276	\$392	\$392

0439 Underground Storage Tank Cleanup Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$1,656	\$1,870	\$1,986
Allocation for employee compensation	5	-	-
Adjustment per Section 3.60	-8	44	-
Adjustment per Section 4.00	-	-2	-
TOTALS, EXPENDITURES	\$1,653	\$1,912	\$1,986

0465 Energy Resources Programs Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$201	\$240	\$239
Adjustment per Section 3.60	-1	-	-
Totals Available	\$200	\$240	\$239
Unexpended balance, estimated savings	-24	-13	-
TOTALS, EXPENDITURES	\$176	\$227	\$239

0623 California Children and Families First Trust Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$890	\$939	\$1,670
Allocation for employee compensation	2	-	-
Allocation for contingencies or emergencies	-	720	-
Adjustment per Section 3.60	-5	16	-
Adjustment per Section 4.00	-	-1	-
TOTALS, EXPENDITURES	\$887	\$1,674	\$1,670

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$102	\$102	\$103
Adjustment per Section 3.60	-	1	-
Budget Adjustment	-70	-	-
TOTALS, EXPENDITURES	\$32	\$103	\$103

0965 Timber Tax Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$2,798	\$2,819	\$2,593
Allocation for employee compensation	4	-	-
Adjustment per Section 3.60	-14	-	-
Adjustment per Section 4.00	-	-3	-
Totals Available	\$2,788	\$2,816	\$2,593
Unexpended balance, estimated savings	-122	-	-
TOTALS, EXPENDITURES	\$2,666	\$2,816	\$2,593

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$89,580	\$88,314	\$86,847

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued**3015 Gas Consumption Surcharge Fund ^s**

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	—	\$33	\$31
TOTALS, EXPENDITURES	—	\$33	\$31
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$297,553	\$318,906	\$311,678

FUND CONDITION STATEMENT**0965 Timber Tax Fund ⁿ**

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$7,276	\$5,501	—
Prior year adjustments	-7	—	—
Balance, Adjusted.....	\$7,269	\$5,501	—
REVENUES AND TRANSFERS			
Operating Revenues:			
213000 Property and Natural Resources (Timber Yield Tax)	24,636	22,000	\$22,000
215000 Income from investments.....	289	—	—
Totals, Operating Revenues	\$24,925	\$22,000	\$22,000
Totals, Resources	\$32,194	\$27,501	\$22,000
EXPENDITURES			
Disbursements:			
0860 State Board of Equalization (State Operations)	2,666	2,816	2,593
3540 Department of Forestry (State Operations)	28	26	26
Totals, Disbursements	\$2,694	\$2,842	\$2,619
Other Disbursements:			
Allocation to counties (Local Assistance expenditure not reflected in departmental budget).....	23,999	24,659	19,381
Totals, Expenditures	\$26,693	\$27,501	\$22,000
FUND BALANCE.....	\$5,501	—	—

**CHANGES IN
AUTHORIZED POSITIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	3,777.6	4,080.0	4,068.7	\$185,194	\$200,691	\$204,362
Workload and Administrative Adjustments:						
Positions Established:						
Executive:						
Technology Services:				Salary Range		
Staff Programmer Analyst-Spec	—	—	1.0	4,507-5,480	—	60
Assoc Info Sys Analyst-Spec	—	—	1.0	4,110-4,997	—	54
Sales and Use Tax:						
Various:						
Collectors (50 Audit positions reclassified to collectors 7/1/01 through 6/30/03)	—	50.0	50.0	2,764-4,154	—	—
SUTD Administration:						
Staff Info Sys Analyst-Spec.....	—	—	1.0	4,507-5,480	—	60
Reductions in Authorized Positions:						
Executive:						
Technology Services Division:						
Data Proc Mgr III.....	—	—	-1.0	6,032-6,651	—	-76
Sr Programmer Analyst-Spec	—	—	-1.0	4,958-6,026	—	-66
Assoc Programmer Analyst	—	—	-1.0	4,110-4,998	—	-54
Assoc Info Sys Analyst.....	—	—	-7.0	4,110-4,997	—	-381
Programmer II.....	—	—	-1.0	3,589-4,363	—	-48
Staff Svcs Analyst	—	—	-1.0	2,507-3,957	—	-36
Ofc Techn-Typing.....	—	—	-1.0	2,348-2,855	—	-31

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Internal Security and Audit Division:				Salary Range		
Assoc Info Sys Analyst-Spec	—	—	-1.0	\$4,110-4,997	—	-\$54
Customer and Taxpayer Services						
Division:						
Staff Svcs Analyst	—	—	-1.0	2,507-3,957	—	-36
Asst Info Sys Analyst.....	—	—	-1.0	2,764-3,048	—	-38
Tax Techn I	—	—	-2.0	2,029-2,648	—	-52
Legal:						
Bus Taxes Spec I.....	—	—	-3.0	4,517-5,228	—	-189
Taxpayer Rights and Equal						
Employment:						
Offers in Compromise:						
Bus Taxes Compliance Spec	—	—	-1.0	4,110-4,997	—	-54
Administration:						
Return Analysis and Allocation						
Division:						
Account Analysis Section:						
Tax Techn II	—	—	-1.0	2,348-2,855	—	-31
Blanket Funds:						
Overtime	—	—	—	—	—	-189
Sales and Use Tax:						
Various:						
Auditors (50 Audit positions						
reclassified to collectors 7/1/01						
through 6/30/03)	—	-50.0	-50.0	2,764-4,154	—	—
Headquarters Operations Division:						
Bus Taxes Rep	—	—	-2.0	2,764-4,155	—	-76
Tax Techn II	—	—	-1.0	2,348-2,855	—	-31
Ofc Techn-Typing	—	—	-2.0	2,348-2,855	—	-62
Tax Techn I	—	—	-2.0	2,029-2,648	—	-52
Ofc Asst-Gen	—	—	-8.0	1,846-2,465	—	-195
Program Planning Division:						
Supvng Tax Auditor I.....	—	—	-1.0	4,517-5,489	—	-60
Bus Taxes Spec I.....	—	—	-5.0	4,517-5,228	—	-315
Assoc Tax Auditor	—	—	-1.0	4,110-4,997	—	-54
Tax Techn III	—	—	-1.0	2,626-3,193	—	-35
Ofc Techn-Typing	—	—	-1.0	2,348-2,855	—	-31
Tax Techn I	—	—	-10.0	2,029-2,648	—	-262
Field Offices:						
Supvng Tax Auditor I.....	—	—	-5.0	4,517-5,489	—	-299
Bus Taxes Compliance Supvr II.....	—	—	-1.0	4,520-5,453	—	-60
Bus Taxes Compliance Supvr I.....	—	—	-1.0	4,113-4,963	—	-54
Tax Auditor	—	—	-3.0	2,764-4,154	—	-175
Adm Asst	—	—	-1.0	3,255-4,140	—	-41
Supvng Tax Techn II	—	—	-8.0	2,628-3,195	—	-278
Secty	—	—	-4.5	2,390-2,906	—	-142
Tax Techn II	—	—	-7.0	2,348-2,855	—	-217
Ofc Techn-Typing.....	—	—	-33.5	2,348-2,855	—	-1,040
Tax Techn I/II (10.0 FT positions						
various effective dates).....	—	—	-6.0	2,029-2,855	—	-174
Tax Techn I	—	—	-13.0	2,029-2,648	—	-340
Word Proc Techn.....	—	—	-1.0	2,029-2,648	—	-26
Ofc Asst-Gen	—	—	-2.0	1,846-2,465	—	-49
Special Taxes Department:						
Excise Taxes Division:						
Bus Taxes Spec I.....	—	—	-1.0	4,517-5,220	—	-63
Tax Techn II	—	—	-2.0	2,348-2,855	—	-62
Ofc Asst-Typing	—	—	-2.0	1,908-2,465	—	-49
Property Taxes Department:						
Policy Planning and Standards						
Division:						
Assoc Prop Auditor-Appraiser	—	—	-2.0	4,110-4,997	—	-109
Ofc Asst-Typing	—	—	-1.0	1,906-2,465	—	-24
County Property Tax Division:						
Assoc Prop Appraiser.....	—	—	-1.0	4,110-4,997	—	-54
Tax Techn II	—	—	-1.0	2,348-2,855	—	-31
Valuation Division:						
Word Proc Techn.....	—	—	-1.0	2,029-2,649	—	-26
Tax Techn I	—	—	-1.0	2,029-2,648	—	-26
Subtotals, Workload and						
Administrative Adjustments...	—	—	-152.0	—	—	-\$5,673

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

Proposed New Positions:						
Executive:	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
Investigations Division:				Salary Range		
Bus Taxes Comp Spec	—	—	2.0	\$4,110-4,997	—	\$109
Bus Taxes Rep	—	—	1.0	2,764-4,155	—	41
Administration:						
Financial Management Division:						
Assoc Admin Analyst-Acctg Sys.....	—	—	1.0	4,110-4,997	—	57
Accountant Trainee	—	—	0.5	2,883-3,338	—	19
Special Taxes Department:						
Excise Taxes Division:						
Assoc Tax Auditor	—	—	2.0	4,110-4,997	—	109
Bus Taxes Rep	—	—	1.0	2,764-4,155	—	41
Tax Auditor	—	—	1.0	2,764-4,154	—	41
Tax Techn II	—	—	1.5	2,348-2,855	—	47
Blanket Funds:						
Overtime	—	—	—	—	—	5
Subtotals, Proposed New Positions ..	—	—	10.0	—	—	\$469
Total Adjustments	—	—	-142.0	—	—	-\$5,204
TOTALS, SALARIES AND WAGES	3,777.6	4,080.0	3,926.7	\$185,194	\$200,691	\$199,158

0890 SECRETARY OF STATE

The Secretary of State, a constitutionally established office, is the chief election officer of the State and is responsible for the administration and enforcement of election laws. The office is also responsible for the administration and enforcement of laws pertaining to filing documents associated with corporations, limited partnerships, and the perfection of security agreements. In addition, the office is responsible for the appointment of notaries public, enforcement of notary laws and preservation of documents and records having historical significance. All documents filed are a matter of public record and of historical importance. They are available through prescribed procedures for public review and certification as to authenticity. In addition, the office is responsible for the International Business Relations Program that will promote California's international relations and improve the economic climate for foreign business development by providing specialized services needed to develop stronger connections between California and foreign-based companies.

The executive staff determines policy associated with the administration of the office through the programs of Elections, Political Reform, Business Programs, Archives, Information Technology and Management Services Divisions.

SUMMARY OF PROGRAM

REQUIREMENTS	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
05 Business Programs	256.0	269.8	264.5	\$37,595	\$38,743	\$36,954
10 Elections	29.1	30.4	29.4	14,375	14,961	13,633
15 Political Reform	22.2	24.8	24.4	3,188	3,444	2,773
30 Archives	24.8	27.3	26.7	12,522	14,756	14,075
32 Executive	25.9	18.4	18.0	3,142	2,689	2,681
Executive Distributed	—	—	—	-2,969	-2,606	-2,598
35 Management Services	51.3	51.0	48.9	6,533	6,505	5,561
35 Management Services Distributed	—	—	—	6,282	-6,126	-5,182
38 Information Technology	29.9	36.7	39.5	9,048	9,724	7,957
Information Technology Distributed	—	—	—	-8,911	-9,406	-7,639
98 State-mandated local programs	—	—	—	16,431	12,269	8,274
TOTALS, PROGRAMS	439.2	458.4	451.4	\$84,672	\$84,953	\$76,489
Less amount funded in the Political Reform Act of 1974	—	—	—	(732)	(725)	-725
Less reimbursements authorized in the Political Reform Act of 1974	—	—	—	(8)	(8)	-8
NET TOTALS, PROGRAMS	439.2	458.4	451.4	\$84,672	\$84,953	\$75,756
0001 General Fund				44,992	44,653	37,618
0228 Secretary of State's Business Fees Fund				29,545	31,730	30,619
0274 Business Reinvestment Fund				2,306	442	—
0995 Reimbursements				7,829	8,128	7,519

Major Budget Adjustment Proposed in 2001-02:

- An increase of \$600,000 to address the cost of developing online campaign disclosure software (Chapter 917, Statutes of 2001).

Major Budget Adjustments Proposed for 2002-03:

- Reductions
 - \$778,000 General Fund for maintenance and support of Information Databases.
 - \$239,000 General Fund for outreach and promotion for the Safe at Home Program.
 - \$412,000 General Fund and one personnel year for policy, planning and training.
- \$5,653,000 Business Fees Fund to continue funding for Phase II of the Business Programs Automation Project.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued**05 BUSINESS PROGRAMS DIVISION****Program Objectives Statement**

The Business Programs Division was created effective July 1, 1997 by combining the Corporate Filings, Limited Partnership, Uniform Commercial Code and the Notary Public Divisions. The consolidation of these programs will streamline activities and enhance customer service provided to companies and organizations doing business within the State of California.

The Corporate Filings Section files articles of incorporation, foreign qualifications, and related documents to ensure that corporations are properly formed, merged, amended, and dissolved in compliance with California law. The Section provides proprietary control over the proposed corporate name, the formation and changes in the structure of the corporation, and the cancellation and suspension of delinquent corporate entities. The Section also administers the filings of statement of officers, directors and agents for all corporations of record.

The Limited Partnership Section is responsible for filing and maintaining documents pertaining to a variety of non-corporate business entities, including limited partnerships, limited liability companies, limited liability partnerships, general partnerships and unincorporated associations. Once filed, the information is available via written request, over the telephone or in person at our public counter. In addition, the Section processes trademark and service mark filings, domestic partnership declarations, the Roster of Public Agencies, successor-in-interest filings, various types of bonds, including immigration consultants, and many other "special filings".

The Uniform Commercial Code section provides for the filing of financing statements and related documents so that a secured creditor may perfect a security interest in personal property covered by a security agreement as against other creditors. Not only does this program afford a secured creditor some protection against debtor bankruptcy, insolvency or default, but it also provides a prospective lender or seller with the means to determine if there are any previously perfected security interests involving certain personal property. The Section further files notices of federal tax liens against certain business entities, state tax liens and attachment liens against personal property, judgment liens, various agricultural liens, and related documents. The Secretary of State's staff examine all documents presented for filing for statutory compliance. The documents are then filed and open to public inspection. Certificates of filing and copies of filed records are available upon request.

The Notary Public Section appoints qualified persons authorized by Government Code, Chapter 3, Division 1, Title 2 to the office of Notary Public in sufficient numbers to perform a variety of official transactions necessary to fulfill personal and business needs throughout the State. Applicants seeking an appointment to act as a notary public are investigated by the Secretary of State to insure that the applicant has the required honesty and integrity to hold a commission. Investigations are conducted to determine if any administrative, civil or criminal law has been violated by either individuals holding current notary public commissions or by people holding themselves out as such. If so, the Secretary of State's Office takes appropriate action through the use of injunctions, restraining orders, civil penalties, administrative adjudication and criminal prosecution.

Authority

Corporations Code Titles 1–3 and Government Code Section 12180 et seq. Uniform Commercial Code, Chapter 4, Divisions 9, 10 and 11; Uniform Federal Tax Lien Registration Act; Chapter 14, Division 7 of Title 1 of the Government Code relating to the registration of State tax liens; Section 488.375 of the Code of Civil Procedure relating to attachment liens; Division 2, Chapter 1, Article 3, Section 697.510 of the Code of Civil Procedure relating to judgement liens; Sections 9790 and 22900 of the Business and Professions Code; and Sections 55701, 57402, 57510 and 57561 of the Food and Agricultural Code.

10 ELECTIONS**Program Objectives Statement**

The Secretary of State, as California's chief election officer, has broad responsibility in the areas of voter registration, the state ballot pamphlet, the initiative process, ballot voting, voting systems, voter participation, candidate certification, reporting of election results, and ensures that the state's elections laws are administered and complied with in a uniform manner. The Secretary of State issues technical information and legal opinions to the public, legislators and staff, and local elections officers regarding elections laws and procedures. The complexity of the state's elections systems requires constant vigilance by the Secretary of State, as well as promulgation of appropriate rules and regulations to ensure adequate and uniform enforcement of state elections laws. The investigations unit investigates allegations of illegal activity in voting, registration, petition circulation and elections administration. The Secretary of State certifies to the nomination and election of candidates; passage or failure of statewide ballot measures; produces and distributes the state ballot pamphlet; and is the central repository for data concerning voter registration and official election results.

Authority

Constitution, Elections Code, Government Code, Federal Voting Rights Act and the Federal Overseas Voting Act.

15 POLITICAL REFORM**Program Objectives Statement**

The Secretary of State administers the campaign and lobbying disclosure provisions of the Political Reform Act of 1974, ensuring timely compliance with filing requirements. Under this law, the Secretary of State registers all campaign recipient committees and assures compliance with statutory reporting requirements through the review of reports filed. The Secretary of State also registers lobbying firms and employers, reviews the periodic reports filed by lobbying entities, and publishes a Directory of Lobbyists, Lobbying Firms and Lobbyist Employers. Since January of 2000, this division also supports the electronic filing requirements of the Political Reform Act and posts relevant disclosure information to the Internet so voters can track where campaign money is raised and spent and what lobbying activity is occurring in state government.

Authority

Government Code (Title 9, Political Reform).

0890 SECRETARY OF STATE—Continued**30 ARCHIVES****Program Objectives Statement**

The California State Archives acquires, catalogs, indexes, preserves and provides reference access to historic and irreplaceable record material from a wide range of origins within the state, supplemented by an oral history program to fill gaps in the documentary materials. The Archives serves the general public directly and assists state agencies and other institutions by providing an organized and select body of California history from all three branches of government. Without this program for assembling historic information, many essential original materials would be lost or destroyed—leaving the state with a reference vacuum that would jeopardize vital state interests. The State Archives assisted in developing a museum and education program to extend archival resources to wider public audiences, under a partnership agreement with the California Archives Foundation. The Golden State Museum opened in the fall of 1998. Support for the California Heritage Preservation Commission is included in the budget for the State Archives.

Authority

Government Code Sections 6268, 12153, 12173, 12220–12233, 14755, 14901; Civil Code Section 1798.24(j).

32 EXECUTIVE OFFICE**Program Objectives Statement**

The Executive Office develops and manages overall departmental policy, coordinates and disseminates public information, handles correspondence and the scheduling of functions and appointments for the Secretary of State. In addition, the office is responsible for the International Business Relations Program that will promote California's international relations and improve the economic climate for foreign business development by providing specialized services needed to develop stronger connections between California and foreign-based companies.

Authority

California Business and Professions Code commencing with Section 14233.

35 MANAGEMENT SERVICES**Program Objectives Statement**

The Management Services Division provides the agency with the necessary personnel, general administrative, budgeting and fiscal services necessary to ensure the smooth and efficient operation of the line functions within the agency. Through analysis and research personnel, fiscal, budgetary and other administrative options are developed by Management Services to support the Secretary of State in policy, planning and directing the various programs administered within the agency. It also manages the Safe At Home Program created by Chapter 1005, Statutes of 1998.

38 INFORMATION TECHNOLOGY**Program Objectives Statement**

The Information Technology Division provides expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions. These systems support the various program areas. The Division also provides oversight and sets policy for all information technology projects within the Secretary of State's Office.

98 LOCAL ASSISTANCE**Program Objectives Statement**

The objective of this program is to provide funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs they incur in complying with certain state-mandated local programs. Funding for four ongoing mandates is proposed for inclusion in the Budget Act: Voter registration procedures (Ch. 704/75); Absentee ballots (Ch. 77/78); Permanent absentee voters (Ch. 1422/82); and Brendon Maguire Act (Ch. 391/88). In addition, this budget proposes to continue the suspension of five mandates: Voter registration roll purge (Ch. 1401/76); Handicapped voter access (Ch. 494/79); Local elections (Ch. 1013/81); Democratic presidential delegates (Ch. 1603/82); and Election materials (Ch. 1042/85).

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****05 BUSINESS PROGRAMS DIVISION**

	<i>2000–01*</i>	<i>2001–02*</i>	<i>2002–03*</i>
TOTALS, BUSINESS PROGRAMS DIVISION	\$37,595	\$38,743	\$36,954
State Operations:			
05.10 Business Programs	26,340	28,560	26,835
05.15 Executive Distribution	1,993	1,649	1,642
05.20 Management Services	4,330	4,135	3,377
05.30 Information Technology	4,932	4,399	5,100
0001 General Fund	—	—	—
0228 SOS Business Fees Fund	28,790	31,065	29,954
0274 Business Reinvestment Fund	2,306	442	—
0995 Reimbursements	6,499	7,236	7,000

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

PROGRAM REQUIREMENTS

10 ELECTIONS

	2000-01*	2001-02*	2002-03*
TOTALS, ELECTIONS	\$14,375	\$14,961	\$13,633
State Operations:			
10.10 Election—General	2,775	3,006	3,009
10.20 Ballot Pamphlet Printing	3,933	4,300	4,300
10.30 Registration by Mail—Printing	122	404	404
10.40 Ballot Pamphlet Mailing	2,493	2,719	2,719
10.50 Registration by Mail—Postage	2,344	1,535	1,535
10.51 Election Night Reporting	127	—	—
10.55 Executive Distribution	263	222	220
10.60 Management Services	566	544	450
10.70 Information Technology	1,752	2,231	996
0001 General Fund	13,737	14,386	13,082
0228 SOS Business Fees Fund	538	538	538
0995 Reimbursements	100	37	13

PROGRAM REQUIREMENTS

15 POLITICAL REFORM

TOTALS, POLITICAL REFORM	\$3,188	\$3,444	\$2,773
State Operations:			
15.10 Political Reform	1,880	1,926	1,920
15.15 Executive Distribution	136	116	116
15.20 Management Services	291	281	233
15.30 Information Technology	881	1,121	504
0001 General Fund	3,117	3,413	2,031
0995 Reimbursements	71	31	9
Less amount funded in the Political Reform Act	(732)	(725)	725
Less reimbursements in the Political Reform Act	(8)	(8)	8

PROGRAM REQUIREMENTS

30 ARCHIVES

TOTALS, ARCHIVES	\$12,522	\$14,756	\$14,075
State Operations:			
30.10 Archives	9,460	11,272	11,250
30.15 Services to Business Fees Programs	44	44	44
30.18 Executive Distribution	577	619	620
30.20 Management Services	1,095	1,166	1,122
30.30 Information Technology	1,346	1,655	1,039
0001 General Fund	11,456	14,206	13,852
0228 SOS Business Fees Fund	44	44	44
0995 Reimbursements	1,022	506	179

PROGRAM REQUIREMENTS

32 EXECUTIVE

TOTALS, EXECUTIVE	\$173	\$83	\$83
0001 General Fund	—	—	—
0228 SOS Business Fees Fund	173	83	83

PROGRAM REQUIREMENTS

35 MANAGEMENT SERVICES

TOTALS, MANAGEMENT SERVICES	\$251	\$379	\$379
0001 General Fund	251	379	379
0995 Reimbursements	—	—	—

PROGRAM REQUIREMENTS

38 INFORMATION TECHNOLOGY

TOTALS, INFORMATION TECHNOLOGY	\$137	\$318	\$318
Reimbursements	137	318	318
TOTALS, EXPENDITURES (State Operations)	\$68,241	\$72,684	\$68,215

PROGRAM REQUIREMENTS

98 STATE-MANDATED LOCAL PROGRAMS

Ch. 704/75—Voter Registration Procedures:			
Budget Act	\$1,099	\$1,461	\$1,492
2001 Claims bill (Ch. 723/01)	—	129	—
Totals	\$1,099	\$1,590	\$1,492

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

	2000-01*	2001-02*	2002-03*
Ch. 77/78—Absentee Ballots:			
Budget Act	\$6,111	\$6,307	\$6,439
2000 Claims Bill (Ch. 177/00)	8,712	—	—
2001 Claims Bill (Ch. 723/01)	—	3,928	—
Totals	\$14,823	\$10,235	\$6,439
Ch. 1422/82—Permanent absentee voters:			
Budget Act	228	335	342
2000 Claims Bill (Ch. 177/00)	281	—	—
2001 Claims Bill (Ch. 723/01)	—	108	—
Ch. 1042/85—Election Materials:			
Claims Bill (Ch. 780/98)	0 ¹	0 ¹	0 ¹
Ch. 391/88—Brendon Maguire Act:			
Budget Act	—	1	1
Totals	\$16,431	\$12,269	\$8,274
Budget Acts	16,431	12,269	8,274
Claims Bills	—	—	—

¹ Mandates suspended pursuant to Government Code 17581.

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	439.2	484.5	484.5	\$19,521	\$21,267	\$21,745
Total Adjustments	—	—	3.0	—	—	—49
Estimated Salary Savings	—	—26.1	—36.1	—	—1,448	—1,924
Net Totals, Salaries and Wages	439.2	458.4	451.4	\$19,521	\$19,819	\$19,772
Staff Benefits	—	—	—	3,846	5,477	5,430
Totals, Personal Services	439.2	458.4	451.4	\$23,367	\$25,296	\$25,202
OPERATING EXPENSES AND EQUIPMENT				\$24,851	\$25,916	\$21,490
SPECIAL ITEMS OF EXPENSE						
Printing ballot pamphlets				3,933	4,300	4,300
Mailing ballot pamphlets				2,494	2,718	2,719
Printing registration cards				122	404	404
Mailing registration cards				2,343	1,535	1,535
Election night reporting				127	—	—
Totals, Special Items of Expense				\$9,019	\$8,957	\$8,958
Lease Revenue Bond				11,004	12,515	12,565
Base Rental Fee				11,003	12,448	12,436
Structural Insurance				1	67	129
TOTALS, EXPENDITURES				\$68,241	\$72,684	\$68,215
Less amount funded in the Political Reform Act				(732)	(725)	—725
Less reimbursements in the Political Reform Act				(8)	(8)	—8
NET TOTALS, EXPENDITURES				\$68,241	\$72,684	\$67,482

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$21,081	\$22,437	\$19,943
Allocation for employee compensation	95	—	—
Allocation for contingencies or emergencies	267	—	—
Adjustment per Section 3.60	—59	186	—
Adjustment per Section 3.90	—	—589	—
Adjustment per Section 4.60 (Rental Rate)	8	4	—
Adjustment per Section 4.00	—	—22	—
Allocation for postage rate increases	3	6	—
Transfer from Item 8640-001-0001	723	725	—

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
003 Budget Act appropriation	\$8,413	\$8,997	\$9,401
Chapter 917, Statutes of 2001	—	600	—
Prior year balances available:			
Chapter 360, Statutes of 1999.....	40	40	—
Totals Available	\$30,571	\$32,384	\$29,344
Unexpended balance, estimated savings	-1,970	—	—
Balance available in subsequent years	-40	—	—
TOTALS, EXPENDITURES	\$28,561	\$32,384	\$29,344

0228 Secretary of State's Business Fees Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$27,185	\$28,382	\$27,649
Allocation for employee compensation	98	—	—
Adjustment per Section 3.60	-84	489	—
Adjustment per Section 4.60 (Rental Rate).....	2	1	—
Adjustment per Section 4.00	—	-27	—
Allocation for postage rate increases	12	24	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	2	—
003 Budget Act appropriation	2,654	2,841	2,970
Allocation for contingencies or emergencies	1	—	—
011 Budget Act appropriation (Transfer to the General Fund)	—	—	(1,000)
Prior year balances available:			
Chapter 991, Statutes of 1999.....	118	18	—
Totals Available	\$29,986	\$31,730	\$30,619
Unexpended balance, estimated savings	-423	—	—
Balance available in subsequent years	-18	—	—
TOTALS, EXPENDITURES	\$29,545	\$31,730	\$30,619

0274 Business Reinvestment Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$2,309	\$442	—
Totals Available	\$2,309	\$442	—
Unexpended balance, estimated savings	-3	—	—
TOTALS, EXPENDITURES	\$2,306	\$442	—

0995 Reimbursements

APPROPRIATIONS			
Reimbursements.....	\$7,829	\$8,128	\$7,519
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$68,241	\$72,684	\$67,482

RECONCILIATION WITH APPROPRIATIONS**2 LOCAL ASSISTANCE****0001 General Fund**

APPROPRIATIONS	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
295 Budget Act appropriation (State Mandates)	\$7,853	\$8,104	\$8,274
Adjustment per Government Code Section 17613.....	-415	—	—
Chapter 177, Statutes of 2000 (State Mandates).....	5,790	—	—
Adjustment per Government Code Section 17613.....	3,203	—	—
Chapter 723, Statutes of 2001	—	4,165	—
Prior year balances available:			
Chapter 780, Statutes of 1998 (State Mandates)	947	—	—
Adjustment per Government Code Section 17613.....	-947	—	—
TOTALS, EXPENDITURES	\$16,431	\$12,269	\$8,274
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$16,431	\$12,269	\$8,274
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$84,672	\$84,953	\$75,756

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

FUND CONDITION STATEMENT

0228 Secretary of State's Business Fees Fund ^s

2000-01*

2001-02*

2002-03*

BEGINNING BALANCE.....	\$1,000	\$730	\$224
REVENUES AND TRANSFERS			
Revenues:			
124100 Domestic corporation fees.....	8,022	8,712	9,712
124200 Foreign corporation fees.....	1,624	1,548	2,548
124300 Notary public license fees.....	990	985	1,985
124400 Filing financing statements.....	5,137	5,437	6,437
125600 Other regulatory fees.....	3,026	3,534	4,534
142000 General fees—Secretary of State.....	14,178	14,000	15,000
142500 Miscellaneous services.....	3	5	5
150300 Interest from surplus money investments.....	518	500	1,500
161400 Miscellaneous revenue.....	189	85	85
164400 CCVIASS.....	1	—	—
Totals, Revenues.....	\$33,688	\$34,806	\$41,806
Transfers to Other Funds:			
T00001 General Fund per Government Code Section 12181.....	-4,413	-3,582	-10,282
T00001 General Fund per Item 0890-011-0001, Budget Act of 2002.....	—	—	-1,000
Totals, Transfers.....	-\$4,413	-\$3,582	-\$11,282
Totals, Revenues and Transfers.....	\$29,275	\$31,224	\$30,748
Totals, Resources.....	\$30,275	\$31,954	\$30,748
EXPENDITURES			
0890 Secretary of State's Office (State Operations).....	29,545	31,730	30,619
Totals, Expenditures.....	\$29,545	\$31,730	\$30,619
FUND BALANCE.....	\$730	\$224	\$129
Reserve for continuing appropriation.....	18	224	129
Reserve for unencumbered balance of continuing appropriations.....	712	—	—

0274 Business Reinvestment Fund ^s

BEGINNING BALANCE.....	\$5,804	\$3,498	\$3,056
EXPENDITURES			
0890 Secretary of State's Office (State Operations).....	2,306	442	—
Totals, Expenditures.....	\$2,306	\$442	—
FUND BALANCE.....	\$3,498	\$3,056	\$3,056
Reserve for economic uncertainties.....	3,498	3,056	3,056

CHANGES IN

AUTHORIZED POSITIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions.....	439.2	484.5	484.5	\$19,521	\$21,267	\$21,745
Workload and Administrative Adjustments:						
Reduction in Authorized Positions:				Salary Range		
Assoc Prog Analyst-Spec.....	—	—	-1.0	4,110-4,997	—	-49
Totals, Workload and Administrative						
Adjustments.....	—	—	-1.0	—	—	-\$49
Proposed New Positions:						
Sr Prog Analyst-Spec.....	—	—	1.0	4,958-6,026	—	(59)
Staff Prog Analyst-Spec.....	—	—	1.0	4,507-5,480	—	(54)

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
				Salary Range		
Assoc Prog Analyst-Spec.....	—	—	1.0	\$4,110-4,997	—	(\$49)
Info Tech Techn.....	—	—	1.0	2,207-3,168	—	(26)
Temporary Help.....	—	—	—	—	—	—
Totals, Proposed New Positions	—	—	4.0	—	—	(\$188)
Total Adjustments.....	—	—	3.0	—	—	-\$49
TOTAL, SALARIES AND WAGES.....	439.2	484.5	487.5	\$19,521	\$21,267	\$21,696

0950 STATE TREASURER

The State Treasurer provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the State; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants drawn by the State Controller and other state agencies.

SUMMARY OF PROGRAM REQUIREMENTS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Investment Services	15.9	16.2	16.2	\$2,394	\$2,512	\$2,494
20 Cash Management.....	52.1	51.7	51.7	6,650	7,307	7,018
30 Public Finance	34.5	48.4	49.4	4,664	10,982	7,710
35 Securities Management	37.4	31.4	29.0	4,076	4,399	4,252
50 Administration and Information Services	76.8	85.9	85.9	8,449	8,732	8,732
Distributed Administration	—	—	—	-7,177	-7,387	-7,387
98 State-mandated local programs	—	—	—	3,342	5,009	3,521
TOTALS, PROGRAMS.....	216.7	233.6	232.2	\$22,398	\$31,554	\$26,340
Unallocated Reduction.....	—	—	—	—	—	-200
NET TOTALS, PROGRAMS	216.7	233.6	232.2	\$22,398	\$31,554	\$26,140
0001 General Fund.....	—	—	—	8,558	17,076	12,293
0240 Local Agency Deposit Security Fund	—	—	—	150	—	—
0321 Oil Spill Response Trust Fund.....	—	—	—	—	200	—
0995 Reimbursements	—	—	—	13,690	14,278	13,847

Authority

Government Code Sections 12300-12333, 16300-16600, 53661.

10 INVESTMENT SERVICES**Program Objectives Statement**

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2000-01 fiscal year, this Division handled 9,471 security investment transactions totaling \$310.5 billion. The Pooled Money Investment Board program accounted for 6,250 of these transactions totaling \$290.5 billion; time deposits accounted for 1,639 transactions totaling \$19.7 billion. The remaining \$300 million is invested on behalf of the State's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Game, the State's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the Pooled Money Investment Board program. In the 2000-01 fiscal year, 2,928 local agencies participated in LAIF, with deposits averaging \$14.4 billion for the fiscal year.

20 CASH MANAGEMENT**Program Objectives Statement**

The Cash Management Division is responsible for managing the State's cash resources as mandated by the Pooled Money Investment Board and Government Code Sections 16500 through 16510. The Division analyzes the State's cashflow and completes a daily forecast of available cash which allows others to make informed investment and business decisions. The Division is also responsible for administering the Centralized Banking Services Program. An integral part of managing the State's cash is the Centralized Treasury System which is responsible for processing and reconciling state warrants and agency checks presented by banks for payment, processing stop payment and forgery items, and reconciling all the State's deposits within the Treasury System. The Division is also responsible for maintaining the State's vault used for the safekeeping of moneys and securities pursuant to Government Code Section 12320 and for providing security in the handling and processing of billions of dollars in negotiable securities.

30 PUBLIC FINANCE**Program Objectives Statement**

The Public Finance Division is responsible for selling all State of California general obligation bonds, revenue anticipation notes, commercial paper notes and many revenue bonds. The Division also provides trust services for most State bonds. Interim financing from the Pooled Money Investment Account is also arranged to meet the immediate cash needs of the various bond programs. Interest costs are minimized through a planned bond marketing program. This program includes disseminating information to bondholders through the investor relations program.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

The Division also assures compliance with federal tax laws applicable to state debt by investing and reinvesting bond sale proceeds as needed to meet federal yield restriction requirements, tracking expenditures, and computing and rebating arbitrage profit or other payments to the federal government.

Major Budget Adjustment Proposed for 2001–02:

- An increase of \$140,000 General Fund and one position (0.9 personnel year) for increased workload related to the Tobacco Securitization Revenue Bonds.

Major Budget Adjustments Proposed for 2002–03:

- A one-year increase of \$2,144,000 (\$1,822,000 General Fund and \$322,000 reimbursements) in operating expenses for the final year of second phase of the Debt Management System.
- An increase of \$220,000 General Fund and two positions (1.9 personnel years) for increased workload related to the Tobacco Securitization Revenue Bonds.

35 SECURITIES MANAGEMENT**Program Objectives Statement**

The Securities Management Division was created in 1999 and consists of the Bondholder Services Section and the Securities Clearance Section, which were formerly part of the Public Finance Division.

The Securities Management Division is responsible for servicing and redeeming all general obligation bonds, certain revenue bonds and revenue anticipation notes for the State of California. The Division maintains certain ownership information for the bonds and ensures the timely payment of principal and interest to the bondholders.

The Division is responsible for the clearing, settling and accounting for all securities purchased or sold for investment purposes for the Pooled Money Investment Account and other state agencies through its custodian.

The Division is also responsible for the safekeeping of all securities and other personal property owned by or pledged to the State. These securities are held in the Treasurer's vault or in approved depositories such as the Federal Reserve Bank in San Francisco.

Pursuant to Chapter 127, Statutes of 2000, on January 1, 2001, the Local Agency Security Program transferred back to the Department of Financial Institutions.

50 ADMINISTRATION AND INFORMATION SERVICES**Program Objectives Statement**

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

98 STATE-MANDATED LOCAL PROGRAMS**Program Objectives Statement**

This program provides funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs incurred in complying with state mandates.

UNALLOCATED REDUCTION**Major Budget Adjustment Proposed for 2002–03:**

- \$200,000 General Fund unallocated reduction.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 INVESTMENT SERVICES**

State Operations:	2000–01*	2001–02*	2002–03*
0001 General Fund	\$539	\$552	\$549
0995 Reimbursements	1,855	1,960	1,945
Totals, State Operations	\$2,394	\$2,512	\$2,494

PROGRAM REQUIREMENTS**20 CASH MANAGEMENT**

State Operations:	2000–01*	2001–02*	2002–03*
0001 General Fund	\$1,263	\$3,243	\$2,825
0995 Reimbursements	5,387	4,064	4,193
Totals, State Operations	\$6,650	\$7,307	\$7,018

* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

PROGRAM REQUIREMENTS

30 PUBLIC FINANCE

State Operations:	2000-01*	2001-02*	2002-03*
0001 General Fund	\$1,654	\$6,306	\$3,739
0321 Oil Spill Response Trust Fund	—	200	—
0995 Reimbursements.....	3,010	4,476	3,971
Totals, State Operations	\$4,664	\$10,982	\$7,710

PROGRAM REQUIREMENTS

35 SECURITIES MANAGEMENT DIVISION

State Operations:	2000-01*	2001-02*	2002-03*
0001 General Fund	\$1,760	\$1,966	\$1,859
0240 Local Agency Deposit Security Fund.....	150	—	—
0995 Reimbursements.....	2,166	2,433	2,393
Totals, State Operations	\$4,076	\$4,399	\$4,252

PROGRAM REQUIREMENTS

50 ADMINISTRATION AND INFORMATION SERVICES—
UNDISTRIBUTED

State Operations:	2000-01*	2001-02*	2002-03*
0995 Reimbursements.....	\$1,272	\$1,345	\$1,345
Totals, State Operations	\$1,272	\$1,345	\$1,345

PROGRAM REQUIREMENTS

98 STATE-MANDATED LOCAL PROGRAMS

Local Assistance:	2000-01*	2001-02*	2002-03*
Ch. 783/95-Investment Reports	\$3,342	\$5,009	\$3,521
Totals, Local Assistance	\$3,342	\$5,009	\$3,521

TOTAL EXPENDITURES

State Operations	\$19,056	\$26,545	\$22,819
Local Assistance.....	3,342	5,009	3,521
TOTALS, EXPENDITURES	\$22,398	\$31,554	\$26,340
Unallocated Reduction	—	—	—200
NET TOTALS, EXPENDITURES	\$22,398	\$31,554	\$26,140

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	216.7	244.8	244.3	\$11,393	\$12,941	\$13,126
Total Adjustments	—	1.0	—	—	81	73
Estimated Salary Savings	—	—12.2	—12.1	—	—631	—644
Net Totals, Salaries and Wages	216.7	233.6	232.2	\$11,393	\$12,391	\$12,555
Staff Benefits	—	—	—	1,844	2,601	2,227
Totals, Personal Services	216.7	233.6	232.2	\$13,237	\$14,992	\$14,782
OPERATING EXPENSES AND EQUIPMENT				\$5,819	\$11,553	\$8,037
TOTALS, EXPENDITURES				\$19,056	\$26,545	\$22,819
Unallocated Reduction				—	—	—200
NET TOTALS, EXPENDITURES				\$19,056	\$26,545	\$22,619

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$6,702	\$11,985	\$8,772
Allocation for employee compensation	86	—	—
Adjustment per Section 3.60	—39	158	—

* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

	2000-01*	2001-02*	2002-03*
Adjustment per Section 3.90	—	-\$425	—
Adjustment per Section 4.60 (Rental Rate)	\$33	42	—
Adjustment per Section 4.00	—	-17	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	184	—
Transfer to Legislative Claims (9670)	-1	—	—
Pending Legislation	—	140	—
Totals Available	\$6,781	\$12,067	\$8,772
Unexpended balance, estimated savings	-1,565	—	—
TOTALS, EXPENDITURES	\$5,216	\$12,067	\$8,772
0240 Local Agency Deposit Security Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$174	—	—
Totals Available	\$174	—	—
Unexpended balance, estimated savings	-24	—	—
TOTALS, EXPENDITURES	\$150	—	—
0321 Oil Spill Response Trust Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	—	\$200	—
TOTALS, EXPENDITURES	—	\$200	—
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$13,690	\$14,278	\$13,847
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$19,056	\$26,545	\$22,619

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	2000-01*	2001-02*	2002-03*
State Mandates	\$3,342	\$5,009	\$3,521

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
295 Budget Act appropriation (State Mandates)	\$3,342	\$3,449	\$3,521
Chapter 723, Statutes of 2001 (State Mandates)	—	1,560	—
Prior year balances available:			
Chapter 574, Statutes of 1999 (State Mandates)	734	—	—
Adjustment per Government Code Section 17613	-734	—	—
TOTALS, EXPENDITURES	\$3,342	\$5,009	\$3,521
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$3,342	\$5,009	\$3,521
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$22,398	\$31,554	\$26,140

CHANGES IN
AUTHORIZED POSITIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	216.7	244.8	244.3	\$11,393	\$12,941	\$13,126
Reduction Due to Bondholders Services						
Outsourcing Contract:						
Reduction in Authorized Positions:				Salary Range		
Assoc Treasury Prog Ofcr (Securities						
Mgt Div)	—	—	-1.0	3,915-4,759	—	-47
Prog Techn III (Securities Mgt Div)	—	—	-1.0	2,626-3,193	—	-31
Totals	—	—	-2.0	—	—	-\$78

* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
Proposed New Positions:				Salary Range		
Treasury Prog Mgr II	—	1.0 ¹	2.0 ¹	\$5,211–6,287	\$69	\$138
Overtime	—	—	—	—	12	13
Totals, Proposed New Positions	—	1.0	2.0	—	\$81	\$151
Total Adjustments	—	1.0	—	—	\$81	\$73
TOTALS, SALARIES AND WAGES	216.7	245.8	244.3	\$11,393	\$13,022	\$13,199

¹ For Tobacco Securitization Revenue Bond Workload.

0954 SCHOLARSHARE INVESTMENT BOARD**SUMMARY OF PROGRAM**

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
REQUIREMENTS						
10 Golden State Scholarshare Trust Program (Scholarshare Administrative Fund)	3.0	3.0	3.0	\$250	\$966	\$957
20 Governor's Scholarship Programs (General Fund)	2.7	3.0	3.0	105,963	119,271	129,271
TOTALS, PROGRAMS	5.7	6.0	6.0	\$106,213	\$120,237	\$130,228
0001 General Fund				105,963	119,271	129,271
0564 Scholarshare Administrative Fund				250	966	957

10 GOLDEN STATE SCHOLARSHARE TRUST PROGRAM**Program Objectives Statement**

Chapter 851, Statutes of 1997, authorized the California Student Aid Commission (SAC) to establish the Golden State Scholarshare Trust Program, which is a State-sponsored, college savings program. Scholarshare was created to allow Californians the opportunity to invest on a tax-deferred basis to meet the costs of higher education, as allowed by federal law. Participants may invest for their children's college costs at modest levels over a number of years. The money that participants contribute is not taxed until the time of disbursement to the beneficiary's school of choice; it is then taxed at the beneficiary's rate. Administrative costs for the program are paid from the Scholarshare Administrative Fund, which is supported by a portion of the investment returns. Initial expenses of this program were supported by a loan from the General Fund. Program implementation started in 1999.

Chapter 664, Statutes of 1999, established a reconstituted Scholarshare Investment Board (SIB) to oversee and administer the Scholarshare Trust, and moved the Scholarshare Investment Board from the SAC to the umbrella of the State Treasurer's Office, effective January 1, 2000, and made technical changes to the Golden State Scholarshare Trust Act to enhance the program for interested participants.

Authority

Chapter 664, Statutes of 1999, amended Education Code Section 69980.

20 GOVERNOR'S SCHOLARSHIP PROGRAMS**Program Objectives Statement**

Chapter 404, Statutes of 2000, established the Governor's Scholarship Programs to be administered by the SIB. The Governor's Scholarship Programs reward public school students who demonstrate high academic achievement, as evidenced by their scores in the 9th, 10th, or 11th grades on certain examinations. Under the guidelines of the Governor's Scholar's Programs, students receive a \$1,000 higher education scholarship award if they either: 1) attain a score on the nationally normed reading and mathematics portions of the Standardized Testing and Reporting (STAR) examination that places them in the top 5 percent of students statewide in their grade, or 2) attain a score on the nationally norm-referenced portion of the STAR examination that places them in the top 10 percent of students within their school in their grade. Under the guidelines of the Governor's Distinguished Mathematics and Science Scholars Program, a supplemental award of \$2,500 is available to students who also attain specific scores on particular Advanced Placement, International Baccalaureate or Golden State exams. These higher education scholarship awards are invested in a Golden State Scholarshare Trust Account until used by the student for eligible educational expenses.

Major Budget Adjustment Proposed for 2002-03:

- \$10 million General Fund to address an expected increase in students eligible for both the Governor's Scholars Program and the Governor's Distinguished Mathematics and Science Scholars Program.

Authority

Chapter 404, Statutes of 2000, added Article 20 (commencing with Section 69995) to Chapter 2 of Part 42 to the Education Code.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0954 SCHOLARSHARE INVESTMENT BOARD—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	5.7	6.0	6.0	\$262	\$346	\$352
Net Totals, Salaries and Wages	5.7	6.0	6.0	\$262	\$346	\$352
Staff Benefits	—	—	—	47	43	39
Totals, Personal Services	5.7	6.0	6.0	\$309	\$389	\$391
OPERATING EXPENSES AND EQUIPMENT				\$904	\$1,848	\$1,837
TOTALS, EXPENDITURES				\$1,213	\$2,237	\$2,228

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$1,000	\$1,300	\$1,271
Allocation for employee compensation	1	—	—
Adjustment per Section 3.60	-2	6	—
Adjustment per Section 3.90	—	-34	—
Adjustment per Section 4.00	—	-1	—
Totals Available	\$999	\$1,271	\$1,271
Unexpended balance, estimated savings	-36	—	—
TOTALS, EXPENDITURES	\$963	\$1,271	\$1,271

0564 Scholarshare Administrative Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$934	\$959	\$957
Allocation for employee compensation	5	—	—
Adjustment per Section 3.60	-2	8	—
Adjustment per Section 4.00	—	-1	—
Totals Available	\$937	\$966	\$957
Unexpended balance, estimated savings	-687	—	—
TOTALS, EXPENDITURES	\$250	\$966	\$957
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,213	\$2,237	\$2,228

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
101 Budget Act appropriation	—	\$118,000	\$128,000
Chapter 404, Statutes of 2000	\$118,000	—	—
Totals Available	\$118,000	\$118,000	\$128,000
Unexpended balance, estimated savings	-13,000	—	—
TOTALS, EXPENDITURES	\$105,000	\$118,000	\$128,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$105,000	\$118,000	\$128,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$106,213	\$120,237	\$130,228

* Dollars in thousands, except in Salary Range.

0956 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

The California Debt and Investment Advisory Commission (CDIAC) (formerly the California Debt Advisory Commission), was created by Chapter 1088, Statutes of 1981, to assist state and local governments in effectively and efficiently issuing, monitoring and managing public debt. The Commission became CDIAC with the enactment of Chapter 833, Statutes of 1996, the CDAC's responsibilities were expanded to include an investment component in its municipal education program and the development of information and resources related to the investment of public funds.

To carry out its responsibilities, the Commission maintains a database of all public debt issued in California, conducts continuing education programs in the public debt and investment areas, publishes a monthly newsletter with debt issuance data and informative articles, and conducts research to develop reports, guidelines and briefs on topical issues in public finance.

State and local issuers of public debt in California are required to give written notices of proposed and final sales to the Commission. Nonprofit student loan corporations also must report proposed debt issues to the Commission. The Commission is authorized to charge fees not to exceed a specified amount for any one issue. The fees collected are deposited into the California Debt and Investment Advisory Commission Fund (CDIACF) to cover the administrative costs of the Commission.

The city, county or city and county investor of public funds is required to forward copies of second and fourth quarter calendar year investment portfolio reports and annual copies of investment policies to the Commission. This information assists with the Commission's municipal finance research and its education programs and services.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly and, two members of the Senate appointed by the Senate Committee on Rules.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 California Debt and Investment Advisory Commission	12.8	16.0	16.0	\$1,315	\$1,823	\$1,834
0171 California Debt and Investment Advisory Commission Fund				1,256	1,723	1,734
0995 Reimbursements				59	100	100

Authority

Government Code Sections 8855-8859.

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A)	12.8	16.0	16.0	\$634	\$866	\$887
Net Totals, Salaries and Wages	12.8	16.0	16.0	\$634	\$866	\$887
Staff Benefits	-	-	-	105	177	177
Totals, Personal Services	12.8	16.0	16.0	\$739	\$1,043	\$1,064
OPERATING EXPENSES AND EQUIPMENT				\$576	\$780	\$770
TOTALS, EXPENDITURES				\$1,315	\$1,823	\$1,834

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0171 California Debt and Investment Advisory Commission Fund ^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$1,694	\$1,678	\$1,734
Allocation for employee compensation	4	-	-
Adjustment per Section 3.60	-6	31	-
Adjustment per Section 4.60 (Rental Rate)	6	7	-
Adjustment per Section 4.00	-	-4	-
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	-	11	-
Totals Available	\$1,698	\$1,723	\$1,734
Unexpended balance, estimated savings	-442	-	-
TOTALS, EXPENDITURES	\$1,256	\$1,723	\$1,734

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$59	\$100	\$100
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,315	\$1,823	\$1,834

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0956 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION—Continued**FUND CONDITION STATEMENT****0171 California Debt and Investment Advisory
Commission Fund ^s**

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$4,075	\$4,492	\$4,441
Prior year adjustments	-9	-	-
Balance, Adjusted.....	\$4,066	\$4,492	\$4,441
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees.....	1,433	1,422	1,422
150300 Income from surplus money investments	249	250	250
Totals, Revenues and Transfers.....	\$1,682	\$1,672	\$1,672
Totals, Resources	\$5,748	\$6,164	\$6,113
EXPENDITURES			
Disbursements:			
0956 California Debt and Investment Advisory Commission (State Operations).....	1,256	1,723	1,734
FUND BALANCE.....	\$4,492	\$4,441	\$4,379
Reserve for economic uncertainties	4,492	4,441	4,379

0959 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

The California Debt Limit Allocation Committee was created through a proclamation signed by the Governor on July 19, 1984, in response to the enactment of the Federal Tax Reform Act of 1984. Another proclamation in response to the Federal Tax Reform Act of 1986 was signed by the Governor on September 30, 1986. Chapter 943, Statutes of 1987, supersedes the prior proclamations as the continuing authority for the Committee's operation. The Tax Reform Acts of 1984 and 1986 limit the dollar volume of federally tax-exempt "private activity" bonds which may be sold in any one state during a calendar year. The term "private activity", as applied to tax-exempt bonds, generally includes multi-family and single-family bonds, industrial development bonds, student loan bonds, and exempt facilities bonds for solid waste disposal. The Tax Reform Act of 1986, in addition to further limiting the purposes for which federally tax-exempt "private activity" bonds may be issued, reduces the dollar volume limit of such bonds to approximately \$1.6 billion (\$50 per capita) for California after 1987. As a result of the Community Renewal Tax Relief Act of 2000, the per capita dollar amount increases to \$75 per capita in 2003, resulting in a \$2.5 billion annual state ceiling.

The Committee oversees the State's allocation system for the issuance of "private activity" bonds under the provisions of Chapter 943, Statutes of 1987. The Committee is comprised of the State Treasurer, as chairperson; the Governor or, upon his designation, the Director of Finance; and the State Controller.

The Committee is funded on a fee-supported basis.

Major Budget Adjustment Proposed for 2002-03:

- An increase of \$88,000 and one position (1.0 personnel year), and the conversion of one limited-term position to permanent status to address increased workload due to the increase in federal volume cap, and the expansion and implementation of various programs.

Authority

Chapter 943, Statutes of 1987.

SUMMARY OF PROGRAM**REQUIREMENTS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 California Debt Limit Allocation Committee (California Debt Limit Allocation Committee Fund).....	7.6	8.0	9.0	\$790	\$894	\$984

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	7.6	8.0	8.0	\$410	\$421	\$434
Total Adjustments	-	-	1.0	-	-	53
Net Totals, Salaries and Wages	7.6	8.0	9.0	\$410	\$421	\$487
Staff Benefits	-	-	-	65	75	81
Totals, Personal Services	7.6	8.0	9.0	\$475	\$496	\$568
OPERATING EXPENSES AND EQUIPMENT				\$315	\$398	\$416
TOTALS, EXPENDITURES				\$790	\$894	\$984

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0959 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE—Continued**RECONCILIATION WITH APPROPRIATIONS****1 STATE OPERATIONS****0169 California Debt Limit Allocation Committee Fund ^s**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$865	\$875	\$984
Allocation for employee compensation	2	—	—
Adjustment per Section 3.60	-1	14	—
Adjustment per Section 4.60 (Rental Rate)	2	3	—
Adjustment per Section 4.00	—	-2	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	4	—
Totals Available	\$868	\$894	\$984
Unexpended balance, estimated savings	-78	—	—
TOTALS, EXPENDITURES	\$790	\$894	\$984
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$790	\$894	\$984

FUND CONDITION STATEMENT**0169 California Debt Limit Allocation Committee Fund ^s**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
BEGINNING BALANCE	\$3,159	\$3,448	\$3,663
Prior year adjustments	28	—	—
Balance, Adjusted	\$3,187	\$3,448	\$3,663
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees	850	898	907
150300 Income from surplus money investments	201	211	213
Totals, Revenues and Transfers	\$1,051	\$1,109	\$1,120
Totals, Resources	\$4,238	\$4,557	\$4,783
EXPENDITURES			
Disbursements:			
0959 California Debt Limit Allocation Committee (State Operations)	790	894	984
FUND BALANCE	\$3,448	\$3,663	\$3,799
Reserve for economic uncertainties	3,448	3,663	3,799

CHANGES IN**AUTHORIZED POSITIONS**

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
Totals, Authorized Positions	7.6	8.0	8.0	\$410	\$421	\$434
Proposed New Positions:				Salary Range		
Assoc Govtl Prog Analyst	—	—	1.0	3,915-4,759	—	53
Totals, Proposed New Positions	—	—	1.0	—	—	\$53
Total Adjustments	—	—	1.0	—	—	\$53
TOTALS, SALARIES AND WAGES	7.6	8.0	9.0	\$410	\$421	\$487

**0965 CALIFORNIA INDUSTRIAL DEVELOPMENT
FINANCING ADVISORY COMMISSION**

The California Industrial Development Financing Advisory Commission (CIDFAC) was created by Chapter 1358, Statutes of 1980. The State Treasurer serves as chairperson of the Commission. The other members are the Director of Finance, the State Controller, the Secretary of the Technology, Trade and Commerce Agency, and the Commissioner of Corporations.

Chapter 1358 allows cities and counties to issue industrial development revenue bonds. Bonds issued under this program are not a debt, liability or a pledge of the faith and credit nor the taxing power of the State of California. The Bonds are subject to the State's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986, and allocated by the California Debt Limit Allocation Committee.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

**0965 CALIFORNIA INDUSTRIAL DEVELOPMENT
FINANCING ADVISORY COMMISSION—Continued**

The program is intended to benefit economically distressed areas within the State. The proceeds of the bonds provide industry with an alternative method of financing capital outlay to acquire, construct or rehabilitate facilities which will increase employment or otherwise contribute to economic development.

Expenses of local industrial development authorities are funded by fees collected from applicants for financing under the program. Direct expenses of the Commission and those of the Office of the State Treasurer are also funded by fees collected from applicants and from bond proceeds.

Chapter 1605, Statutes of 1982, as amended by Chapter 1109, Statutes of 1987, provides that the aggregate amount of bonds issued shall not exceed \$350 million per calendar year for federally tax-exempt issues and a like amount for federally taxable issues.

Chapter 913, Statutes of 1994, provides financing incentives under the State Enterprise Zone Act.

Chapter 1035, Statutes of 1998, extended the authority for the Commission to issue tax-exempt Industrial Development Bonds until January 1, 2004.

Authority

Government Code Sections 91500 to 91564, Financial Code Section 1364, Insurance Code Section 1192.

**SUMMARY OF PROGRAM
REQUIREMENTS**

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
10 California Industrial Development						
Financing Advisory Commission ..	2.6	3.0	3.0	\$361	\$480	\$475
0215 Industrial Development Fund				361	405	400
0995 Reimbursements				—	75	75

**SUMMARY BY OBJECT
1 STATE OPERATIONS**

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	2.6	3.0	3.0	\$194	\$224	\$226
Net Totals, Salaries and Wages	2.6	3.0	3.0	\$194	\$224	\$226
Staff Benefits	—	—	—	36	36	36
Totals, Personal Services	2.6	3.0	3.0	\$230	\$260	\$262
OPERATING EXPENSES AND EQUIPMENT				\$131	\$220	\$213
TOTALS, EXPENDITURES				\$361	\$480	\$475

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0215 Industrial Development Fund ^a

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$447	\$393	\$400
Allocation for employee compensation	2	—	—
Adjustment per Section 3.60	—	6	—
Adjustment per Section 4.60 (Rental Rate)	2	3	—
Adjustment per Section 4.00	—	-2	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	5	—
Totals Available	\$451	\$405	\$400
Unexpended balance, estimated savings	-90	—	—
TOTALS, EXPENDITURES	\$361	\$405	\$400

0297 Community and Economic Development Fund ^b

APPROPRIATIONS			
001 Budget Act appropriation	\$73	\$69	—
Totals Available	\$73	\$69	—
Unexpended balance, estimated savings	-73	-69	—
TOTALS, EXPENDITURES	—	—	—

^a Dollars in thousands, except in Salary Range.

**0965 CALIFORNIA INDUSTRIAL DEVELOPMENT
FINANCING ADVISORY COMMISSION—Continued**

0995 Reimbursements

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
Reimbursements.....	—	\$75	\$75
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$361	\$480	\$475

FUND CONDITION STATEMENT

0215 Industrial Development Fund *

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$1,021	\$850	\$685
Prior year adjustments	-31	—	—
Balance, Adjusted.....	\$990	\$850	\$685
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees (application fees).....	163	180	180
150300 Income from surplus money investments	58	60	60
Totals, Revenues	\$221	\$240	\$240
Totals, Resources	\$1,211	\$1,090	\$925
EXPENDITURES			
Disbursements:			
0965 California Industrial Development Financing Advisory Commission (State Operations).....	361	405	400
FUND BALANCE.....	\$850	\$685	\$525
Reserve for economic uncertainties	850	685	525

0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

Chapter 1097, Statutes of 1981, established the California Mortgage Bond Allocation Committee for the purpose of approving mortgage revenue bond allocations. In response to the 1986 Federal Tax Reform Act, the Committee was authorized by Chapter 688, Statutes of 1987, to allocate federal Low-Income Housing Tax Credits (LIHTC) to foster development of affordable rental housing. For calendar year 2001, the program provides tax credits of \$1.50 per capita; this amount will increase to \$1.75 per capita beginning January 1, 2002, and thereafter will be indexed for inflation. Chapter 943, Statutes of 1987, transferred authorization for approving mortgage revenue bond allocations to the California Debt Limit Allocation Committee. Chapter 1138, Statutes of 1987, established a state tax credit program, similar to the LIHTC, making available up to \$35 million per year. Chapter 9, Statutes of 1998, increased the state tax credit ceiling for building low-income rental housing from \$35 million to \$50 million per year for calendar years 1998 and 1999. Chapter 3, Statutes of 2000, made permanent the increase in state tax credit ceiling as provided in Chapter 9. As of December 31, 2000, a total of \$634,379,199 federal credit, and \$550,023,117 of state credit, has been allocated.

Chapter 166, Statutes of 1990, renamed the California Mortgage Bond Allocation Committee to the "California Tax Credit Allocation Committee" (CTCAC). The CTCAC consists of seven members, including the Treasurer who is designated as chairman, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency, and two local government representatives.

Chapter 1164, Statutes of 1994, established a subaccount within the Tax Credit Allocation Fee Account named the Occupancy Compliance Monitoring Account. One-time monitoring fees are collected and deposited into this subaccount for the purpose of paying the costs of monitoring projects receiving allocations of tax credits for compliance with federal and state laws for a 55-year compliance period. The fees and interest earnings will be used to fund the compliance activities during the 55-year period. This monitoring program applies to all projects awarded credits since 1987 including some 1,636 projects (116,300 units) as of December 31, 2000.

Chapter 954, Statutes of 1996, established the Farmworker Housing Assistance Program making available up to \$500,000 per year of state tax credits for construction of farmworker housing. As of December 31, 2000, a total of \$770,000 in tax credit has been awarded under the Farmworker Housing Assistance Program.

The CTCAC's activities are funded from fees paid by applicants for tax credits.

Authority

Chapter 1097, Statutes of 1981; Chapters 688, 943, and 1138, Statutes of 1987; Chapter 166, Statutes of 1990; Chapter 1164, Statutes of 1994; Chapter 954, Statutes of 1996; Chapter 9, Statutes of 1998, and Chapter 3, Statutes of 2000.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 California Tax Credit Allocation Committee	17.7	24.1	24.1	\$2,012	\$2,702	\$2,481
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account				707	1,058	994

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE—Continued

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
0457 Tax Credit Allocation Fee Account	\$1,249	\$1,614	\$1,457
0995 Reimbursements	56	30	30

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	17.7	24.1	24.1	\$877	\$1,141	\$1,184
Net Totals, Salaries and Wages	17.7	24.1	24.1	\$877	\$1,141	\$1,184
Staff Benefits	—	—	—	154	216	216
Totals, Personal Services	17.7	24.1	24.1	\$1,031	\$1,357	\$1,400
OPERATING EXPENSES AND EQUIPMENT				\$844	\$1,203	\$939
SPECIAL ITEMS OF EXPENSE				—	6	6
TOTALS, EXPENDITURES				\$1,875	\$2,566	\$2,345

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account ^s**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$986	\$1,039	\$994
Allocation for employee compensation	2	—	—
Adjustment per Section 3.60	—5	13	—
Adjustment per Section 4.60 (Rental Rate)	3	2	—
Adjustment per Section 4.00	—	—1	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	5	—
011 Budget Act appropriation (Transfer to the General Fund)	—	—	(20,000)
Totals Available	\$986	\$1,058	\$994
Unexpended balance, estimated savings	—279	—	—
TOTALS, EXPENDITURES	\$707	\$1,058	\$994

0457 Tax Credit Allocation Fee Account ^s

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$1,311	\$1,434	\$1,315
Allocation for employee compensation	2	—	—
Adjustment per Section 3.60	—3	25	—
Adjustment per Section 4.60 (Rental Rate)	4	6	—
Adjustment per Section 4.00	—	—1	—
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	—	8	—
011 Budget Act appropriation (Transfer to the General Fund)	—	—	(20,000)
Health and Safety Code Section 50199.9(b)	—	6	6
Totals Available	\$1,314	\$1,478	\$1,321
Unexpended balance, estimated savings	—202	—	—
TOTALS, EXPENDITURES	\$1,112	\$1,478	\$1,321

0995 Reimbursements

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
Reimbursements	\$56	\$30	\$30
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,875	\$2,566	\$2,345

* Dollars in thousands, except in Salary Range.

0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE—Continued**RECONCILIATION WITH APPROPRIATIONS****2 LOCAL ASSISTANCE****0457 Tax Credit Allocation Fee Account ^s**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
APPROPRIATIONS			
Health and Safety Code Section 50199.9(b)	\$137	\$136	\$136
TOTALS, EXPENDITURES	\$137	\$136	\$136
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$137	\$136	\$136
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$2,012	\$2,702	\$2,481

FUND CONDITION STATEMENT**0448 Occupancy Compliance Monitoring Account ^s**

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
BEGINNING BALANCE.....	\$17,358	\$24,043	\$30,373
Prior year adjustments	4	—	—
Balance, Adjusted.....	\$17,362	\$24,043	\$30,373
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees.....	6,149	6,149	6,149
150300 Income from surplus money investments	1,239	1,239	1,300
Totals, Revenues	\$7,388	\$7,388	\$7,449
Transfers to Other Funds:			
T00001 General Fund Loan per 0968-011-0448, Budget Act of 2002	—	—	—20,000 ¹
Totals, Revenues and Transfers.....	\$7,388	\$7,388	—\$12,551
Totals, Resources	\$24,750	\$31,431	\$17,822
EXPENDITURES			
0968 California Tax Credit Allocation Committee (State Operations)	707	1,058	994
FUND BALANCE.....	\$24,043	\$30,373	\$16,828
Reserve for economic uncertainties	24,043	30,373	16,828

0457 Tax Credit Allocation Fee Account ^{s 2}

BEGINNING BALANCE.....	\$18,983	\$22,282	\$25,461
Prior year adjustments	9	—	—
Balance, Adjusted.....	\$18,992	\$22,282	\$25,461
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees.....	2,850	3,420	3,420
150300 Income from surplus money investments	1,676	1,373	1,500
161000 Escheat of unclaimed checks and warrants	13	—	—
Totals, Revenues	\$4,539	\$4,793	\$4,920
Transfers to Other Funds:			
T00001 General Fund Loan per 0968-011-0457, Budget Act of 2002	—	—	—20,000 ¹
Totals, Revenues and Transfers.....	\$4,539	\$4,793	—\$15,080
Totals, Resources	\$23,531	\$27,075	\$10,381

* Dollars in thousands, except in Salary Range.

0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE—Continued**EXPENDITURES**

Disbursements:

0968 California Tax Credit Allocation Committee:

	2000-01*	2001-02*	2002-03*
State Operations	\$1,112	\$1,478	\$1,321
Local Assistance	137	136	136

9900 Statewide General Administrative Expenditures (Pro Rata) (Local Assistance).....

	—	—	8
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Totals, Disbursements	\$1,249	\$1,614	\$1,465
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FUND BALANCE.....

Reserve for economic uncertainties	\$22,282	\$25,461	\$8,916
	22,282	25,461	8,916

¹ This loan is one of the proposed solutions to the General Fund shortfall. It is not intended to adversely affect the programs supported by this fund.

² Fund 0457-Mortgage Bond and Tax Credit Allocation Fee Account, renamed the Tax Credit Allocation Fee Account pursuant to Chapter 1164, Statutes of 1994.

0971 CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

The California Alternative Energy Source Financing Authority was established by Chapter 908, Statutes of 1980, for the purpose of providing California industry an alternative method of financing the construction and installation of facilities using alternative methods and sources of energy. Such construction can help meet the energy needs of the State in a manner which minimizes degradation of the environment and conserves scarce energy resources.

Chapter 1218, Statutes of 1994, renamed the Authority to the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA) and thereby expanded the purpose of the Authority to include the financing and development of advanced transportation technologies.

The Authority consists of five members: the State Treasurer (Chairperson); the State Controller; the Director of Finance; the Chairperson of the Energy Resources Conservation and Development Commission; and the President of the Public Utilities Commission.

The Authority is empowered to establish criteria for projects selected for financing, issue revenue bonds, enter into loan agreements for the sale, construction, installation, or acquisition of projects, and assist small business entities in locating a funding source for projects not financed by the Authority.

With the exception of the projects specified in the transition rules of the Federal Tax Reform Act of 1986, projects over \$10 million generally are no longer eligible for financing with federally tax-exempt bonds; however, such projects could be financed by bonds which are exempt from state taxation. Other federally tax-exempt bonds of the Authority, in general, are subject to the State's "private activity" bond ceiling as specified in the Tax Reform Act and allocated by the California Debt Limit Allocation Committee.

The Authority is authorized to issue up to \$350 million in revenue bonds to finance alternative energy projects pursuant to Chapter 328, Statutes of 1994. As of June 30, 2001, \$181.6 million in bonds had been sold, and \$59.2 million remain outstanding. Pursuant to Chapter 8, Statutes of 2001 (AB 29X), the Authority received \$25 million to develop a financial assistance program for renewable energy technologies.

Major Budget Adjustment Proposed for 2001-02:

- Reduction Issue in the November Revision
- \$24,850,000 General Fund for the Renewable Energy Program.

Authority

Public Resources Code Sections 26004-26017.

SUMMARY OF PROGRAM**REQUIREMENTS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Alternative Energy and Advanced Transportation Financing Authority	0.2	2.0	1.0	\$25	\$319	\$169
0001 General Fund	—	—	—	—	150	—
0528 California Alternative Energy Authority Fund	—	—	—	25	169	169

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	0.2	1.0	1.0	\$14	\$81	\$81
Total Adjustments	—	1.0	—	—	47	—
Net Totals, Salaries and Wages	0.2	2.0	1.0	\$14	\$128	\$81
Staff Benefits	—	—	—	4	33	23
Totals, Personal Services	0.2	2.0	1.0	\$18	\$161	\$104
OPERATING EXPENSES AND EQUIPMENT				\$7	\$158	\$65
TOTALS, EXPENDITURES				\$25	\$319	\$169

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

**0971 CALIFORNIA ALTERNATIVE ENERGY AND
ADVANCED TRANSPORTATION FINANCING AUTHORITY—Continued**

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
Prior year balances available:			
Chapter 8, Statutes of 2001, First Extraordinary Session (Transfer from local assistance).....	—	\$150	—
TOTALS, EXPENDITURES	—	\$150	—
0528 California Alternative Energy Authority Fund ⁿ			
APPROPRIATIONS			
001 Budget Act appropriation	\$163	\$165	\$169
Allocation for employee compensation	1	—	—
Adjustment per Section 3.60	—	5	—
Adjustment per Section 4.00	—	-1	—
Totals Available	\$164	\$169	\$169
Unexpended balance, estimated savings	-139	—	—
TOTALS, EXPENDITURES	\$25	\$169	\$169
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$25	\$319	\$169

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
Chapter 8, Statutes of 2001, First Extraordinary Session	\$25,000	—	—
Prior year balances available:			
Chapter 8, Statutes of 2001, First Extraordinary Session	—	\$25,000	—
Transfer to State Operations.....	—	-150	—
Totals Available	\$25,000	\$24,850	—
Unexpended balance, estimated savings	—	-24,850	—
Balance available in subsequent years	-25,000	—	—
TOTALS, EXPENDITURES	—	—	—
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	—	—	—
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$25	\$319	\$169

**CHANGES IN
AUTHORIZED POSITIONS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	0.2	1.0	1.0	\$14	\$81	\$81
Workload and Administrative Adjustments:						
Administratively Established Position:				Salary Range		
Assoc Govtl Prog Analyst	—	1.0 ¹	—	3,915-4,759	47	—
Totals, Workload and Administrative						
Adjustments	—	1.0	—	—	\$47	—
Total Adjustments	—	1.0	—	—	\$47	—
TOTALS, SALARIES AND WAGES	0.2	2.0	1.0	\$14	\$128	\$81

¹ For the Renewable Energy Program.

* Dollars in thousands, except in Salary Range.

0974 CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY

The California Pollution Control Financing Authority (CPCFA) was established by Chapter 1257, Statutes of 1972, to provide California businesses with a reasonable method of financing pollution control facilities and to foster compliance with government imposed environmental standards and requirements. The CPCFA consists of the State Treasurer (Chairperson), the State Controller, and the Director of Finance.

Industrial firms and agricultural producers receive funds from the sale of CPCFA revenue bonds for the acquisition, construction, or installation of pollution control facilities to meet environmental requirements mandated by public agencies. Companies that have received assistance through the program include food processors, cooperatives, manufacturers, waste disposal and resource recovery firms, metal platers, public utilities, refiners and marketers. As of June 30, 2001, bonds totaling \$10.114 billion have been sold by the CPCFA for pollution control projects. Projects ranging from \$10,000 to approximately \$500 million have been financed through the CPCFA.

Chapter 342, Statutes of 1985, authorized the CPCFA to establish a Small Business Assistance Fund. Small businesses usually do not have access to financial markets in the same way that larger businesses do. The Small Business Assistance Tax-Exempt Bond Program gives small businesses "access" to the financial markets by issuing bonds on behalf of the borrower and provides other financial and technical assistance to applicants. As of June 30, 2001, bonds totaling \$389.154 million have been issued by the CPCFA for small business projects. The CPCFA also administers the California Capital Access Program (CalCAP). CalCAP encourages banks and other financial institutions to make loans to small businesses that fall just outside of most banks' conventional underwriting standards. From its inception in 1994 through June 30, 2001, CalCAP has enabled \$427 million in loans to small businesses.

The Federal Reform Act of 1986 constrained the ability of the CPCFA to issue tax-exempt bonds for private uses (e.g., air and water pollution control projects). In addition, the federal tax legislation imposed a ceiling on the total amount of tax exempt bonds that can be sold in each state. Thus, the CPCFA's projects must now compete with those from other California authorities and financing agencies for debt allocation. This limitation has resulted in some of the CPCFA's projects being only partially funded by tax exempt bonds and taxable bonds.

Recently, the CPCFA's bond sales have been (1) for refinancing previous bond issues and (2) for the financing of resource recovery projects and solid waste disposal projects required by the California Integrated Waste Management Act of 1989. This Act (Chapter 1095, Statutes of 1989) mandated cities and counties to divert 25 percent of solid waste from landfills through source reduction, recycling, and composting by January 1, 1995. A 50 percent diversion was mandated by January 1, 2000. Integrated Waste Management programs continue to be a large component of the CPCFA's workload until the requirements of the California Integrated Waste Management Act of 1989 are met.

Chapter 914, Statutes of 2000, authorized CPCFA to award up to \$2.5 million in grants and loans to assist economically struggling communities. Cities and counties may use the funds to develop and implement sustainable development and sound environmental policies and programs. The program will sunset on January 1, 2007.

Chapter 915 also authorized CPCFA to provide financing assistance for remediation and development of brownfield sites. Initial program efforts will focus on providing technical assistance and financing assessment costs through forgivable loans.

Major Budget Adjustment Proposed for 2002-03:

- A \$20,000,000 loan to the General Fund from the Pollution Control Financing Authority Fund. This loan is one of the proposed solutions to the General Fund shortfall. It is not intended to adversely affect the programs supported by this fund.

Authority

Health and Safety Code Sections 44500-44520 and 44526-44548.

0977 CALIFORNIA HEALTH FACILITIES FINANCING AUTHORITY

The California Health Facilities Financing Authority (CHFFA) was established by Chapter 1033, Statutes of 1979. The CHFFA consists of nine members: the State Treasurer, the Director of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

The CHFFA issues revenue bonds to assist qualified private nonprofit corporations or associations, counties, and hospital districts in financing or refinancing the construction, equipping or acquiring of health facilities. The funding or refinancing is accomplished by making loans to health institutions, by direct purchase and leaseback of the health facility by the CHFFA, or by a health institution acting as an agent for the CHFFA. The CHFFA can determine the location and character of any proposed project and can solicit state and federal mortgage insurance for any funded project. To qualify for funding under the Act, the project must be a health facility, as defined in the Act, operated by a private nonprofit corporation or association, city, city and county, county or hospital district. Numerous statutes enacted between 1983 and 1989 broadened the types of facilities that may be financed by the CHFFA.

Prior to September 30, 1998, the CHFFA was authorized to have outstanding at any one point in time up to \$5.999 billion in revenue bonds. Chapter 1035, Statutes of 1998, eliminated the limitation on bonds outstanding in its entirety.

The Federal Tax Reform Act of 1986 does not restrict health facility bonds in terms of the State's "private activity" bond limit. Bonds issued under this program are not a debt or liability or a pledge of faith and credit of the taxing power of the State or any of its political subdivisions. The full faith and credit of the participating institutions are pledged to the payment of bonds. This is a trust activity and all operating expenses must be paid from revenues and other moneys available to the CHFFA.

Chapter 99, Statutes of 2000, established the Cedillo-Alarcon Community Care Investment Act of 2000, which authorized CHFFA to award grants to eligible primary care clinics for capital outlay projects. The Budget Act of 2000 included a one-time \$50 million General Fund augmentation for transfer to CHFFA Fund to award grants in amounts not to exceed \$250 thousand to any clinic facility. CHFFA developed selection criteria and a process for awarding the grants. CHFFA anticipates the disbursement of all funds during 2001-02. The Authority will report to the Joint Legislative Budget Committee on the recipients of grants upon disbursement of all grant funds.

Authority

Government Code Sections 15430-15463.

SUMMARY OF PROGRAM REQUIREMENTS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 California Health Facilities Financing Authority	—	—	—	\$50,000	—	—
0001 General Fund				50,000	—	—

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0977 CALIFORNIA HEALTH FACILITIES FINANCING AUTHORITY—Continued**RECONCILIATION WITH APPROPRIATIONS****2 LOCAL ASSISTANCE****0001 General Fund**

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
101 Budget Act appropriation	\$50,000	—	—
TOTALS, EXPENDITURES	\$50,000	—	—
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$50,000	—	—

**0983 CALIFORNIA URBAN WATERFRONT AREA RESTORATION
FINANCING AUTHORITY**

The California Urban Waterfront Area Restoration Financing Authority (CUWARFA) was created by Chapter 1264, Statutes of 1983. It consists of five members: the State Treasurer (Chairperson); the Director of Finance; the State Controller; the Secretary for Resources; and the Executive Officer of the State Coastal Conservancy.

The Authority was established to issue up to \$650 million in revenue bonds to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by CUWARFA generally be within an allocation from the State's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain CUWARFA approval for revenue bond financing. To date, the Authority has sold \$3.33 million in revenue bonds for the Santa Monica International American Youth Hostel.

Authority

Public Resources Code Sections 32000–32003.

0985 CALIFORNIA SCHOOL FINANCE AUTHORITY

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established the California School Finance Authority and authorized the issuance of \$400 million in revenue bonds or other debt instruments. The proceeds from the sale of the bonds are available for loans to school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, acquisition of portable/relocatable buildings, and to provide working capital. The proceeds of loan repayments provide the resources necessary for payment of bond debt service. All expenditures of the Authority for debt service and other expenses must be paid from the revenues available to the Authority. Effective January 1, 1997, Chapter 1071, Statutes of 1996, authorized the issuance of an additional \$400 million in revenue bonds per fiscal year for school districts and county offices of education that agree to guarantee payment of the bonds with Proposition 98 funds. The total outstanding amount may not exceed \$4 billion at any one time. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the \$400 million annual issuance cap was eliminated.

Pursuant to Chapter 718, Statutes of 1999, as amended by Chapter 193, Statutes of 2000 (effective January 1, 2001), the Authority is authorized to provide interim financing to school districts in anticipation of receiving Proposition 1A bond funding from the State Allocation Board. The Authority issued \$47.8 million in interim financing to school districts that would otherwise have waited until the second phase of Proposition 1A bond funds, which became available in July 2000. As of July 1, 2001, \$130,000 in bonds were outstanding.

Authority

Education Code Sections 17170–17199.5.

0989 CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY

The California Educational Facilities Authority (CEFA) is a public instrumentality of the State comprised of five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor. All members serve four-year terms.

The Authority issues revenue bonds to assist private educational institutions of higher learning in the expansion and construction of nonsectarian educational facilities. Facilities used for sectarian instruction or as a place of religious worship are not eligible for financing from the Authority. Pursuant to Chapter 569, Statutes of 2001, CEFA may include qualifying non-profit entities as eligible program participants for the construction of student and faculty housing. Through its ability to issue tax-exempt bonds, the Authority provides lower cost financing to these institutions than they would be able to secure on the open market. Bonds issued by the Authority are not a debt or liability or a pledge of the faith and credit of the taxing power of the State or any of its political subdivisions. Instead, the full faith and credit of the participating institutions are pledged to the payment of bonds issued by the Authority. Bonds issued for this purpose are not subject to the State's "private activity" bond ceiling as specified in the Federal Tax Reform Act of 1986. The bonds are issued for institutions on a stand-alone basis and also on a pooled or combined basis.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0989 CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY—Continued

Prior to January 1, 1999, the Authority was authorized to have outstanding at any one time \$2.6 billion in bonds for educational facilities. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the limitation of \$2.6 billion in bonds outstanding has been eliminated entirely. As of June 30, 2001, bonds and notes in the amount of \$4.695 billion have been issued and \$2.494 billion were outstanding.

Pursuant to Chapter 917, Statutes of 1995, effective January 1, 1996, the California Student Loan Authority (CSLA) was abolished, and the assets, obligations, and functions were transferred to the CEFA.

The purpose of the CSLA was to purchase federally reinsured educational loans from eligible lending institutions by issuing tax-exempt revenue bonds, thereby expanding student access to such low-cost federally reinsured loans. Pursuant to Chapter 917, the functions were expanded under CEFA to include direct student lending from proceeds of tax-exempt revenue bonds issued by the Authority. The Authority was authorized to have outstanding at any one time \$300 million in bonds for student loans. This cap was eliminated by Chapter 741, Statutes of 1998. As of June 30, 2001, \$100.26 million in bonds were outstanding, from a total \$265.373 million issued. Bonds for this purpose are subject to the State's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986 and allocated by the California Debt Limit Allocation Committee. Bonds issued for student loans are not a debt, or liability, or a pledge of the faith and credit of the taxing power of the State or any of its political subdivisions.

This is a trust activity and all operating expenses must be paid from revenues and other moneys available to CEFA.

Authority

Education Code Sections 94100–94213.